



AGENDA

CITY COMMISSION OF THE CITY OF WARRENTON
REGULAR MEETING

October 13, 2020 – 6:00 P.M.

Warrenton City Commission Chambers – 225 South Main Avenue
Warrenton, OR 97146

Public Meetings will be conducted in the Commission Chambers with a limited seating arrangement. To adhere to social distancing recommendations, meetings will now also be audio and video live streamed. Go to <https://www.ci.warrenton.or.us/administration/page/live-stream-public-meetings> for connection instructions.

1. **CALL TO ORDER**

2. **PLEDGE OF ALLEGIANCE**

3. **CONSENT CALENDAR**

- A. City Commission Meeting Minutes – 8.25.20
- B. City Commission Meeting Minutes – 9.08.20
- C. City Commission Meeting Minutes – 9.22.20
- D. Monthly Finance Report – August 2020
- E. Police Dispatch Services Agreement
- F. Fire Dispatch Services Agreement

4. **COMMISSIONER REPORTS**

5. **PUBLIC COMMENT**

At this time, anyone wishing to address the City Commission concerning items of interest may do so. The person addressing the Commission must complete a Public Comment Card and submit it to the City Recorder prior to the meeting. All remarks will be addressed to the whole City Commission and limited to 3 minutes per person. Public Comments may also be submitted by email to the City Recorder, at cityrecorder@ci.warrenton.or.us, no later than 5:00 p.m. the day of the meeting. The Commission reserves the right to delay any action, if required, until such time as they are fully informed on a matter.

6. **PUBLIC HEARINGS** – None

7. **BUSINESS ITEMS**

A. Oath of Office and Badge Pinning – Police Officer David Yelton

B. Consideration of Second Reading of Ordinance No. 1243; Street Vacation Petition No. 152; Stan Johnson

C. Consideration of Hammond Waterline – Request for Bids

D. Consideration of SE Marlin & 101 Pump Station Upgrade/Force Main Replacement

8. **DISCUSSION ITEMS**

A. Burn Permit Update

B. Leash Laws

9. **GOOD OF THE ORDER**

10. **EXECUTIVE SESSION**

11. **ADJOURNMENT**

Warrenton City Hall is accessible to the disabled. An interpreter for the hearing impaired may be requested under the terms of ORS 192.630 by contacting Dawne Shaw, City Recorder, at 503-861-0823 at least 48 hours in advance of the meeting so appropriate assistance can be provided.

MINUTES
 Warrenton City Commission
 August 25, 2020
 6:00 p.m.
 Warrenton City Hall - Commission Chambers
 225 S. Main
 Warrenton, OR 97146

Mayor Balensifer called the meeting to order at 6:00 p.m. and led the public in the Pledge of Allegiance.

Commissioners Present: Mayor Balensifer, Tom Dyer, Mark Baldwin, Rick Newton - via Zoom, (left the meeting at 6:42 pm.), and Pam Ackley - via Zoom

Staff Present: City Manager Linda Engbretson, Public Works Director Collin Stelzig, Public Works Operations Manager Kyle Sharpsteen, Police Chief Mathew Workman, Interim Planning Director Mark Barnes, Fire Chief Brian Alsbury, and City Recorder Dawne Shaw

Mayor Balensifer requested to add an item to the agenda for a letter to the Oregon Department of Forestry (ODF) regarding a new rulemaking they are having on Habitat Conservation plans – item 7.E, authorization of Mayor’s signature on letter expressing concern related to new ODF ruling; there were no objections.

City Recorder Dawne Shaw noted for the record the candidate applications received for City Commission positions.

Ms. Engbretson stated she received a resignation letter from the municipal court judge, effective October 1, 2020, and requested to add it to the consent calendar; there were no objections.

CONSENT CALENDAR

- A. City Commission Meeting Minutes – 7.28.20
- B. Police Department Monthly Statistics – July 2020
- C. Parks Advisory Board Meeting Minutes – December 2019
- D. Parks Advisory Board Meeting Minutes – February 2020
- E. Resignation from Municipal Court Judge Woltjer, and declaring the office of municipal judge vacant as of 10.01.2020

Commissioner Baldwin made the motion to approve the consent calendar as presented. Motion was seconded and passed unanimously.

Ackley – aye; Balensifer – aye; Newton – aye; Baldwin – aye; Dyer - aye

COMMISSIONER REPORTS – None

PUBLIC COMMENT – None

PUBLIC HEARING

Mayor Balensifer opened the public hearing on the Street Vacation Petition for a portion of Sprague Street (SE 15th Place). Formalities followed and no conflicts of interest or ex parte contacts were reported. City Manager, Linda Engbretson, gave a staff report on the street vacation petition, noting the Planning Commission approved a site design review application for a 16-unit multi-family apartment project adjacent to Spur 104 and the Skipanon River. A condition of the approval was that Mr. Stan Johnson apply for a street vacation, to vacate a small portion of the platted Sprague Street, also known as SE 15th Place. The purpose of the street vacation was to provide adequate side yard setbacks and create a buffer between properties. Mr. Johnson submitted a petition to vacate. She noted this area is not an improved street and it is not significant wetlands. Mr. Johnson has agreed to provide an easement to the city for future levee access. Mayor Balensifer asked for public comment. The applicant, Stan Johnson spoke in favor. He stated this will be nice development for the city and noted all neighbors have signed off on the project. No one spoke in opposition. Ms. Engbretson noted the staff comments include a comment from Fire Chief Alsbury, regarding his concerns about vacating streets. There being no further comments, Mayor Balensifer closed the public hearing.

Commissioner Baldwin made the motion to approve Street Vacation Petition No. 152, vacating a portion of Sprague Street, also known as SE 15th Place. Motion was seconded.

Ms. Engbretson asked that the motion include the easement request.

Commissioner Baldwin amended his motion to approve Street Vacation Petition No. 152, vacating a portion of Sprague Street, also known as SE 15th Place with the recommended easements being provided. Motion was seconded and passed unanimously.

Ackley – aye; Balensifer – aye; Baldwin – aye; Dyer – aye; Newton - aye

Mayor Balensifer opened the public hearing on the Commercial Corridor Design Standards code amendment. Formalities followed and no conflicts of interest or ex parte contacts were reported. Interim City Planner, Mark Barnes, gave a staff report on the code amendments, noting he did not prepare the amendments but was present at the Planning Commission meeting when it was recommended/approved. He outlined the code amendments to the zoning ordinance, which affect largely the design review process for larger commercial developments on the major commercial corridors; there is also an amendment dealing with residential garages, which is a housekeeping measure. Mr. Barnes noted the findings included in the agenda packet. Mayor Balensifer noted the recommendations from the Downtown, Thoroughfare and Aesthetic Task Force and asked Mr. Barnes to speak to about the setback requirements and the potential walling affect. Mr. Barnes stated the setback issue was never discussed at the Planning Commission he attended, noting there were two prior meetings on this item before he assumed the role of interim. Commissioner Newton confirmed the task force did not want storage units fronting on E. Harbor. He also noted the task force did not recommend a cap on the number of storage units, just the

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location of them. Mayor Balensifer noted they regulated marijuana stores and related businesses to specific areas of the city; and stated he does not believe that S. Main, E. Harbor or Pacific Avenue are appropriate places to allow more storage units. Commissioner Baldwin stated he does not like to tell property owners what they can or can't do with their commercial property, but noted the easiest way for us to manage that is to create design criteria/standard, so if someone does decide to build in that area, it looks nice, and we could get some property tax value out of it - make it look better versus telling them no. Commissioner Dyer stated he would agree with the design standard; we could have it both ways – attractive design but increased tax revenue. Mr. Barnes noted proposed ordinance item G, which outlines storage unit facilities, may accomplish what they are trying to get at. Mayor Balensifer voiced concerns on design standards because they do not get enforced or followed through on. Discussion continued. Mayor Balensifer stated we have limited land and noted he does not want to see any new storage units on S. Main and Pacific; he would be okay with a setback requirement and a design standard for Harbor, but not on the street frontage – that is the entryway to the town. Brief discussion continued.

Mayor Balensifer questioned how we create a Warrenton we are proud of 20 years down the road and not a “hodgepodge.” With that limited change we can have an impact on the future look of our town - no storage on Main or Pacific, and setbacks on Harbor with design standards. Commissioner Ackley referenced item G, stating she feels it addresses storage unit facilities and visibility from a public street; that they have to be placed at the rear of the property. She noted in other towns you see a nice business park with trees back and an Olive Garden up front. Commissioner Newton stated if we allow storage units along Harbor it will come back to bite us; it is good retail ground which will pump life back into Warrenton. Mayor Balensifer stated his issue with item G is that “storage units may rely on vegetative screen to meet the standard, if the vegetation is dense enough and tall enough to achieve visual screening from public streets” so that doesn't really change the setback issue or the tunneling issue that was discussed in the findings. Commissioner Ackley stated she thinks that storage units relying on the screening is something we want to revisit, but the rest of item G seems to fit what we are trying to address. We do not want them on the street and just screening them doesn't give us the opportunity to expand on having another commercial venture up front. She suggested striking the last sentence and/or change it so that there is either setback to allow for commercial businesses along the street and storage units behind any other businesses - if we allow them. Mayor Balensifer clarified Commissioner Ackley's proposal is to take item G, strike the last sentence, and clarify that there is a required setback and/or a combined use is allowed provided that the storefront or pedestrian use facility would be in the frontage not in the back; she confirmed. Brief discussion continued.

Mayor Balensifer stated he does not agree with a cap and trade on mini storage. He stated that mini storage should not be allowed on Main and Pacific, and then on Harbor, have the setback requirement and design requirements from item G, and/or combined use as Commissioner Ackley suggested, that accomplishes what we want. Commissioner Baldwin stated he feels we need some kind of design criteria that says you have to have a wall around it, not just vegetation – vegetation goes untrimmed/unkept. If we are going to allow any storage on Harbor, we need a better design element to them. There were no objections. Mayor Balensifer summarized what he is hearing from the commission is that in general for this ordinance, we're fine; in related to storage unit facilities, we would outright prohibit them on S. Main and Pacific, and in relation to

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Harbor, we would then put in place a setback requirement, the frontage issue and a design standard, and specify the design standard at a later date. Mr. Barnes repeated his understanding of the direction. (Commissioner Newton left the meeting at 6:42 p.m.) Ms. Engbretson asked if S. Main includes all the way down to the Twin Spruce area past the high school. Mayor Balensifer confirmed yes, it does. Mr. Barnes recommended they make a motion to conduct the first reading as amended and bring back the corrected ordinance at the next meeting.

Mayor Balensifer asked for public comment. Mr. Stan Johnson spoke in favor of the code amendment, noting the change in the housing/residential parking requirement. No one spoke in opposition. There being no further comments, Mayor Balensifer closed the public hearing.

Commissioner Dyer made the motion to conduct the first reading, by title only of Ordinance No. 1242, with the amendments as discussed. Motion was seconded and passed unanimously.

Ackley – aye; Balensifer – aye; Baldwin – aye; Dyer – aye

Mayor Balensifer conducted the first reading, by title only, of Ordinance No. 1242; amending Title 16 of the Warrenton Municipal Code by adding commercial corridor design standards.

BUSINESS ITEMS

Mayor Balensifer noted the second reading of Ordinance No. 1240 is housekeeping matter; there was no discussion.

Commissioner Dyer made the motion to conduct the second reading, by title only of Ordinance No. 1240, amending Section 10.04.030 of the Warrenton Municipal Code, replacing Section 10.04.220, and replacing Section 10.04.230 as stated. Motion was seconded and passed unanimously.

Ackley – aye; Balensifer – aye; Baldwin – aye; Dyer – aye

Mayor Balensifer conducted the second reading, by title only, of Ordinance No. 1240; Amending Section 10.04.030 of the Warrenton Municipal Code, Replacing Section 10.04.220, and Replacing Section 10.04.230 as stated.

Commissioner Ackley made the motion to adopt Ordinance No. 1240. Motion was seconded and passed unanimously.

Ackley – aye; Balensifer – aye; Baldwin – aye; Dyer – aye

Public Works Director Collin Stelzig discussed Resolution No. 2575, updating commingled recycling rates. He stated the rates will increase from \$7.58 to \$7.80 per month and will be effective October 1, 2020. This is a pass through to Recology Western Oregon who provides the service. Mayor Balensifer and Commissioner Baldwin asked about cost comparisons; Mr. Stelzig

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stated he does not have that information in front of him. Dave Larmouth, of Recology, (via Zoom) stated he is happy to answer any questions. He noted the recycling rate comparison and noted a 2.9% rate increase. Yard debris and glass recycling are not included in our current rate. Brief discussion continued.

Commissioner Baldwin made the motion to conduct the first reading of Resolution No. 2575. Motion was seconded and passed unanimously.

Ackley – aye; Balensifer – aye; Baldwin – aye; Dyer - aye

Mayor Balensifer conducted the first reading, by title only, of Resolution No. 2575; Adopting and Setting New Rates for Residential Recycling Services effective October 1, 2020 and Repealing al Resolutions in Conflict.

Mr. Stelzig presented a contract with TSR Corporation for the video inspection of sewer mains project. He noted they received three proposals, and the successful proposal in the amount of \$33,152.50 was received from TSR. Mr. Stelzig noted previous discussions on funding for projects. This project will give us a report of any problems and put it into a package so we can look for funding. The hope is to reduce our I&I. He noted the pricing error (of .50) on the contract. Discussion continued. Ms. Engbretson noted the state is taking back some grant funding and it will affect one of our projects; Mr. Stelzig confirmed that will not affect this project.

Commissioner Baldwin made the motion to award the contract for the Video Pipe Inspection of Sewer Mains to TSR Corporation. Motion was seconded and passed unanimously.

Ackley – aye; Balensifer – aye; Baldwin – aye; Dyer – aye

City Manager Linda Engbretson discussed a Memorandum of Agreement (MOA) with the General Bargaining Unit, noting a corrected agenda memo that was distributed for the record. Ms. Engbretson explained the MOA is a Rollover Agreement which provides a 1% COLA and City pick-up of the health insurance deductible as a direct contribution to the employee's Health Savings Account. All other terms of the current agreement remain in place; full negotiations will open in January.

Commissioner Dyer made the motion to approve the Memorandum of Agreement by and between AFSCME and the City of Warrenton and authorize the Mayor's signature on the MOA for the general unit. Motion was seconded and passed unanimously.

Ackley – aye; Balensifer – aye; Baldwin – aye; Dyer – aye

Mayor Balensifer discussed a phone call he received from Hampton Mill regarding a new proposal by ODF that is changing the forest conservation set asides. The issue boils down to how are mills across the state in the long term going to be able to plan out and maintain processing and harvesting. He expanded on the issue and noted they were going to push the hearing and

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rulemaking through without much public comment, which he has a problem with as it will affect our major employers. Hampton Mill is one of the better paying jobs you can find in Warrenton. He briefly reviewed the letter from the Hampton Mill outlining the impacts the harvest reductions will have on Clatsop County.

Commissioner Baldwin made the motion to authorize the Mayor's signature on letter of concerns to the Department of Forestry. Motion was seconded and passed unanimously.

Ackley – aye; Balensifer – aye; Baldwin – aye; Dyer – aye

DISCUSSION ITEMS – None

GOOD OF THE ORDER

Commissioner Ackley gave an update on the Back to the Future movie. The plan is to have it at the church in Hammond; the movie will be projected on the building and the audio will be on am radio. They can have up to 50 cars. The church has agreed to allow use of the bathroom. The event will take place on September 12, and they can repeat the movie on Sunday night if it is popular. Mayor Balensifer noted he has had outreach from several area churches that want to help/assist.

Mayor Balensifer stated he has a feeling we will receive additional requests for façade improvement grants; and noted we may need to get more money from Urban Renewal funds. Ms. Engbretson stated she can discuss with the Urban Renewal Advisory Committee at their meeting next week.

Ms. Engbretson noted three members of our Fire Department were sent to California to fight wildfires and asked Fire Chief Alsbury to give an update. Chief Alsbury showed photos slides and noted which units they sent. They are working 24-hour shifts; 24 on/24 off. He explained what firefighting activities they are participating in. Brief discussion continued; Mayor Balensifer asked Chief Alsbury to post something on Facebook for public benefit/awareness.

There being no further business, Mayor Balensifer adjourned the regular meeting at 7:35 p.m.

APPROVED:

ATTEST:

Henry A. Balensifer III, Mayor

Dawne Shaw, CMC, City Recorder

MINUTES
 Warrenton City Commission
 September 8, 2020
 6:00 p.m.
 Warrenton City Hall - Commission Chambers
 225 S. Main
 Warrenton, OR 97146

Mayor Balensifer called the meeting to order at 6:00 p.m. and led the public in the Pledge of Allegiance.

Commissioners Present: Mayor Balensifer, Tom Dyer, Mark Baldwin, Rick Newton (via Zoom), and Pam Ackley (via Zoom)

Staff Present: City Manager Linda Engbretson, Public Works Director Collin Stelzig, Public Works Operations Manager Kyle Sharpsteen, Harbormaster Jane Sweet, Police Chief Mathew Workman, Fire Chief Brian Alsbury, and City Recorder Dawne Shaw

CONSENT CALENDAR

A. Monthly Finance Report – June 2020

Commissioner Baldwin made the motion to approve the consent calendar as presented. Motion was seconded and passed unanimously.

Ackley – aye; Balensifer – aye; Newton – aye; Baldwin – aye; Dyer - aye

COMMISSIONER REPORTS

Commissioner Newton stated he spoke with Fire Chief Alsbury and learned they sent three firefighters over to the wildfire in Mill City. He also noted on September 21 the Astoria City Council will meet to discuss what to do about past due water bills and late fees.

Commissioner Dyer welcomed everyone.

City Manager Linda Engbretson followed up on Commissioner Newton's comments, noting that Chief Alsbury can give an update on the fire. She also noted that later this month she be bringing to the commission for discussion, the water bills, and the unintended consequences of not charging late fees.

Mayor Balensifer asked Commissioner Ackley for the dates of the drive-in movie; Commissioner Ackley confirmed September 12 and 13. Mayor Balensifer noted he has been talking with folks across the nation, and people really want to feel like things are getting close to normal.

City Recorder Dawne Shaw stated the City now has a permanent ballot drop box located in the parking lot behind city hall. She thanked Public Works for their work in getting the box installed. The box will be managed by county elections.

PUBLIC COMMENT

Tony Faletti voiced concerns about camping at the Hammond marina over the weekend, noting that RVs and tents were all over, camping in undesignated areas. He also noted concerns about people using hibachi grills in the dry grass areas.

PUBLIC HEARING – None

BUSINESS ITEMS

Public Works Director Collin Stelzig presented Resolution No. 2575 for its second reading and adoption.

Commissioner Dyer made the motion to conduct the second reading, by title only of Resolution No. 2575; Adopting and Setting New Rates for Residential Recycling Services effective October 1, 2020 and Repealing all Resolutions in Conflict. Motion was seconded and passed unanimously.

Ackley – aye; Balensifer – aye; Baldwin – aye; Dyer – aye; Newton – aye

Mayor Balensifer conducted the second reading, by title only, of Resolution No. 2575; Adopting and Setting New Rates for Residential Recycling Services effective October 1, 2020 and Repealing all Resolutions in Conflict.

Commissioner Ackley made the motion to adopt Resolution No. 2575. Motion was seconded and passed unanimously.

Ackley – aye; Balensifer – aye; Baldwin – aye; Dyer – aye; Newton – aye

Mr. Stelzig discussed the bid award for the 2020 Warrenton Paving Improvements Project, noting the project was discussed with two contractors. Bayview Asphalt was the only one that submitted a bid, in the amount of \$377,266. He noted this bid came in lower than the engineer's opinion of probable cost for this project. Brief conversation followed. Mayor Balensifer asked Mr. Stelzig to confirm for the record that the pavement management program is not a commission generated list; the list was generated in 2018 by an independent consultant, with no financial or otherwise direct interest ties to the City of Warrenton, who created a grading system and gave it a five year plan based on the grading and budgetary breakdown. This is a staff driven project. Mr. Stelzig confirmed; noting that back in 2018, there was very little, if any input from the commission on the program when it was presented. He explained how the plan was calculated and determined. He also noted Public Works did not have any input on the roads and

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how they were scored. Mayor Balensifer noted the idea was to depoliticize management of roads that do not need full reconstruction; Mr. Stelzig confirmed this was to depoliticize it.

Commissioner Dyer made the motion to approve awarding the contract for the 2020 Warrenton Paving Improvements Project to Bayview Asphalt, Inc. for the amount of \$377,266. Motion was seconded and passed unanimously.

Ackley – aye; Balensifer – aye; Baldwin – aye; Dyer – aye; Newton – aye

Commissioner Newton asked for an explanation, for public benefit, where the money for this project comes from. Mr. Stelzig stated it comes out of the state tax street fund, which is gas tax and some other ODOT monies, which can be used for maintenance as well as rebuilding roads.

City Manager Linda Engbretson noted the updated copy of Resolution No. 2577 distributed to the commission prior to the meeting that includes an updated adopted date. She stated this resolution will extend the state of emergency. She recommended linking the declaration to the Governor's order unless rescinded earlier by the Warrenton City Commission. Mayor Balensifer noted for the record there are no emergency orders attached to it, it is just a declaration for funding purposes. Ms. Engbretson stated it continues the declaration so that we are able to apply for covid relief dollars, should they become available. Brief discussion followed. Ms. Engbretson noted we have been reimbursed for \$14,000 so far, which includes our covid related legal costs.

Commissioner Ackley made the motion to adopt Resolution No. 2577, Extending the State of Emergency because of the COVID-19 outbreak. Motion was seconded and passed unanimously.

Ackley – aye; Balensifer – aye; Baldwin – aye; Dyer – aye; Newton – aye

DISCUSSION ITEMS

Harbormaster Jane Sweet gave an update on the Marina and Buoy 10 activity. She explained the report she submitted, noting they budgeted low due to the pandemic but have met the projected goals for Hammond and Warrenton, however they are down from last year. She noted the camping revenues were up in Warrenton this year, and down a little in Hammond. Ms. Sweet spoke to Mr. Faletti's comments, noting he was correct, and explained that campers come in late at night and go into undesignated areas. Staff does chase them out. She noted they come in all hour of the night, but are out fishing when staff gets in. It is hard to keep track of who is legally there and who is not. Ms. Sweet noted the challenges of keeping up with it due to being short staffed. Brief discussion continued. Chief Workman noted most of that area is out of city limits so our ordinances cannot be enforced. We need to tighten up our ordinances and close loopholes. He noted they do everything they can and patrol around the basin, now that it is city owned property, but they cannot enforce city ordinances outside of city limits. Discussion followed on trespassing. Commissioner Newton suggested a work session to determine how to manage this next year. Commissioner Baldwin suggested posting criminal trespass signs any place you do not want camping, and if they don't move, trespass them. He also asked about the barricades on the

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east side of the marina. Ms. Sweet clarified that the barricades were moved to allow trailer parking in the field, but not driving on the river beach. Mayor Balensifer stated he is not trying to fix these problems right now, the marina task force or committee can do that, but thinks it is important to overview some issues now for the record on what's going on. He asked if there was police presence in Hammond this year? Ms. Sweet and Chief Workman confirmed the police were there. Ms. Engbretson noted the steps we have taken over the years which have improved things greatly out there. She stated with the number of people that were out there this year, in a condensed timeframe, that a lot of the complaints we get, are the same complaints we get every year during Buoy 10. In order to eliminate some of the mayor's concerns is that we state absolutely this is how many campsites we have, and once we are full, we are full. There is no overflow, if you don't want them to be in that area, then we make that decision and we won't go there at all. We as staff members looked, knowing covid, and knowing the other campgrounds were opening at 100%, and one of her concerns was the Buoy 10 folks are going to come and where were they going to go if they weren't camping in the marina. All the campgrounds are open for business and she didn't think it fair to close our campsites when we would be dealing with RVs parked illegally all over the city. She stated there were great improvements with added bathrooms, and lots and lots of signage. Discussion continued on overflow camping. Commissioner Dyer noted he and Harbormaster Sweet have discussed nautical themed barricades they can put in. Mayor Balensifer stated he was most bothered by people disrespecting the Seafarers memorial, and felt it was not dignified for the city to allow. He briefly discussed the RV issues and noted there are bigger issues that a citizen committee can work on with the harbormaster's and police chief's help. He stated that he does agree with Mr. Faletti that they were packed in like sardines and discussed the fire hazards. He also suggested raising the camping rates as well, since the demand is there. Ms. Engbretson stated she would like to hear direction from the commission that there is no camping in Seafarer's Park, even for overflow, and from there we can determine spacing. Mayor Balensifer stated that once the marina advisory committee is in place, one of their first tasks should be to look at Buoy 10.

Commissioner Ackley stated she is hoping to remain on the marina committee and noted these are good suggestions and agreed that it would be imperative to protect the park. She stated she has aerial photos which show how many RVs were out there that she will share with the committee when it gets formed. She noted the need to protect our aquatic areas and memorials, and to designate camp spaces. She also noted the task force wanted to see overflow parking and camping in the dredge spoils area. Ms. Engbretson stated we need to dedicate more resources and staff. She commended the marina staff, who worked 24/7 for those two weeks and noted they did a pretty good job. Ms. Engbretson stated there are things they can improve on and will take note of those and do a better job. Mayor Balensifer agreed they did great with what they had, but we need to take what we have heard and seen, and learn from it and improve on it. Ms. Engbretson stated the public needs to be accountable too. Brief discussion continued.

Ms. Sweet gave a brief update on the dredging, noting they submitted a letter to see if they can get permission to dispose dredge spoils on ebb and flood tides. Everything is on schedule for November.

Chief Workman gave an update on the police activity during Buoy 10, noting he went out the first weekend at 4:30 a.m. and boaters waiting to launch were backed up all the way out to the Hammond post office. He agreed that heavy rope would be great for barricades and stated in addition to barricades we need to complete the lighting project out there - a lot of the issues are due to darkness. He noted the statistics from the 2-week period; 437 total calls for service during that period, however only 23 were directly to Buoy 10 – less than 5%. He did note that does not include traffic stops, where they had an increase in speeding incidents. Brief discussion followed on delinquent marina accounts. Mayor Balensifer stated marina staff has done a great job on the issue of late accounts, as well as derelict vessels. Commissioner Ackley noted how impressed she was with the repairs that were done on A & B dock. She noted concerns on one boat that is causing issues for others; Ms. Sweet stated they are addressing that issue. She noted they are now down to 8 live-aboards. Mayor Balensifer asked Ms. Sweet to extend to staff and herself the commissions' thanks and appreciation for keeping things together and running. Ms. Engbretson noted a recent issue where a local elderly person needed help and marina worker Anthony Wirt stepped up and helped her out – on his own time. Mayor Balensifer asked Ms. Sweet to extend to Mr. Wirt the commissions' thanks and appreciation, as well as encouragement; that kind of civic engagement is in the highest standards and traditions of the city of Warrenton and its citizens.

Fire Chief Brian Alsbury gave an update on the current fire activity. He noted the crew came back from firefighting in California last week and stated for the record they did not bring back the smoke or the heat. He outlined the current burn ban. He noted the State called last night looking for resources and they put together a task force (county), with one engine from Warrenton that left at 3:30 a.m. this morning. The State called again today looking for more resources, but he had to decline. He noted the crew that left today went to the Hagg Lake fire. He spoke of the devastation and noted that there was nothing they could do, but just watch buildings burn to the ground – the city is gone. Mayor Balensifer asked what Chiefs' assessment of the fire risk or the possibility of a fire risk in our dunes; Chief Alsbury stated it could end very badly. Brief discussion continued on the severity of this fire season. Chief Alsbury continued to explain how the community is on board with the current burn ban.

GOOD OF THE ORDER

Commissioner Ackley gave a few more details on the upcoming drive-in movie.

Commissioner Newton stated he will not be in attendance at the next meeting.

There being no further business, Mayor Balensifer adjourned the regular meeting at 7:09 p.m.

APPROVED:

ATTEST:

Henry A. Balensifer III, Mayor

Dawne Shaw, CMC, City Recorder

MINUTES
 Warrenton City Commission
 September 22, 2020
 6:00 p.m.
 Warrenton Community Center
 170 SW 3rd Street
 Warrenton, OR 97146

Mayor Balensifer called the meeting to order at 6:00 p.m. and led the public in the Pledge of Allegiance.

Commissioners Present: Mayor Balensifer, Tom Dyer, Mark Baldwin, and Rick Newton by phone (joined at 6:32)

Excused: Pam Ackley

Staff Present: City Manager Linda Engbretson, Public Works Operations Manager Kyle Sharpsteen, Police Chief Mathew Workman, Interim Planning Director Mark Barnes, Fire Chief Brian Alsbury, and City Recorder Dawne Shaw

Others: Members of the Warrenton Volunteer Firefighters Association

Fire Chief Alsbury asked Division Chief Scott Watson, Firefighter Leonard Hanson, and Captain Chris Penno to give a brief report on the devastating wildfires they assisted with in Southern California and Central Oregon. Chief Alsbury commented on the phenomenal group of volunteer firefighters and staff of the fire department and thanked them for their service and dedication to the community.

Mayor Balensifer administered the Oath of Office for Fire Chief Brian Alsbury. Chief Alsbury's wife pinned on his badge and his father pinned on his bugles. Chief Alsbury explained that the bugles were his father's and are very meaningful. City Manager Linda Engbretson explained the oath is being administered after 9 months on the job, due to the 9-month probationary period. She stated that Chief Alsbury is doing a fantastic job and he is great leader, and noted she is happy to have him as part of the team.

At 6:12 p.m. Mayor Balensifer called for a 15-minute recess for refreshments, courtesy of the Volunteer Firefighters Association. At 6:29 p.m. Mayor Balensifer reconvened the regular meeting.

CONSENT CALENDAR

- A. City Commission Meeting Minutes – 8.11.20
- B. Monthly Finance Report – July 2020
- C. Police Department Monthly Statistics – August 2020

Commissioner Baldwin made the motion to approve the consent calendar as presented. Motion was seconded and passed unanimously.

Balensifer – aye; Baldwin – aye; Dyer - aye

PUBLIC COMMENT

Mrs. Florence Snyder submitted public comments for the record. She briefly stated she is having building permit issues and that she needs help.

COMMISSIONER REPORTS

Commissioner Dyer welcomed everyone and stated how nice it was to see the community come together and support the fire department.

Commissioner Baldwin thanked everyone for coming and commented on the nice badge pinning ceremony.

Ms. Engbretson requested to add agenda item 8-F for the appointment of an interim municipal judge. There were no objections.

Mayor Balensifer asked to move agenda item 8-D up to the top of the business items. There were no objections. He also reported that he would be interested to see how state legislature responds to the League of Oregon Cities' request that the state distribute money from the Covid stimulus package to small cities, who have not yet received stimulus.

Commissioner Newton joined the meeting at 6:32 p.m., by phone.

PUBLIC HEARING – None

BUSINESS ITEMS

Mayor Balensifer noted an event application from Spruce Up Warrenton for the annual Trunk or Treat event. Ms. Jeanne Smith stated she is available to answer questions if needed. Mayor Balensifer noted this event has everyone's support. Ms. Engbretson stated she shared the health departments comments and suggestions about the event; Ms. Smith noted they will follow the guidelines and be very safe.

Commissioner Baldwin made the motion to approve the annual Trunk-or-Treat Event at Quincy Robinson Park. Motion was seconded and passed unanimously.

Balensifer – aye; Baldwin – aye; Dyer – aye; Newton – aye

Mayor Balensifer noted Sunset Empire Transportation District Executive Director Jeff Hazen in attendance, who is requesting a letter of support for a grant application. Mr. Hazen presented a

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power point outlining the transit access study results and noted a full bus stop shelter is planned for Highway 101 near the SE Ensign intersection. The grant application will provide funding for this bus stop. Mr. Hazen noted the increase in ridership between Warrenton and Hammond. Brief discussion followed.

Commissioner Dyer made the motion to authorize the Mayor's signature on a letter of support from the Warrenton City Commission. Motion was seconded and passed unanimously.

Balensifer – aye; Baldwin – aye; Dyer – aye; Newton – aye

Interim Planning Director Mark Barnes presented Ordinance No. 1242 for its second reading and adoption. He noted the changes made after previous discussions and outlined possible issues that can be changed easily. Mr. Barnes outlined other changes to the findings and noted if the commission adopts the findings, they then become their findings. Mayor Balensifer noted the setback requirement on Harbor should be 100 feet. Mayor Balensifer clarified the amendments – 100 feet would be the setback on Harbor; and no new mini storage facilities on S. Main Avenue and Pacific Avenue.

Commissioner Baldwin made the motion to amend Ordinance No. 1242, Paragraph G, Section 1, to read “New storage facilities shall be constructed no closer than 100 feet from the nearest street-side property line on Harbor Avenue.” Motion was seconded. Brief discussion continued. Mayor Balensifer called for a vote and the motion was passed unanimously.

Balensifer – aye; Baldwin – aye; Dyer – aye; Newton – aye

Commissioner Dyer made the motion to conduct the second reading, by title only of Ordinance No. 1242 as amended. Motion was seconded and passed unanimously.

Balensifer – aye; Baldwin – aye; Dyer – aye; Newton - aye

Mayor Balensifer conducted the second reading, by title only, of Ordinance No. 1242; Amending Warrenton Municipal Code Title 16, Development Code, Division 3, Design Standards, Establishing New Design Standards for Commercial Corridors as amended.

Commissioner Baldwin made the motion to adopt Ordinance No. 1242 as amended. Motion was seconded and passed unanimously.

Balensifer – aye; Baldwin – aye; Dyer – aye; Newton – aye

City Manager Linda Engbretson discussed the street vacation petition from Stan Johnson, for a portion of an undeveloped street known as Sprague Street (SE 15th). She presented Ordinance No. 1243 for its first reading. She stated the public hearing for this street vacation was held on

August 25 and noted she is working with legal counsel to make sure the easements are notated correctly.

Commissioner Dyer made the motion to conduct the first reading of Ordinance No. 1243 by title only. Motion was seconded and passed unanimously.

Balensifer – aye; Baldwin – aye; Dyer – aye; Newton – aye

Mayor Balensifer conducted the first reading, by title only, of Ordinance No. 1243; An Ordinance Vacating a Portion of an Undeveloped Street Known as Sprague Street (SE 15th) in the Town Plat of Spokane Addition, Warrenton Oregon.

Public Works Operations Manager Kyle Sharpsteen discussed the bid award for the SE Anchor (Harbor – SE 3rd St.) Waterline Project. Commissioner Baldwin gave a few comments on the bid coming in under budget. Commissioner Newton stated he will recuse himself from this project as he owns property that will directly benefit.

Commissioner Baldwin made the motion to approve awarding the contract for the SE Anchor (Harbor – SE 3rd St.) Waterline Project to Big River for the amount of \$129,450.53. Motion was seconded and passed unanimously.

Balensifer – aye; Baldwin – aye; Dyer – aye; Newton – recused

Ms. Engbretson discussed the appointment of Interim Municipal Judge John Orr. She noted she reached out to local attorneys and was referred to Mr. Orr, who has been the Gearhart Judge for over 20 years. She stated she will move forward with an RFP for a new municipal judge.

Commissioner Dyer made the motion to appoint John Orr as interim municipal judge. Motion was seconded and passed unanimously.

Balensifer – aye; Baldwin – aye; Dyer – aye; Newton – aye

DISCUSSION ITEMS – None

GOOD OF THE ORDER

Commissioner Dyer thanked everyone for their community involvement.

Ms. Engbretson mentioned the new lights in the city hall parking lot, noting it was an Urban Renewal project.

Mayor Balensifer added that having travelled quite a bit over the past few months, to different cities across the nation, he took note of what different towns do to create a sense of place. He stated it is great to see the transformation occurring in our town. He also noted some towns that were bad; that did not have a lot of pride or a sense of place; they look like towns forgotten. He

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thanked Spruce Up Warrenton and everyone that volunteers on our boards and provides public input as we move to make our city that much better.

Ms. Engbretson noted the exciting new Hammond waterline project will come to commission next month.

There being no further business, Mayor Balensifer adjourned the regular meeting at 7:19 p.m.

APPROVED:

Henry A. Balensifer III, Mayor

ATTEST:

Dawne Shaw, CMC, City Recorder

DRAFT

Volume 14, Issue 2

Monthly Finance Report
August 2020

October 13, 2020

Economic Indicators

	Current	1 year ago
◆ Interest Rates:		
LGIP :	1.00%	2.64%
Prime Rate:	3.25%	5.25%
◆ CPI-U change:	0.4%	1.7%
◆ Unemployment Rates:		
Clatsop County:	8.7%	3.6%
Oregon:	7.7%	3.6%
U.S.:	8.4%	3.7%

Department Statistics

◆ Utility Bills mailed	3,390
◆ New Service Connections	5
◆ Reminder Letters	437
◆ Door Hangers	0
◆ Water Service Discontinued	0
◆ Walk-in counter payments	242
◆ Mail payments	1,348
◆ Auto Pay Customers/pmts	672
◆ Online (Web) payments	895
◆ Checks issued	337

Current and Pending Projects

- ◆ Audit Preparation and Reporting
- ◆ Fiscal Year End Closing
- ◆ Business License Renewals
- ◆ CRF Grant Funding
- ◆ Community Center Annual Report
- ◆ SDC Annual Report
- ◆ Landfill Financial Assurance Report
- ◆ Open Enrollment for Health Insurance

Financial Narrative as of August 31, 2020

Note: Revenues and expenses should track at 2/12 or 16.7% of the budget. Expenditures on pages 2-4 include personnel services, materials and services, and debt service costs as well as transfers to the capital funds. See budget for details.

General Fund: Total revenues year to date are \$350,281, which is 7.9% of the budget, compared to the prior year amount of \$517,137, which was 12.3% of the budget. Revenues exclusive of overhead are \$168,804 compared to \$163,048 at this time last year and are up by \$5,756. Increases are shown in prior year property taxes, franchise fees, state revenue sharing, police charges and lease receipts and are off set by decreases in transient room taxes, municipal court, community development fees, miscellaneous and interest.

Expenses year to date amount to \$590,895 compared to the prior year amount of \$821,756. A decrease of \$230,861. Insurance premiums that are normally paid in July for the entire year have been moved to quarterly payments this year to address cash flow concerns due to economic uncertainty caused by the Coronavirus pandemic. All departments are tracking under budget.

WBA: Business license revenue amounts to \$58,523 compared to \$59,020 last year at this time, a difference of \$497. The number of business licenses issued year to date is 445 compared to 613 at this time last year.

Building Department: Permit revenues year to date amount to \$56,020, which is 11.9% of the budgeted amount. Last year to date permit revenue was \$35,590, which was 9.7% of the budgeted amount.

State Tax Street: State gas taxes received this month amount to \$21,975 for fuel sold in July compared to \$24,651 at this time last year. Receipt of City fuels tax revenue for this fiscal year will begin next month.

Warrenton Marina: Total revenues year to date are \$411,372, compared to \$416,876, at this time last year, a decrease of \$5,504. There is \$59,448 in moorage receivables outstanding.

Hammond Marina: Total revenues year to date are \$251,916 compared to \$296,285 at this time last year, a decrease of \$44,369. There is \$11,540 in moorage receivables outstanding.

Of the total outstanding receivables:

- \$16,455 (23.2%) is current,
- \$2,140 (3%) is 30-60 days past due,
- \$44,698 (63%) is 60-90 days past due and
- \$7,695 (10.8%) is over 90 days past due

Water Fund: Utility fees this month are \$283,942 and \$230,198, for in-city and out-city respectively and total \$514,140. Total year to date utility fees are \$933,103 and are 29.8% of the budget.

Last year at this time total utility fees were \$931,260, which was 28.8% of the budget and are down by \$1,843.

Sewer Fund: Utility fees this month are \$215,338 and \$423,784 year to date, which is 19.8% of the budget. Last year at this time utility fees were \$419,406 which was 18.1% of the budget and are up by \$4,378. Shoreline Sanitary fees year to date are \$22,773. Year to date septage revenue amounts to \$36,943.

Storm Sewer: Utility fees (20% of sewer) this month are \$43,045 and \$84,720 year to date and are 19.8% of the budget.

Sanitation Fund: Year to date service fees for garbage and recycling were \$165,230 and \$33,734 and are 19.4% and 18.7% of the budget, respectively.

SDC Summary for FYE 2020

	Revenues				Ending Fund Balance
	Beginning Fund Balance	SDC	Interest	Projects & Debt Pmts	
Parks	143,743	18,860	3,301		165,904
Water	100,715	69,367	1,713	80,000	91,795
Sewer	50,643	40,874	975	39,305	53,187
Storm	43,628	12,588	1,086		57,302
Streets	815,508	74,989	18,771		909,268
total	1,154,237	216,678	25,846	119,305	1,277,456

Financial data as of August, 2020

	General Fund				
	Current Month	Year to Date	Budget	% of Budget	
Beginning Fund Balance	890,121	1,043,091	600,000	173.85	
Plus: Revenues	216,047	350,281	4,425,741	7.91	(see details of revenue, page 4)
Less: Expenditures					
Municipal Court	10,339	20,982	160,306	13.09	
Admin/Comm/Fin(ACF)	106,615	181,477	1,167,282	15.55	
Planning	10,381	21,545	292,992	7.35	
Police	118,852	244,169	2,000,654	12.20	
Fire	46,880	104,451	855,386	12.21	
Parks	10,624	18,271	163,557	11.17	
Transfers	-	-	70,178	-	
Total Expenditures	303,691	590,895	4,710,355	12.54	
Ending Fund Balance	802,477	802,477	315,386	254.44	

	WBL				
	Current Month	Year to Date	Budget	% of Budget	
Beginning Fund Balance	129,366	71,405	78,000	91.54	
Plus: Revenues	(1,549)	58,523	59,800	97.86	
Less: Expenditures	9,000	11,111	128,346	8.66	
Ending Fund Balance	118,817	118,817	9,454	1,256.79	

	Building Department				
	Current Month	Year to Date	Budget	% of Budget	
Beginning Fund Balance	918,794	898,004	790,000	113.67	
Plus: Revenues	10,409	57,403	474,000	12.11	
Less: Expenditures	22,882	49,086	324,175	15.14	
Ending Fund Balance	906,321	906,321	939,825	96.44	

	State Tax Street				
	Current Month	Year to Date	Budget	% of Budget	
Beginning Fund Balance	2,543,923	2,561,838	2,300,000	111.38	
Plus: Revenues	29,142	31,761	709,965	4.47	
Less: Expenditures	37,291	57,825	2,674,892	2.16	
Ending Fund Balance	2,535,774	2,535,774	335,073	756.78	

	Warrenton Marina				
	Current Month	Year to Date	Budget	% of Budget	
Beginning Fund Balance	436,154	172,727	145,000	119.12	
Plus: Revenues	108,500	411,372	571,226	72.02	
Less: Expenditures	50,592	90,037	558,797	16.11	
Ending Fund Balance	494,062	494,062	157,429	313.83	

Financial data as of August 2020, continued

	Hammond Marina				Water Fund			
	Current Month	Year to Date	Budget	% of Budget	Current Month	Year to Date	Budget	% of Budget
Beginning Fund Balance	285,465	180,776	160,000	112.99	3,446,906	3,144,980	2,200,000	142.95
Plus: Revenues	122,978	251,916	233,401	107.93	524,035	960,332	5,766,500	16.65
Less: Expenditures	40,216	64,465	315,641	20.42	143,123	277,494	7,049,683	3.94
Ending Fund Balance	<u>368,227</u>	<u>368,227</u>	<u>77,760</u>	<u>473.54</u>	<u>3,827,818</u>	<u>3,827,818</u>	<u>916,817</u>	<u>417.51</u>

	Sewer Fund				Storm Sewer			
	Current Month	Year to Date	Budget	% of Budget	Current Month	Year to Date	Budget	% of Budget
Beginning Fund Balance	2,762,950	2,688,213	2,200,000	122.19	1,212,246	1,185,198	1,100,000	107.75
Plus: Revenues	249,605	508,410	2,428,800	20.93	43,936	86,661	433,400	20.00
Less: Expenditures	136,127	320,195	3,462,167	9.25	13,311	28,988	1,200,861	2.41
Ending Fund Balance	<u>2,876,428</u>	<u>2,876,428</u>	<u>1,166,633</u>	<u>246.56</u>	<u>1,242,871</u>	<u>1,242,871</u>	<u>332,539</u>	<u>373.75</u>

	Sanitation Fund				Community Center			
	Current Month	Year to Date	Budget	% of Budget	Current Month	Year to Date	Budget	% of Budget
Beginning Fund Balance	443,894	432,697	450,000	96.15	18,564	18,239	16,000	113.99
Plus: Revenues	101,285	200,274	1,037,195	19.31	317	1,693	16,560	10.22
Less: Expenditures	87,338	175,130	1,291,501	13.56	1,060	2,111	24,119	8.75
Ending Fund Balance	<u>457,841</u>	<u>457,841</u>	<u>195,694</u>	<u>233.96</u>	<u>17,821</u>	<u>17,821</u>	<u>8,441</u>	<u>211.12</u>

	Library				Warrenton Urban Renewal Agency Capital Projects Fund			
	Current Month	Year to Date	Budget	% of Budget	Current Month	Year to Date	Budget	% of Budget
Beginning Fund Balance	108,191	128,494	111,000	115.76	19,501	19,464	25,288	76.97
Plus: Revenues	2,847	5,750	225,902	2.55	33,268	33,305	6,407,433	0.52
Less: Expenditures	14,070	37,276	232,070	16.06	36,626	36,626	6,432,721	0.57
Ending Fund Balance	<u>96,968</u>	<u>96,968</u>	<u>104,832</u>	<u>92.50</u>	<u>16,143</u>	<u>16,143</u>	<u>-</u>	<u>-</u>

Financial data as of August 2020, continued

(\$) Cash Balances as of August 31, 2020

General Fund	1,066,031	Warrenton Marina	446,915	Storm Sewer	1,189,631
WBA	120,110	Hammond Marina	371,424	Sanitation Fund	370,132
Building Department	910,636	Water Fund	3,005,291	Community Center	18,519
State Tax Street	2,557,314	Sewer Fund	2,506,880	Library	95,507

Warrenton Urban Renewal Agency

Capital Projects	19,523
Debt Service	1,886,095

General Fund Revenues	Collection Frequency	2020-2021 Budget	Actual as a % of Current Budget	Collections/Accruals Year to date		(over) under budget
				August 2020	August 2019	
Property taxes-current	AP	1,077,628	0.00	-	-	1,077,628
Property taxes-prior	AP	30,000	36.64	10,991	29	19,009
County land sales	A	-	0.00	-	-	-
Franchise fees	MAQ	598,000	6.60	39,447	36,907	558,553
COW - franchise fees	M	256,281	22.06	56,525	34,093	199,756
Transient room tax	Q	525,212	0.62	3,266	19,972	521,946
Liquor licenses	A	550	0.00	-	-	550
State revenue sharing	MQ	168,891	0.30	514	-	168,377
Municipal court	M	136,700	8.80	12,031	19,790	124,669
Community development fees	I	105,000	6.49	6,810	11,431	98,190
Police charges	I	21,000	8.93	1,876	1,578	19,124
Fire charges	SM	103,524	0.00	-	-	103,524
Park charges	I	-	0.00	-	325	-
Miscellaneous	I	1,200	42.33	508	733	692
Interest	M	18,000	5.72	1,030	2,830	16,970
Lease receipts	M	216,473	16.54	35,806	35,360	180,667
Grants	I	-	0.00	-	-	-
Sub-total		3,258,459	5.18	168,804	163,048	3,089,655
Transfers from other funds	I	-	0.00	-	-	-
Overhead	M	1,167,282	15.55	181,477	354,089	985,805
Total revenues		4,425,741	7.91	350,281	517,137	4,075,460

M - monthly

Q - quarterly

SM - Semi-annual in November then monthly

AP - As paid by taxpayer beginning in November

MAQ - Century Link, NW Nat & Charter-quarterly,
all others monthly

S - semi-annual

I - intermittently

MQ - Monthly, cigarette and liquor and Quarterly, revenue sharing

R - renewals due in July and new licenses intermittently

A - annual

Note: Budget columns do not include contingencies as a separate line item but are included in the ending fund balance. Unless the Commission authorizes the use of contingency, these amounts should roll over to the following year beginning fund balance. For budget details, please refer to the City of Warrenton Adopted Budget for fiscal year ending June 30, 2021. Budget amounts reflect budget adjustments approved by the Commission during the fiscal year. Information and data presented in this report is unaudited.



AGENDA MEMORANDUM

TO: The Warrenton City Commission

FROM: Mathew J. Workman, Chief of Police

DATE: October 13, 2020

SUBJ: 2020-2021 Police Dispatch Services Agreement

SUMMARY

The Warrenton Police Department contracts with the Astoria Police Department for police dispatch services. Each year the City must sign a "Subscriber Agreement" with the City of Astoria to set the terms and conditions to provide these services. These services are budgeted in the FY 2020-2021 City Budget. Historically the agreement is signed by the respective City Mayor and City Manager from each City.

RECOMMENDATION/SUGGESTED MOTION

Approve the 2020-2021 Police Dispatch Services Agreement and have it signed by the Mayor and City Manager.

"I move to approve the Police Dispatch Services Agreement with the City of Astoria for Fiscal Year 2020 – 2021 and to have the Mayor and the City Manager sign the agreement."

ALTERNATIVE

None feasible. Could create and operate our own Public Safety Answering Point (PSAP) but this is not feasible and would be extremely expensive.

FISCAL IMPACT

The FY 2020-2021 dispatch services will cost the City \$243,320, a zero percent (0%) increase from \$243,320 in FY 2019-2020.

ATTACHMENTS:

Two (2) copies of the 2020 – 2021 Police Dispatch Services Agreement.

Approved by City Manager:

All supporting documentation, i.e., maps, exhibits, etc., must be attached to this memorandum.

AGREEMENT

POLICE DISPATCH SERVICES

This Agreement is entered into on the 1st day of July, 2020 by and between the CITY OF WARRENTON, hereinafter called "Warrenton", and the CITY OF ASTORIA, a municipal corporation and hereinafter called "Astoria," both of Clatsop County, Oregon.

Warrenton and Astoria enter into this agreement because the Astoria Police Radio Communications Center, hereinafter called "Dispatch Center", has the staff and facilities to provide emergency radio dispatch service to Warrenton, and Warrenton has the necessary funds to pay Astoria for services performed under this agreement.

Nothing in this agreement shall be interpreted to cause the City of Astoria to violate any rules and regulations set forth by the United States of America Federal Communications Commission.

I. SERVICES TO BE PROVIDED BY ASTORIA

A. Basic Services

Astoria shall provide Warrenton with twenty-four (24) hour emergency dispatch service. This will include:

1. Answering service for Warrenton emergency incoming telephone lines;
2. Advising appropriate agency by means of radio of services requested by the public;
3. Answering radio calls for service and provide appropriate information to authorized personnel;
4. Maintaining a log of citizen-called-for services;
5. Provide communications equipment infrastructure maintenance for all equipment licensed by the FCC to Astoria 911 not including end user equipment.
6. Providing LEADS teletype service to authorized personnel and agencies using ORI OR004000.

Astoria shall retain control of its Dispatch Center Personnel and their performance of services under this agreement. All such personnel performing services for the City of Astoria pursuant to this agreement shall be Astoria employees and contracted City of Seaside certified Telecommunication Operators.

II. CONSIDERATION

- A. For the service provided by Astoria, Warrenton agrees to a cost of \$243,320 for the period of July 1, 2020 to June 30, 2021.

The sum of \$243,320, payment due on September 30, 2020

OR

1. For the period from the 1st day of July, 2020 to the 30th day of September, 2020, the sum of \$60,830.00, payment due on September 30, 2020;
2. For the period from the 1st day of October, 2020 to the 31st day of December, 2020, the sum of \$60,830.00, payment due on December 31, 2020;
3. For the period from the 1st day of January, 2021 to the 31st day of March, 2021, the sum of \$60,830.00, payment due on March 31, 2021;
4. For the period from the 1st day of April, 2021 to the 30th day of June, 2021, the sum of \$60,830.00, payment due on June 30, 2021.

III. LIABILITY INSURANCE

Each party to this agreement represents to the other that it will have in effect at all times during this agreement liability insurance coverage which covers all sums that each shall be legally obligated to pay as damages for liability under the Oregon Revised Statutes 30.260 to 30.300, the Oregon Tort Claims Act.

IV. TELEPHONE LINES

Warrenton shall assume all costs for installation, maintenance, repair or rental for all emergency telephone lines originating from Warrenton.

V. TERM OF CONTRACT

This contract shall be effective from July 1, 2020 to June 30, 2021 and may be modified or renewed upon the consent of both parties. This contract may be terminated at any time by either party provided that the terminating party gives the other party at least ninety (90) days written notice.

VI. ATTORNEY FEES

In the event suit or action is instituted to enforce any of the terms of this contract, the prevailing party shall be entitled to recover from the other party such sum as the court may adjudge reasonable as attorney's fees at trial or on appeal of such suit or action, in addition to all other sums provided by law.

IN WITNESS WHEREOF, the parties hereto have executed this agreement on the day, month and year first above written.

City of Astoria

City of Warrenton

By Bruce Jones, Mayor

By Henry A. Balensifer, Mayor

By Brett Estes, City Manager

By Linda Engbretson, City Manager

APPROVED AS TO FORM

Astoria City Attorney
Blair Henningsgaard

It is the policy of the City of Astoria that no person shall be denied the benefits of or be subjected to discrimination in any City program, service, or activity on the grounds of age, disability, race, religion, color, national origin, sex, sexual orientation, gender identity and expression. The City of Astoria also requires its contractors and grantees to comply with this policy.



AGENDA MEMORANDUM

To: The Honorable Mayor and Members of the Warrenton City Commission

Copy: Linda Engbretson, City Manager

From: Brian Alsbury, Fire Chief

Date: October 1, 2020

Regarding: 2020 - 2021 Fire Dispatch Services Agreement

Summary

The Warrenton Fire Department contracts with the Astoria Police Department for Fire Dispatch Services. Each year the City must sign a "Subscriber Agreement" with the City of Astoria to set the terms and conditions to provide these services. These services are budgeted in the FY 2020-2021 City Budget. Historically the agreement is signed by the respective City Mayor and City Manager from each City.

Recommendation / Suggested Motion

Approve the 2020-2021 Fire Dispatch Services Agreement and have it signed by the Mayor and City Manager.

"I move to approve the Fire Dispatch Services Agreement with the City of Astoria for Fiscal Year 2020– 2021 and to have the Mayor and the City Manager sign the agreement."

Alternative

None feasible.

Fiscal Impact

The FY 2020-2021 dispatch services will cost the City \$30,732, an increase of \$ 59.00 (.99%) from \$30,673 in FY 2019-2020.

Attachments

Two (2) copies of the 2020 – 2021 Fire Dispatch Services Agreement.

Approved by City Manager:

All supporting documentation, i.e., maps, exhibits, etc., must be attached to this memorandum.

AGREEMENT FIRE DISPATCH SERVICES

This Agreement is entered into on the 1st day of July, 2020 by and between City of WARRENTON, hereinafter called "WARRENTON", and the CITY OF ASTORIA, a municipal corporation and hereinafter called "Astoria," both of Clatsop County, Oregon.

WARRENTON and Astoria enter into this agreement because the Astoria Police Radio Communications Center, hereinafter called "Dispatch Center", has the staff and facilities to provide emergency radio dispatch service to WARRENTON, and WARRENTON has the necessary funds to pay Astoria for services performed under this agreement.

Nothing in this agreement shall be interpreted to cause the City of Astoria to violate any rules and regulations set forth by the United States of America Federal Communications Commission.

I. SERVICES TO BE PROVIDED BY ASTORIA

A. Basic Services

Astoria shall provide WARRENTON with twenty-four (24) hour emergency dispatch service. This will include:

1. Answering service for WARRENTON emergency incoming telephone lines;
2. Advising appropriate agency by means of radio of services requested by the public;
3. Answering radio calls for service and provide appropriate information to authorized personnel;
4. Maintaining a log of citizen-called-for services;
5. Provide communications equipment infrastructure maintenance for all equipment licensed by the FCC to Astoria 911 not including end user equipment.
6. Run records checks for backgrounds on volunteers.

Astoria shall retain control of its Dispatch Center Personnel and their performance of services under this agreement. All such personnel performing services for the City of Astoria pursuant to this agreement shall be Astoria employees and contracted City of Seaside certified Telecommunication Operators.

II. CONSIDERATION

- A. For the service provided by Astoria, WARRENTON agrees to a cost of \$30,732 for the period of July 1, 2020 to June 30, 2021.

The sum of \$30,732, payment due on September 30, 2020

OR

1. For the period from the 1st day of July, 2020 to the 30th day of September, 2020, the sum of \$7,683, payment due on September 30, 2020;
2. For the period from the 1st day of October, 2020 to the 31st day of December, 2020, the sum of \$7,683, payment due on December 31, 2020;
3. For the period from the 1st day of January, 2021 to the 31st day of March, 2021, the sum of \$7,683, payment due on March 31, 2021;
4. For the period from the 1st day of April, 2021 to the 30th day of June, 2021, the sum of \$7,683, payment due on June 30, 2021.

III. LIABILITY

Insurance

Each party to this agreement represents to the other that it will have in effect at all times during this agreement liability insurance coverage which covers all sums that each shall be legally obligated to pay as damages for liability under the Oregon Revised Statutes 30.260 to 30.300, the Oregon Tort Claims Act.

IV. TELEPHONE LINES

WARRENTON shall assume all costs for installation, maintenance, repair or rental for all emergency telephone lines originating from WARRENTON.

V. TERM OF CONTRACT

This contract shall be effective from July 1, 2020 to June 30, 2021 and may be modified or renewed upon the consent of both parties. This contract may be terminated at any time by either party provided that the terminating party gives the other party at least ninety (90) days written notice.

VI. ATTORNEY FEES

In the event suit or action is instituted to enforce any of the terms of this contract, the prevailing party shall be entitled to recover from the other party such sum as the court may adjudge reasonable as attorney's fees at trial or on appeal of such suit or action, in addition to all other sums provided by law.

IN WITNESS WHEREOF, the parties hereto have executed this agreement on the day, month and year first above written.

City of Astoria

City of WARRENTON

By Bruce Jones, Mayor

By

By Brett Estes, City Manager

By

APPROVED AS TO FORM

Astoria City Attorney
Blair Henningsgaard

It is the policy of the City of Astoria that no person shall be denied the benefits of or be subjected to discrimination in any City program, service, or activity on the grounds of age, disability, race, religion, color, national origin, sex, sexual orientation, gender identity and expression. The City of Astoria also requires its contractors and grantees to comply with this policy.

**City of
Warrenton
Police**



Mathew J. Workman, Chief of Police

Oath of Office Ceremony

- Chief Workman will introduce each officer/reserve individually and either pin the badge on them or introduce who they chose to pin the badge on them.
- Chief Workman will then call for Mayor Balensifer or designee to administer the “Oath of Office”

Raise you right hand...

*Do you solemnly swear that you will
support the Constitution of the United States of America,
the Constitution and Laws of the State of Oregon,
the laws and ordinances of the City of Warrenton,
and the Rules and Regulations of the Warrenton Police
Department;
and that you will, well and faithfully, discharge the duties of
the office to which you have been appointed,
in and for the City of Warrenton, according to law, and
to the best of your ability.*

Congratulations!



AGENDA MEMORANDUM

TO: The Warrenton City Commission

FROM: Dawne Shaw, City Recorder

DATE: October 13, 2020

SUBJ: Street Vacation Petition No. 152; Ordinance No. 1243 Second Reading

SUMMARY

The City Commission conducted a Public Hearing on August 25 for a street vacation petition from Stan Johnson, for a portion of Sprague Street, and conducted the first reading of Ordinance No. 1243 on September 22, 2020. The Ordinance is presented today for its second reading and adoption.

RECOMMENDATION/SUGGESTED MOTION

1. I move to conduct the second reading, by title only, of Ordinance No. 1243.

Ordinance No. 1243, Introduced by All Commissioners; An Ordinance Vacating a Portion of an Undeveloped Street Known as Sprague Street (SE 15th) in the Town Plat of Spokane Addition, Warrenton, Oregon.

2. I move to adopt Ordinance No. 1243.

ALTERNATIVE

Other action as deemed appropriate by the City Commission

FISCAL IMPACT

The applicant has paid the \$700 Street Vacation processing fee and the property goes on the tax rolls.

N/A

Approved by City Manager:

A handwritten signature in blue ink, appearing to be "D. M. G.", is written over a horizontal line.

ORDINANCE NO. 1243

INTRODUCED BY ALL COMMISSIONERS

**AN ORDINANCE VACATING A PORTION OF AN UNDEVELOPED STREET
KNOWN AS SPRAGUE STREET (SE 15TH) IN THE TOWN PLAT OF SPOKANE
ADDITION, WARRENTON, OREGON**

WHEREAS, The Warrenton City Commission deems it to be in the best interest of the City to vacate an undeveloped street right-of-way in the town plat of Spokane Addition in the City of Warrenton, County of Clatsop, State of Oregon; and

WHEREAS, a public hearing on the petition was held at the hour of 6:00 p.m. on Tuesday, August 25, 2020, in the Commission's Chambers at Warrenton City Hall; and

WHEREAS, due notice of time and place for said hearing was given, as by law required;

NOW, THEREFORE, the City of Warrenton ordains as follows:

Section 1. The public right-of-way in the City of Warrenton, Clatsop County, State of Oregon, described in Exhibit A, is hereby vacated. Nothing contained herein shall cause or require the removal or obstruction of any drainage ditch, abandonment of any sewer, water main conduit, utility line, pole or any other thing used or intended to be used for any public service. A permanent easement across the property to the City of Warrenton for access to its levy is hereby granted as a condition of this street vacation. In addition, the applicant will work with the City to provide a location for its pump station currently located on Alternate Highway 101, should the need arise in the future due to improvement of the ODOT bridge.

Section 2. The City Recorder of the City of Warrenton is hereby ordered to make this vacation a matter of public record; and it is expressly provided that the petitioner shall forthwith pay the costs of the necessary changes of public records, as required by law, and it is hereby provided that the City Recorder shall file with the clerk, the assessor, and the surveyor of Clatsop County, a certified copy of this ordinance.

Section 3. This ordinance will take effect 30 days after its adoption by the Warrenton City Commission.

Adopted by the City Commission of the City of Warrenton, Oregon this ___ day of _____, 2020.

First Reading:
Second Reading:

APPROVED:

Henry A. Balensifer, Mayor

ATTEST:

Dawne Shaw, City Recorder

SURVEY NARRATIVE

THE PURPOSE OF THIS EXHIBIT IS TO SHOW AND DESCRIBE THAT PORTION OF SPRAGUE STREET PROPOSED FOR VACATION, AND TO SHOW THAT REAL PROPERTY AFFECTED PER ORS 271.080(2).

THE BASIS OF BEARING FOR THE PROPOSED VACATION IS NORTH 89°46' EAST, ALONG THE SOUTH LINE OF BLOCK 19 PER SAID PLAT OF SPOKANE ADDITION.

THAT PORTION OF SPRAGUE STREET AS PROPOSED FOR VACATION AND AS SHOWN HEREON IS MORE PARTICULARLY DESCRIBED AS FOLLOWS:

BEGINNING AT THE NORTHEAST CORNER OF BLOCK 21, FROM WHICH THE SOUTHEAST CORNER OF BLOCK 19 BEARS NORTH 89°14' WEST, 60 FEET;

THENCE ALONG THE NORTH LINE OF SAID BLOCK 21 TO THE NORTHWEST CORNER THEREOF, SOUTH 89°46' WEST 200';

THENCE TO THE SOUTHWEST CORNER OF BLOCK 19, NORTH 0°14' WEST 60';

THENCE ALONG THE SOUTH LINE OF SAID BLOCK TO THE SOUTHEAST CORNER THEREOF, NORTH 89°46' EAST, 200 FEET;

THENCE TO THE POINT OF BEGINNING, SOUTH 0°14' EAST, 60 FEET.

AREA OF SAID PROPOSED VACATION IS 12,000 SQUARE FEET, MORE OR LESS;

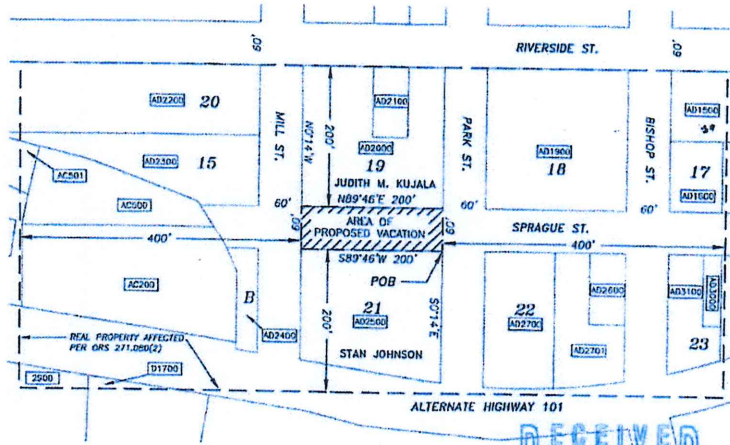
EXHIBIT FOR STREET VACATION

FOR STAN JOHNSON & JUDITH M. KUJALA
BEING A PORTION OF SPRAGUE STREET,
BOUNDED ON THE EAST BY PARK STREET, ON THE SOUTH BY BLOCK 21,
ON THE WEST BY MILL STREET AND ON THE NORTH BY BLOCK 19,
PLAT BOOK 5, PAGE 12, SPOKANE ADDITION TO WARRENTON
THE 1/4 OF SEC. 28, T8N, R10W, W.M.
CITY OF WARRENTON, COUNTY OF CLATSOP, STATE OF OREGON

MARCH 29, 2020

REGISTERED LAND SURVEYOR
NO. 11563
EXPIRES 12/31/2020

REGISTERED PROFESSIONAL LAND SURVEYOR
Michael Magyar
OREGON
JULY 12, 2016
MICHAEL G. MAGYAR
PLS 90788
EXPIRES: DEC. 31, 2020



RECEIVED

CITY OF WARRENTON





AGENDA MEMORANDUM

TO: The Warrenton City Commission
FROM: Collin Stelzig, P.E., Public Works Director
DATE: October 13, 2020
SUBJ: Hammond Waterline - Advertise Request for Bids

SUMMARY

The work for the Hammond Waterline project will consist of furnishing all labor, materials, equipment, and installation of a new 18" water line, disinfection and testing, and decommission a section of AC water main. The project will be bid this December with construction starting late this Winter and extending into the Summer. The project has been estimated to cost \$2.5 million.

Public Works is seeking Commission approval to advertise the request for bids for the Hammond Waterline project.

RECOMMENDATION/SUGGESTED MOTION

I move to approve advertising the request for bids for the Hammond Waterline project.

ALTERNATIVE

- 1) Other action as deemed appropriate by the City Commission
- 2) None recommended

FISCAL IMPACT

This project has been approved by the City Commission and is included in the City of Warrenton 2020-2021 Adopted Budget.

Approved by City Manager:

All supporting documentation, i.e., maps, exhibits, etc., must be attached to this memorandum.



CITY OF WARRENTON

HAMMOND WATERLINE

DECEMBER 2020

Prepared by
City of Warrenton

Work under this contract is funded by the federal Safe Drinking Water Revolving Loan Fund through Business Oregon and a partnership of Local and/or Private Funds.

CITY OF WARRENTON
HAMMOND WATERLINE

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CALL FOR BIDS



CALL FOR BIDS - PUBLIC WORKS IMPROVEMENT

Sealed bids will be received up to the hour of **2:00 PM**, local time, on **XXXXXX, 2020** by Collin Stelzig, Public Works Director, at the front desk of Warrenton City Hall, 225 South Main Street, Warrenton, OR. 97146:

HAMMOND WATERLINE

PROJECT DESCRIPTION

The intent of this contract is to provide for a new 18" C905 (DR 18) waterline. The following is a brief summary of the major elements of Work that are to be provided by the Contractor.

1. Installation of new 18" C905 (DR 18) waterline (9,985 feet).
2. Street reconstruction (excavation, base and asphaltic concrete paving).
3. Temporary traffic control.
4. Erosion control.

Project Substantial Completion shall be 120 days from Notice to Proceed and Project Final Completion shall be 130 days from Notice to Proceed.

A voluntary pre-bid meeting will be held on **XXXXXX, 2020, at 10:00 AM**, local time, at Warrenton City Hall, P.O. Box 250, 225 South Main Street, Warrenton, OR. 97146.

Any bids received after the above specified time will not be considered. The carrier, including the United States Postal Service, is considered an agent of the bidder.

Solicitation Documents may be reviewed and/or obtained from the City Engineer's Office, Warrenton City Hall, 45 SW 2nd Street, Warrenton, Oregon, 503-861-0912. A non-refundable fee of \$50.00 will be charged for these documents. If full-size drawings are requested, there will be an additional \$80.00 non-refundable fee.

Questions regarding the project during the bid period shall be submitted to Collin Stelzig, P.E., City of Warrenton via phone at 503-861-0912 or email at rstelzig@ci.warrenton.or.us.

Bids will be publicly opened and read by the Public Works Director at **2:00 PM**, local time on **XXXXXX, 2020** in the Warrenton Fire Training Room. **All bids must be accompanied by a certified check, a cashier's check or bid bond in an amount equal to at least 5% of the total bid.**

If the total bid exceeds \$50,000.00 the contract will be subject to Prevailing Wage Statute ORS 279C.800 to 279C.870. The contract is subject to federal prevailing wage rates under the Davis-Bacon Act (40 U.S.C. 3141 et seq). This project is subject to the higher of BOLI or Davis Bacon wage rates.

Prior to submission of its bid, bidder shall be registered with the Oregon Construction Contractor's Board as required by ORS 701.055, and thereafter comply with the requirements of ORS 701.035 to 701.055.

Bidders shall be qualified in accordance with the applicable parts of ORS 279C in order to submit a bid for public works in Oregon.

Work under this contract is funded by the federal Safe Drinking Water Revolving Loan Fund through Business Oregon and a partnership of Local and/or Private Funds.

By: Collin Stelzig, Public Works Director
City of Warrenton, Oregon

PUBLISH: Daily Astorian, **XXXXXX and XXXXXX, 2020**
Daily Journal of Commerce, **XXXXXX and XXXXXX, 2020**

HAMMOND WATERLINE

City of Warrenton
Call for Bids
Page 1

BIDDER'S CHECKLIST

BIDDER'S CHECKLIST

FORMS TO EXECUTE FOR SUBMISSION OF BID

The Bidder's attention is especially called to the following forms which must be executed in full before bid is submitted:

- (a) Bid Form: The Bid Form is to be filled in and signed by the bidder and returned with bid.
- (b) Bond Accompanying Bid: All bids shall be accompanied by a guarantee equal to at least five percent (5%) of the bid amount. This guarantee may be in the form of **a bond, certified check or cashier's check**. Bid bonds will be accompanied by a power of attorney bearing the same date as the bond.
- (c) Certification of Nondiscrimination: This form must be signed and submitted with bid.
- (d) If applicable, First-Tier Subcontractor disclosure form, within 2 hours of bid closing.
- (e) Pre-qualification application received at Engineering Division Office at least 7 calendar days prior to bid opening.

Facsimile transmissions of bids, bid security or subcontractor disclosure forms will not be accepted.

FORMS TO EXECUTE AFTER AWARD OF BID

- (a) Contract: The agreement provided in these Solicitation Documents is to be executed by the successful bidder within 14 calendar days of award of the contract.
- (b) Insurance must comply with the General Conditions and Special Provisions of the Contract Documents. Proof of such insurance and additional insured certificate must be delivered to the Agency at the same time the contract is signed.
- (c) The contractor shall furnish a performance bond and a payment bond each in an amount equal to one hundred percent (100%) of the contract price as security for the faithful performance of this contract and for the protection of claimants under ORS 279C.600.

BID FORMS

BID FORM

The undersigned, having full knowledge of the quality and quantity of work and material required, hereby proposes to furnish all labor, material and equipment required to complete the work of:

HAMMOND WATERLINE

in accordance with the ODOT/APWA 2018 Oregon Standard Specifications for Construction and the Special Provisions, Plans and Specifications hereto, and at the following Bid Schedule prices by the following completion dates:

- Substantial Completion – 150 days from Notice to Proceed
- Final Completion – 180 days from Notice to Proceed

Enclosed herewith is a bid surety deposit in the amount of at least five percent (5%) of the bid.

The undersigned bidder hereby represents as follows: That this bid is made without connections with any person, firm or corporation making a bid for same, and is in all respects fair and without collusion or fraud.

Contractor agrees comply with ORS 279C.838 or ORS 279C.840 or 40 USC3141, et seq, if the contract is subject to state or federal prevailing wage laws.

The undersigned is ____YES ____NO a resident bidder, as defined in ORS 279A.120. (PLEASE CHECK ONE)

Oregon Construction Contractor Board No. _____.

The bidder acknowledges receiving and incorporating changes described in Addenda NO. _____ through _____.

The undersigned agrees that in the event it is Awarded the contract for the Work, it shall employ only Contractors and Subcontractors that are duly licensed by the State of Oregon and remain so at all times they are in any way involved with the Work.

The undersigned agrees that the Owner reserves the right to reject any or all Proposals and to waive any minor irregularities and informalities in any Proposal.

The undersigned agrees that the Owner reserves the right to Award the Contract to the lowest responsible, responsive bidder whose Proposal is in the best interest of the Owner. The Owner will determine at the time of Award which Additive Items, if any, to include in the Contract.

Complete in black ink or by typewriter. If BIDDER is:

An Individual

Signature _____

(Individual's Name, Typed or Printed)

doing business as _____

Business address _____

Phone No. _____

BID - Continued

A Partnership

Firm Name _____

Signature _____

(Name of Partner, Typed or Printed)

Business address _____

Phone No. _____

A Limited Liability Company (LLC)

LLC Name _____

By _____
(Signature of general partner – attach evidence of authority to sign)

Name (typed or printed) _____

Business Address _____

State in which company was formed _____

Phone No. _____

A Corporation

Corporation Name _____

Signature _____

(Officer's Name, Typed or Printed)

(Title)

(State of Incorporation)

Attest _____
(Secretary's Signature)

Business address _____

Phone No. _____

Date of Qualification to do business _____

BID - Continued

**BID SCHEDULE
PROJECT TITLE**

Bid Item No.	Description	Quantity	Unit	Unit Price	Bid Amount
--------------	-------------	----------	------	------------	------------

Phase 1

1	Mobilization	1	LS		
2	Pothole Existing Utilities	141	EA		

Phase 2

3	Mobilization	1	LS		
4	Temporary Work Zone Traffic Control, Complete	1	LS		
5	Erosion Control	1	LS		
6	Trench Foundation Overexcavation	250	CY		
7	Trench Protection and Dewatering	1	LS		
8	Sand for Gas Pipeline Crossing	30	CY		

Waterline STA 0+00 TO 1+38

9	Connection to Existing Main (STA 0+00)	1	LS		
10	18-inch Potable Water Pipe, Fittings & Coupling, and Testing	1	LS		
11	Waterline and Connection to Existing 10" Waterline to new 18" waterline at STA 1+04	1	LS		

Waterline STA 1+38 to 13+77

12	Clearing and Grubbing	1	LS		
13	Saw Cutting Pavement for Asphaltic Concrete (AC)	90	LF		
14	18-inch Potable Water Pipe, Fittings & Coupling, and Testing	1239	LF		
15	Adjust Existing Water Service	2	EA		

Waterline STA 13+77 TO 14+33

16	Clearing and Grubbing	1	LS		
17	Saw Cutting Pavement for Asphaltic Concrete (AC)	120	LF		

18	18-inch Potable Water Pipe, Fittings & Coupling, and Testing	56	LF		
19	Surface Restoration	1	LS		

Waterline STA 14+33 TO 20+65

20	Clearing and Grubbing	1	LS		
21	18-inch Potable Water Pipe, Fittings & Coupling, and Testing	632	LF		
22	Air Release	1	LS		

Waterline STA 20+65 TO 22+83

23	Clearing and Grubbing	1	LS		
24	Saw Cutting Pavement for Asphaltic Concrete (AC)	140	LF		
25	18-inch Potable Water Pipe, Fittings & Coupling, and Testing	218	LF		
26	Water Valve	1	EA		
27	Adjust Existing Residential Water Service	2	EA		
28	Surface Restoration	1	LS		

Waterline STA 22+83 TO 29+00

29	Clearing and Grubbing	1	LS		
30	Saw Cutting Pavement for Asphaltic Concrete (AC)	120	LF		
31	18-inch Potable Water Pipe, Fittings & Coupling, and Testing	617	LF		
32	Adjust Existing Water Service	2	EA		
33	Surface Restoration	1	LS		

Waterline STA 29+00 TO 31+00 (CROSSING)

34	Clearing and Grubbing	1	LS		
35	Saw Cutting Pavement for Asphaltic Concrete (AC)	100	LF		
36	18-inch Potable Water Pipe, Fittings & Coupling, and Testing	1	LS		
37	Surface Restoration	1	LS		

Waterline STA 31+00 TO 44+00

38	Saw Cutting Pavement for Asphaltic Concrete (AC)	330	LF		
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39	18-inch Potable Water Pipe, Fittings & Coupling, and Testing	1300	LF		
40	Install Locate Box	1	EA		
41	Adjust Existing Residential Water Service	5	EA		
42	Adjust Existing Pressure Sewer Service	1	LS		
43	Coordinate Adjustment of Gas Service	1	EA		
44	Expose existing Sanitary Sewer	2	EA		
45	Encase Existing Sanitary Sewer in Concrete (if necessary)	2	EA		
46	Surface Restoration	1	LS		

Waterline STA 44+00 TO 76+27

47	Saw Cutting Pavement for Asphaltic Concrete (AC)	6500	LF		
48	18-inch Potable Water Pipe, Fittings & Coupling, and Testing	3227	LF		
49	Install Locate Box	1	EA		
50	Air Release	3	EA		
51	Water Valve	2	EA		
52	Adjust Existing Residential Water Service	22	EA		
53	Adjust Existing 6" Water Service	1	EA		
54	Adjust Existing 4" Waterline at Crossing	1	EA		
55	Adjust Existing 6" Waterline Crossing	2	EA		
56	Adjust Existing 8" Waterline Crossing	3	EA		
57	Adjust Existing Sewer Service to Reach 18" Below New Waterline	10	LS		
58	Coordinate Adjustment of Gas Service	8	EA		
59	Expose existing Sanitary Sewer	1	EA		

60	Encase Existing Sanitary Sewer in Concrete (if necessary)	1	EA		
61	Surface Restoration	1	LS		

Waterline STA 76+27 TO 85+08

62	Saw Cutting Pavement for Asphaltic Concrete (AC)	1181	LF		
63	18-inch Potable Water Pipe, Fittings & Coupling, and Testing	881	LF		
64	Air Release	1	EA		
65	Water Valve	1	EA		
66	Adjust Existing Sewer Service to Reach 18" Below New Waterline	2	LS		
67	Coordinate Adjustment of Gas Service	2	EA		
68	Expose existing Sanitary Sewer	2	EA		
69	Encase Existing Sanitary Sewer in Concrete (if necessary)	2	EA		
70	Surface Restoration	1	LS		

Waterline STA 85+08 TO 85+95 (CROSSING)

71	Saw Cutting Pavement for Asphaltic Concrete (AC)	1	LS		
72	18-inch Potable Water Pipe, Fittings & Coupling, and Testing	1	LS		
73	Surface Restoration	1	LS		

Waterline STA 85+95 TO 99-85

74	Saw Cutting Pavement for Asphaltic Concrete (AC)	2780	LF		
75	18-inch Potable Water Pipe, Fittings & Coupling, and Testing	1390	LF		
76	Air Release	1	EA		
77	Adjust Existing Residential Water Service	16	EA		
78	Adjust Existing 6" Waterline Crossing	1	EA		
79	Adjust Existing 8" Waterline Crossing	3	EA		

80	Adjust Existing Sewer Service to Reach 18" Below New Waterline	5	LS		
81	Coordinate Adjustment of Gas Service	8	EA		
82	Expose existing Sanitary Sewer	2	EA		
83	Encase Existing Sanitary Sewer in Concrete (if necessary)	2	EA		
84	Surface Restoration	1	LS		

Total Bid Amount

BID - Continued

ALTERNATES TO BID ITEMS

Bidders shall use this page to submit proposals on any alternate types of equipment or materials that bidders recommend the Owner consider using. Contract Award will be made on the basis of equipment and materials that are specified. After Award, the Owner may consider any proposal alternates that, in the opinion of the Owner, will be equivalent to or better than the item specified. The Owner shall have complete discretion on whether to use any alternates, and the Owner's decision shall not be subject to challenge.

BASE BID:

Alternate to Bid Item No.	Item	Manufacturer	Amount Bid

ADDITIVE ITEM:

Alternate to Bid Item No.	Item	Manufacturer	Amount Bid

BID - Continued

STATEMENT OF BIDDER'S QUALIFICATIONS

Name of Firm: _____

Address: _____

Telephone No. _____ Fax No. _____

Contact Person for this Project: _____

E-mail: _____

Number of years the Contractor has been engaged in the construction business under the present firm name, as indicated above:

Gross dollar amount of work currently under contract: _____

Gross dollar amount of contracts currently not completed: _____

General character of work performed by firm: _____

List of five major projects of a similar nature which have been completed by the Contractor within the last five years and the gross dollar amount of each project, together with the Owner's name and telephone number, and the Engineer's name:

Project Name	Amount	Owner	Phone	Engineer's Name

BID - Continued

List five major pieces of equipment which are anticipated to be used on this project by the Contractor and note which items are owned by the Contractor and which are to be leased or rented from others:

Bank Reference: _____

How many general superintendents or other responsible employees in a supervisory position do you have at this time, and how long have they been with the firm?

Identify who will be the general superintendent and/or project superintendent on this project. Also, list the number of years each person identified has been with firm.

Have you changed bonding companies within the last three years? _____

If so, why? _____

Have you ever been a party to a lawsuit or an arbitration proceeding in any way relating to a construction project? _____

Identify the proceeding and parties and describe the claims asserted by all parties. _____

What was the disposition of the case? _____

Bidder agrees that the Owner shall have the right to obtain credit reports.

Yes

No

BID - Continued

WORK COMPLETED BY CONTRACTOR

List the Work and the dollar amount thereof that the Contractor will complete with its forces, if awarded the contract.

Work to be Performed	Dollar Amount

ADDENDA RECEIVED

Addendum No.	Date Received	Name of Recipient

NOTE: Bidder shall acknowledge receipt of all addenda. Bidder is responsible for verifying the actual number of addenda issued prior to submitting a Proposal.

The undersigned is, and will remain in, full compliance with all Oregon State administrative agency requirements including, but not limited to registration requirements for contractors, including but not limited to requirements for bond, proof of insurance and annual registration fee.

BID - Continued

The undersigned's Oregon Construction Contractor's Board License No. is:

The undersigned has reviewed all insurance requirements contained in the Contract and has verified the availability of and the undersigned's eligibility for all required insurance. The undersigned verifies that the cost for all required insurance, has been included in this Proposal.

The undersigned agrees to be bound by and will comply with the provisions of ORS 279C.838, 279C.840 or 40 U.S.C. 3141 to 3148, the Oregon Prevailing Wage law or the Federal Davis Bacon Act, as applicable.

The undersigned certifies that the undersigned Contractor is not ineligible to receive a contract for a public work pursuant to ORS 279C.860. Bidder further agrees, if awarded a contract, that every subcontractor will be eligible to receive a contract for a public work pursuant to ORS 279C.860.

The undersigned certifies that the undersigned Contractor has not discriminated against minority, women or emerging small businesses enterprises in obtaining any required subcontracts. The bidder understands and acknowledges that it may be disqualified from bidding on this public improvement project as set forth in OAR 137-049-0370, including but not limited to City discovery a misrepresentation or sham regarding a subcontract or that the Bidder has violated any requirement of ORS 279A.110 or the administrative rules implementing the Statute.

In determining the lowest responsible bidder, City shall, for the purpose of awarding the contract, add a percent increase on the bid of a non-resident bidder equal to the percent, if any, of the preference given to that bidder in the state in which the bidder resides. "Resident bidder" of Oregon means a bidder that has paid unemployment taxes or income taxes in this state during the 12 calendar months immediately preceding submission of the bid, has a business address in this state and has stated in the bid that the bidder is a "resident bidder" of the State of Oregon. The undersigned represents him/her self in this bid to be either a Resident or a Nonresident bidder by completing the appropriate blank below.

By signing the proposal, the undersigned declares, under penalty of perjury under the laws of the United States and the State of Oregon, that the following statements are true and correct:

1. That the undersigned person(s) or entity(ies) has(have) not, either directly or indirectly, entered into any agreement, participated in any collusion, or otherwise taken any action in restraint of free competitive bidding in connection with the project for which this Proposal is submitted.

BID - Continued

The undersigned agrees that the Owner is authorized to obtain information from all references included herein.

Sincerely,

_____ Date

Sign Name

By: _____ Location Executed (City, State)

Print Name, Title

Print Company Name

Bidder is a resident of the state of _____ (See ORS 279A.120)

Amount of Proposal deposit: \$ _____ Check No. _____

or Proposal bond in the amount of \$ _____

_____, issued through _____
Name of Bank/Bonding Company

located at _____
Mailing Address

Telephone Number of Bank/Bonding Company

Section 00190 – Measurement of Pay Quantities

00190.00 Scope

Section 00190.00 is supplemented with the following:

Measurement and payment for all Work shown or specified herein will be made on a unit or lump sum price basis in accordance with the prices set forth in the Bid Schedule for individual items of Work. Contractor shall make a careful assessment when preparing the Bid.

The items listed below refer to and are the same pay items listed in the Bid Schedule. They constitute all of the pay items for the completion of the Work. No direct or separate payment will be made for providing miscellaneous temporary or accessory services or all other items not specifically named in specific bid item description and needed for the prosecution of the Work, and all other requirements of the Contract Documents. Compensation for all such services, things and materials shall be included in the prices stipulated for the lump sum and unit price pay items listed herein.

The prices stated in the Bid Schedule include overhead and profit and all costs and expenses for bonds, insurance, taxes, labor, equipment, materials, commissions, transportation charges and expenses, patent fees and royalties, labor for handling materials during inspection, together with any and all other costs and expenses for performing and completing the Work, complete and in place, as shown on the Plans and specified herein. The basis of payment for an item at the lump sum or unit price shown in the Bid Schedule shall be in accordance with the description of that item in this Section.

Items listed as ALLOWANCES in the Bid Schedule, if any, are to be used and will be paid for only at the written direction and authorization of the Engineer, if agreed to by the Agency. Measurements and payment will be in accordance with the Contract Documents or the terms of the written authorization for the additional Work and will include but not necessarily be limited to, the furnishing, hauling, placing and installing of materials and the furnishing of such manpower and equipment as required to accomplish the Work as directed in writing by the Engineer.

Description of Bid Items on Bid Schedule:

1. Mobilization (Lump Sum Payment): The lump sum payment for Mobilization shall include: obtaining permits and licenses; moving equipment and materials onto the site; furnishing construction trailers and other construction facilities; preparing the site for Work under Contract; marshalling workers, materials and equipment, and those of subcontractors to accomplish Work under Contract; preparation, submittal and modifications as appropriate to address review comments for material submittals and shop drawings, as-built drawings showing field changes to the original design and other submittals; removing equipment and extra materials from site upon completion of Work; furnishing and installing wood posts, and mounting and installation of an OBDD-IFA supplied project sign; and all other Work not identified in a separate bid item. Payment for mobilization will be made at the Contract lump sum amount in accordance to Section 00210 – Mobilization.
2. Pothole Existing Utilities (Payment Per Each): All potholing (Phase 1 Work) will take place prior to start of construction (Phase 2 Work). The scope of this Work shall include potholing, backfill and surface restoration. The contractor shall be

required to pothole all utilities by hand digging or core drilling and vacuum methods in every intersection crossing the excavation or boring alignment. The Contractor shall pothole as necessary to determine the exact locations of pipes. Where connecting to or crossing existing underground utilities, the Contractor shall uncover these utilities and verify the locations and elevations prior to performing any installation Work. Information concerning the utilities located shall be delivered to the City the following day.

3. Mobilization (Lump Sum Payment): The lump sum payment for Mobilization shall include: obtaining permits and licenses; moving equipment and materials onto the site; furnishing construction trailers and other construction facilities; preparing the site for Work under Contract; marshalling workers, materials and equipment, and those of subcontractors to accomplish Work under Contract; preparation, submittal and modifications as appropriate to address review comments for material submittals and shop drawings, as-built drawings showing field changes to the original design and other submittals; removing equipment and extra materials from site upon completion of Work; furnishing and installing wood posts, and mounting and installation of an OBDD-IFA supplied project sign; and all other Work not identified in a separate bid item. Payment for mobilization will be made at the Contract lump sum amount in accordance to Section 00210 – Mobilization.
4. Temporary Work Zone Traffic Control, Complete (Lump Sum Payment): The lump sum payment for Temporary Work Zone Traffic Control shall include: providing temporary traffic control measures (TTCM) and furnishing, installing, moving, operating, maintaining, inspecting, and removing traffic control devices (TCD) throughout the Project area according to the Supplemental Drawings, the traffic control plan (TCP) for the Project, these Specifications, or as directed. Payment will be made on a prorated monthly basis based on the percent of the original Contract Amount that is earned from other Contract items.
5. Erosion Control (Lump Sum Payment): The lump sum payment for Erosion Control shall include: furnishing all necessary materials, equipment, labor and incidental and performing all Work required to implement and maintain structural and non-structural Best Management Practices (BMP) for the purpose of controlling soil erosion by wind or water and keeping eroded sediments and other construction-generated pollutants from moving off project sites. Minimum requirements for all project construction sites and conditions are described in the drawings. Additional or revised erosion and sediment control features, not shown, may be required depending on the Contractor's methods of operation and schedule. Payment shall include an erosion and control inspector with acceptable certification, training or qualified experience to implement, monitor and report on the erosion control measures. Payment will be made on a prorated monthly basis based on the percent of the original Contract Amount that is earned from other Contract items.
6. Trench Foundation Overexcavation (Payment per In-Place Cubic Yard): The unit price payment for Trench Foundation Overexcavation shall include: furnishing all necessary materials, equipment, labor and incidentals and performing all Work to remove and dispose of unsuitable trench foundation material encountered during trench excavation and to furnish, install and compact 2-½" – 0" dense graded aggregate material as necessary to provide a stable foundation.

7. Trench Protection and Dewatering (Lump Sum Payment): The lump sum payment for Trench Protection and Dewatering shall include: furnishing all necessary materials, equipment, labor and incidentals and performing all Work to install, maintain, operate and remove dewatering pumping and trench protection equipment or systems utilized to provide trench protection and dewatering of excavations during construction. Dewatering is large part of this project. Groundwater elevations will be very close to the surface during construction with very porous native soils. The contractor shall only excavate the minimum trench length necessary to install each stick of pipe. Payment will be made on a prorated monthly basis based on the percentage of the original Contract Amount that is earned from other Contract items.
8. Sand for Gas Pipeline Crossings (Payment per In-Place Cubic Yard): The unit price payment for Sand for Gas Pipeline Crossing shall include: furnishing all necessary materials, equipment, labor and incidentals and performing all Work to install and compact sand a minimum of 12 inches outside of all existing gas pipelines when crossed by a new storm sewer, sanitary sewer, water or related services pipelines.
9. Connection to Existing Main (Lump Sum Payment): The unit price payment for Connection to Existing Main shall include: Furnishing all necessary materials, equipment, labor and incidentals, including restrained couplers, valves, and performing all Work to make connections to existing water mains regardless of size as specified and as shown on the Plans and backfilling trench and compacting with Trench Backfill, Class B, to the top of Surface Restoration.
10. 18-inch Potable Water Pipe, Fittings & Coupling, and Testing (Lump Sum Payment): The unit price payment for 18-inch Potable Water Pipe, Fittings & Coupling, and Testing shall include:
 - a. Furnishing all necessary materials, equipment, labor and incidentals, trench excavation, install, test and disinfect new 18-inch Potable Water Pipe, Fittings & Coupling, and Testing as specified and as shown on the Plans including temporary blow offs, blocking and miscellaneous fittings as required to complete testing and final connection to existing water lines.
 - b. Sawcutting, Furnishing, installing and compacting trench with Trench Backfill Material, Class B, and surface restoration.
11. Waterline Connection to Existing 10" Waterline to new 18" Waterline at STA 1+04(Lump Sum Payment) shall include:
 - a. Furnishing all necessary materials, equipment, labor and incidentals, trench excavation, install, test and disinfect new Potable Water Pipe, Fittings & Coupling, Valve, and Testing as specified and as shown on the Plans including temporary blow offs, blocking and miscellaneous fittings as required to complete testing and final connection to existing water lines.
 - b. Sawcutting, Furnishing, installing and compacting trench with Trench Backfill Material, Class B, and surface restoration.

12. Clearing and Grubbing (Lump Sum Payment): The lump sum payment for Clearing and Grubbing shall include: furnishing all necessary materials, equipment, labor and incidentals and performing all Work necessary to remove and dispose of vegetation and buried matter within a specified area or as directed. Payment also includes preserving vegetation and objects designated to remain in place and cleanup of the Work area where clearing and grubbing has been performed. Payment will be made on a prorated monthly basis based on the percent of the original Contract Amount that is earned from other Contract items.
13. Saw Cutting Pavement for Asphaltic Concrete (AC) (Payment per Linear Foot): The unit price payment for Saw Cutting Pavement, for AC shall include: furnishing all necessary materials, equipment, labor and incidentals and performing all Work to sawcut existing AC regardless of thickness as shown on the Plans. Unit price payment shall be for each linear foot of pavement sawcut on each side of the trench or on pavement required for removal, as measured in the field. No additional payment will be for any re-sawcutting required to restore a clean sawcut prior to trench patching or final placement of Asphalt Concrete Pavement.
14. 18-inch Potable Water Pipe, Fittings & Coupling, and Testing (Payment per Linear Foot): The unit price payment for 18-inch Potable Water Pipe, Fittings & Coupling, and Testing:
 - a. Furnishing all necessary materials, equipment, labor and incidentals, trench excavation, install, test and disinfect new 18-inch Potable Water Pipe, Fittings & Coupling, and Testing as specified and as shown on the Plans including temporary blow offs, blocking and miscellaneous fittings as required to complete testing and final connection to existing water lines.
 - b. Furnishing, installing and compacting trench with Trench Backfill Material, Class B, including compaction to the top of the Surface Restoration Subgrade (at bottom of aggregate base rock).
 - c. Separate payment will be made for Sawcutting, Foundation Overexcavation, Trench Foundation Overexcavation, and Surface Restoration.
15. Adjust Existing Water Service, ¾-inch to 1 inch (Payment per Each): The unit price payment for Adjusting Existing Water Services, shall include: Furnishing all necessary materials, equipment, labor and incidentals and performing all Work to adjust the existing water service over the new waterline and reconnect existing water services. This bid item shall also include backfilling trench and compacting with Trench Backfill, Class B, to the top of Roadway Subgrade (at bottom of aggregate base rock), and surface restoration.
16. See Description 12
17. See Description 13
18. See Description 14
19. Surface Restoration (Lump Sum Payment): The unit price payment for Surface Restoration shall include: furnishing all necessary materials, equipment, labor and

incidentals and performing all Work as specified including removal, haul and dispose of existing asphalt or concrete surfacing regardless of total depth of asphalt or concrete surfacing and subgrade material encountered compaction of subgrade; furnishing, installing and compacting 9-inches of 1-1/2" minus aggregate base material; furnishing, installing and compacting 3-inches of 3/4" minus aggregate base material; and 2-inches (or as shown on the plans) of Asphalt Concrete Pavement. Payment shall also include restoration of pavement markings to match existing materials. Separate payment will be made for Sawcutting. Separate payment will be made by Change Order for replacement of asphalt or concrete surfacing with aggregate base if the total depth of asphalt or concrete surfacing exceeds 9 inches. Surface Restoration outside of paved areas shall include restoration to in-kind material.

20. See Description 12

21. See Description 14

22. Air Release (Payment Per Each): Air Release shall include furnishing all necessary materials, equipment, labor and incidentals, excavation, install, test and disinfect, blocking and miscellaneous fittings as required to install an air release as shown on the plan at the high points described in the plans or determined in the field.

23. See Description 12

24. See Description 13

25. See Description 14

26. Water Valve (Payment Per Each): Water Valve shall include furnishing all necessary materials, equipment, labor and incidentals, excavation, install, test and disinfect, blocking and miscellaneous fittings as required to install a water valve as shown on the plans or determined in the field.

27. See Description 15

28. See Description 19

29. See Description 12

30. See Description 13

31. See Description 14

32. See Description 15

33. See Description 19

34. See Description 12

35. See Description 13

36. See Description 14

37. See Description 19
38. See Description 12
39. See Description 14
40. Install Locate Box (Payment Per Each): Locate Box shall include furnishing all necessary materials, equipment, labor and incidentals, excavation, install, and miscellaneous fittings as required to install an locate box and locate wire as shown on the plan or determined in the field.
41. See Description 15
42. Adjust Existing Pressure Sewer (Payment per Each): The unit price payment for Adjusting Existing pressure Sewer Services, shall include: Furnishing all necessary materials, equipment, labor and incidentals and performing all Work to adjust the existing sewer service 18" below the new waterline. This bid item shall also include backfilling trench and compacting with Trench Backfill, Class B, to the top of Roadway Subgrade (at bottom of aggregate base rock), and surface restoration. Existing pressure sewer is 2" pipe inside a 4" steel casing. Casing is approximately 46" below the surface. Pay item include coordination with private owner of this sewer service.
43. Coordinate Adjustment of Gas Service (Payment per Each): The unit price payment for 43. Coordinate Adjustment of Gas Service, shall include: Furnishing all necessary materials, equipment, labor and incidentals and performing all Work to adjust the existing gas service 18" below the new waterline. This bid item shall also include backfilling trench and compacting with Trench Backfill, Class B, to the top of Roadway Subgrade (at bottom of aggregate base rock). This pay item include coordination with the Gas company to make the required improvements needed to realign the existing gas service.
44. Expose existing Sanitary Sewer (Payment per Each): The sewer line shall be exposed to the sewer line joints on both sides of the crossing to permit examination of the sewer pipe by the City. The city will prepare a written report of the findings and indicating the reasons for reducing the separation. This pay item shall include: Furnishing all necessary materials, equipment, labor and incidentals. This bid item shall also include backfilling trench and compacting with Trench Backfill, Class B, to the top of Roadway Subgrade (at bottom of aggregate base rock), and pavement restoration.
45. Encase Existing Sanitary Sewer in Concrete (Payment per Each): If the city determines that the conditions are not favorable or finds evidence of leakage from the sewer line, the contractor shall be encased sewer line in a reinforced concrete jacket (3-#4 bar, full length) for a distance of 10 feet on both sides of the sewer crossing. This pay item shall include: Furnishing all necessary materials, equipment, labor and incidentals and performing all Work. This bid item shall also include backfilling trench and compacting with Trench Backfill, Class B, to the top of Roadway Subgrade (at bottom of aggregate base rock), and pavement restoration.

46. See Description 19
47. See Description 13
48. See Description 14
49. See Description 40
50. See Description 22
51. See Description 26
52. See Description 15
53. Adjust Existing 6" Water Service (Payment per Each): The unit price payment for Adjusting 6" Existing Water Service, shall include: Furnishing all necessary materials, equipment, labor and incidentals and performing all Work to adjust the existing water service below the new waterline and reconnect existing water services. This bid item shall also include backfilling trench and compacting with Trench Backfill, Class B, to the top of Roadway Subgrade (at bottom of aggregate base rock), and surface restoration.
54. Adjust Existing 4" Waterline at Crossing (Payment per Each): The unit price payment for Adjust Existing 4" Waterline at Crossing, shall include: Furnishing all necessary materials, equipment, labor and incidentals and performing all Work to adjust the existing water service below the new waterline and reconnect existing water services. This bid item shall also include backfilling trench and compacting with Trench Backfill, Class B, to the top of Roadway Subgrade (at bottom of aggregate base rock), and surface restoration.
55. Adjust Existing 6" Waterline at Crossing (Payment per Each): The unit price payment for Adjust Existing 6" Waterline at Crossing, shall include: Furnishing all necessary materials, equipment, labor and incidentals and performing all Work to adjust the existing water service below the new waterline and reconnect existing water services. This bid item shall also include backfilling trench and compacting with Trench Backfill, Class B, to the top of Roadway Subgrade (at bottom of aggregate base rock), and surface restoration.
56. Adjust Existing 8" Waterline at Crossing (Payment per Each): The unit price payment for Adjust Existing 8" Waterline at Crossing, shall include: Furnishing all necessary materials, equipment, labor and incidentals and performing all Work to adjust the existing water service below the new waterline and reconnect existing water services. This bid item shall also include backfilling trench and compacting with Trench Backfill, Class B, to the top of Roadway Subgrade (at bottom of aggregate base rock), and surface restoration.
57. Adjust Existing Sewer Service to Reach 18" Below New Waterline (Payment per Each): The unit price payment for Adjust Existing Sewer Service to Reach 18" Below New Waterline, shall include: Furnishing all necessary materials, equipment, labor and incidentals and performing all Work to adjust the existing sewer service 18" below the new waterline. This bid item shall also include backfilling trench and

compacting with Trench Backfill, Class B, to the top of Roadway Subgrade (at bottom of aggregate base rock), and surface restoration.

58. See Description 43

59. See Description 44

60. See Description 45

61. See Description 19

62. See Description 13

63. See Description 14

64. See Description 22

65. See Description 26

66. See Description 57

67. See Description 43

68. See Description 44

69. See Description 45

70. See Description 19

71. See Description 13

72. See Description 14

73. See Description 19

74. See Description 13

75. See Description 14

76. See Description 22

77. See Description 15

78. See Description 55

79. See Description 56

80. See Description 57

81. See Description 43

82. See Description 44

83. See Description 45

84. See Description 19

CERTIFICATION OF NONDISCRIMINATION

Pursuant to the requirements of ORS 279A.110, I certify that I have not discriminated and will not discriminate against a subcontractor in awarding a subcontract because the subcontractor is a minority, woman or emerging small business enterprise certified under ORS 200.055 or a business enterprise that is owned or controlled by or that employs a disabled veteran, as defined in ORS 408.225.

DATE

BIDDER

NOTE: THIS STATEMENT MUST BE RETURNED WITH THE BID

FIRST TIER SUBCONTRACTOR'S DISCLOSURE FORM

PROJECT NAME: HAMMOND WATERLINE

BID CLOSING: DATE: **October 21, 2020** TIME: **2:00 PM Local Time**

This form must be submitted at the location specified in the Call For Bids on the advertised bid closing date and within two working hours after the advertised bid closing time.

List below the name of each subcontractor who will be furnishing labor or materials and that is required to be disclosed, the category of work that the subcontractor will be performing and the dollar value of the subcontract. Enter "NONE" if there are no subcontractors that need to be disclosed.

(ATTACH ADDITIONAL SHEETS IF NEEDED.)

Name	Dollar Value	Category of Work
1) _____	\$ _____	_____
2) _____	\$ _____	_____
3) _____	\$ _____	_____
4) _____	\$ _____	_____

Failure to submit this form by the disclosure deadline will result in a nonresponsive bid. A nonresponsive bid will not be considered for award.

Form Submitted by (Bidder Name): _____

Contact Name: _____

Phone no.: _____

**BID BOND
FOR
HAMMOND WATERLINE
FOR
THE CITY OF WARRENTON**

KNOW ALL PEOPLE BY THESE PRESENTS:

That we, _____, hereinafter called
(Name of Contractor)

the PRINCIPAL, as Principal, and _____,
(Name of Surety)

a corporation and existing under and by virtue of the laws of the State of _____
and authorized to transact a surety business in the State of Oregon, hereinafter called the SURETY, as
Surety, are held and firmly bound unto the City of Warrenton, a Municipal Corporation of the State of
Oregon, hereinafter called the OBLIGEE, in the penal sum of

_____ Dollars (\$ _____) for the
payment of which sum well and truly to be made, the said PRINCIPAL and the said SURETY bind
ourselves, our heirs, executors, administrators, successors, and assigns, jointly and severally, firmly by
these presents.

THE CONDITION OF THE ABOVE OBLIGATIONS IS SUCH THAT:

WHEREAS the PRINCIPAL has submitted a Bid Proposal for the HAMMOND WATERLINE

NOW, THEREFORE, if the Bid Proposal submitted by the PRINCIPAL is accepted, and the Contract
awarded to the PRINCIPAL, and if the PRINCIPAL shall execute the proposed Agreement and shall furnish
such Performance and Payment Bonds as required by the Contract Documents within the time fixed by the
Documents, then this obligation shall be void; if the PRINCIPAL shall fail to execute the proposed
Agreement and furnish the bonds, the SURETY hereby agrees to pay the OBLIGEE the penal sum as
liquidated damages, within ten (10) days of such failure.

Signed and sealed this _____ day of _____, 2020.

CONTRACTOR AS PRINCIPAL:

SURETY:

(Corp. Seal)

(Corp. Seal)

Company: _____ Company: _____

Signature: _____ Signature: _____

Name: _____ Name: _____

Title: _____ Title: _____

(Attach Power of Attorney)

**CONTRACTOR/SUBCONTRACTOR CONTRACT AGREEMENT
Addendum to Contract between Contractor and Subcontractor**

Contract

Part A

Date:		Contractor:	
Project Number:		Address:	
Project Name:			

1. The parties, having executed a contract for:

in the amount of \$		in the construction of the above-identified project acknowledge and agree that:

- a. The Labor Standards provision and Lobbying Certification are included in the aforesaid contract;
 - b. The applicable Davis-Bacon wage rates and BOLI wage rates are included in aforesaid contract;
 - c. The addendum to the Contract between Contractor and Subcontractor is part of the Contract;
 - d. The attached Fringe Benefit Summary form describes how the required fringe benefit amounts, if any, will be paid by the subcontractor; and,
 - e. Correction of any infractions of the aforesaid conditions, including infractions by the subcontractor and any lower tier subcontractors, is a mutual responsibility.
2. The parties certify that:
- a. As required by 24 Code of Federal Regulations part 24, neither they nor their principals is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this covered transaction; and,
 - b. No part of the aforementioned contract has been or will be subcontracted to any subcontractor if such subcontractor or any of its principals is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this or a lower tier covered transaction.
3. The subcontractor agrees to obtain and forward to the contractor within ten days after the execution of any subcontract, including those executed by the subcontractors and any lower tier subcontractors copy of said contract containing fully executed items 1.(a), (b), (c) and (d) listed above.
4. The Subcontractor certifies that:
- a. The legal name and the business address is:

Employer I.D.	
---------------	--

- b. The subcontractor is an independent contractor in compliance with Oregon Revised Statutes Chapter 701.
- c. The subcontractor is currently registered with the Oregon Construction Contractors Board in a class appropriate for the work to be performed under this subcontract.

d. Construction Contractors Board Number:	
Contractor Signature:	Subcontractor Signature:
(Title/Date)	(Title/Date)

Payroll Signature Authorization

Part B

Since the owner, partner or corporate officer is not signing the certified payrolls, I, as an owner, partner or corporate officer certify that I have appointed

--

whose signature appears below to supervise the payment of the company's employees beginning (date)

--

and that this person is in a position to have full knowledge of the facts set forth in the payroll documents and in the statement of compliance required by the so-called Kick-Back Statute which said person is to execute with my full authority and approval until such time as I submit to the local agency administering the contract a new certificate appointing some other person for the purposes stated above.

Signature of Appointee		Date
Signature of (prime)(sub) Contractor		Date

Fringe Benefit Summary Form

Part C

Project Name:		Project Number:	
Name of Recipient:			
Name of Contractor:			

The contractor named above will pay employees on this project fringe benefits required by the applicable David-Bacon wage determination or BOLI wage determination as follows:

1. Required fringe benefit amounts will be paid in cash.
2. **FUNDED PLAN(S)** (Deductions for fringe benefits will be paid into a fund, plan, or program administered by a third party "e.g., union plan, Blue Cross")

Name of plan(s)

I certify that the deductions made for the above plan(s) are:

- a. Voluntarily consented to by the employee in writing and in advance of the period in which the work is to be done and consent is not a condition either for the obtaining of or the continuation of employment,

or

They are provided for in a bona fide collective bargaining agreement between the contractor and representatives of the employees:

- b. No profit or other benefit is otherwise obtained, directly or indirectly, by the contractor or any affiliated person in the form of commission, dividend, or otherwise;
- c. The deductions shall serve the convenience and interest of the employee;
- d. Contributions to the plans are made at least quarterly;
- e. When the cash paid and per hour contribution for benefits do not equal the total rate set forth in the wage determination, the difference will be paid in cash; and
- f. Employees who are excluded from the plans for any reason will be paid in cash.

3. **UNFUNDED PLAN(S)** (Deductions for fringe benefits will be administered by the contractor "e.g. vacation plan")

Name of plan(s)

I certify that no deductions will be made for unfunded plans until approval is obtained from the U.S. Department of Labor. I understand that to obtain approval, I must provide the representative of the Safe Drinking Water Revolving Loan Fund recipient with the following for submission to the U.S. Department of Labor:

- a. Description of the coverage that will be provided to employees including conditions for receiving the benefits; and
- b. Signed authorization from those employees to accept those specific employer-paid contribution amounts.

Until approval is obtained, I will pay the employees the fringe benefit amounts in cash.

Contractor/Subcontractor Signature		Date

Certification Regarding Lobbying

Part D

The undersigned certifies, to the best of his or her knowledge and belief, that:

- A. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan or cooperative agreement.
- B. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.
- C. The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Signed (Contractor)
Title / Firm
Date

AGREEMENT

AGREEMENT

1.00 - GENERAL

THIS AGREEMENT, made and entered into this ____ day of _____, 2020, by and between _____, hereinafter called "**CONTRACTOR**" and the **City** of Warrenton, a municipal corporation, hereinafter called "**CITY**."

WITNESSETH:

That the said **CONTRACTOR** and the said **CITY**, for the consideration hereinafter named agree as follows:

2.00 - DESCRIPTION OF WORK

The **CONTRACTOR** agrees to perform the work of:

HAMMOND WATERLINE

and do all things required of it as per his Bid, all in accordance with the described Bid, a copy of which is hereto attached and made a part of this Contract.

3.00 - COMPLETION OF CONTRACT

The **CONTRACTOR** agrees that the Work under this Contract shall be completed by the following dates:

- Substantial Completion – 150 days from Notice to Proceed]
- Final Completion – 180 days from Notice to Proceed

If said **CONTRACTOR** has not fully completed this Contract within the time set or any extension thereof, it shall pay liquidated damages in accordance with Section 00180.85 of the General Conditions.

4.00 - CONTRACT PRICE

The Contract Price for this project is _____. Payment will be made in accordance with ORS 279C.560 including progress payments at the end of each month. Retainage will be withheld in accordance with ORS 279C.550 - .565.

5.00 - CONTRACT DOCUMENTS

The **CONTRACTOR** and the **CITY** agree that the plans, specifications (including the ODOT/APWA 2018 Oregon Standard Specifications for Construction and Contract Documents defined in Section 00110.20 of the Contract Documents General Conditions and all modifications thereto) and bid are, by this reference, incorporated into this Contract and are fully a part of this contract.

6.00 - NONDISCRIMINATION

It is the policy of the City of Warrenton that no person shall be denied the benefits of or be subjected to discrimination in any City program, service, or activity on the grounds of age, disability, race, religion, color, national origin, sex, sexual orientation, gender identity and expression. The City of Warrenton also requires its contractors and grantees to comply with this policy.

7.00 - CONTRACTOR IS INDEPENDENT CONTRACTOR

A. CONTRACTOR acknowledges that for all purposes related to this Contract, **CONTRACTOR** is and shall be deemed to be an independent **CONTRACTOR** and not an employee of **CITY**, shall not be entitled to benefits of any kind to which an employee of the **CITY** is entitled and shall be solely responsible for all payments and taxes required by law; and furthermore in the event that **CONTRACTOR** is found by a court of law or an administrative agency to be an employee of the **CITY** for any purpose, **CITY** shall be entitled to repayment of any amounts from **CONTRACTOR** under the terms of the Contract; to the full extent of any benefits or other remuneration **CONTRACTOR** receives (from **CITY** or third party) as result of said finding and to the full extent of any payments that **CITY** is required to make (to **CONTRACTOR** or to a third party) as a result of said finding.

B. The undersigned **CONTRACTOR** hereby represents that no employee of the **CITY** of Warrenton, or any partnership or corporation in which a **CITY** employee has an interest, has or will receive any remuneration of any description from the **CONTRACTOR**, either directly or indirectly, in connection with the letting or performance of this Contract, except as specifically declared in writing.

8.00 - SUBCONTRACTS - RELATIONS WITH SUBCONTRACTORS, ASSIGNMENTS AND DELEGATION

A. Assignment or Transfer Restricted. The **CONTRACTOR** shall not assign, sell, dispose of, or transfer rights nor delegate duties under the contract, either in whole or in part, without the **CITY**'s prior written consent. Unless otherwise agreed by the **CITY** in writing, such consent shall not relieve the **CONTRACTOR** of any obligations under the contract. Any assignee or transferee shall be considered the agent of the **CONTRACTOR** and be bound to abide by all provisions of the contract. If the **CITY** consents in writing to an assignment, sale, disposal or transfer of the **CONTRACTOR**'s rights or delegation of the **CONTRACTOR**'s duties, the **CONTRACTOR** and its surety, if any, shall remain liable to the **CITY** for complete performance of the contract as if no such assignment, sale, disposal, transfer or delegation had occurred unless the **CITY** otherwise agrees in writing.

B. **CONTRACTOR** may not discriminate against a subcontractor in awarding a subcontract because the subcontractor is a minority, women or emerging small business enterprise certified under ORS 200.055 or a business enterprise that is owned or controlled by or that employs a disabled veteran, as defined in ORS 408.225. If **CONTRACTOR** violates this prohibition, the **CITY** will regard the violation as a breach of contract and may either terminate the contract or exercise any other remedy for breach of contract.

9.00 - NONWAIVER

The failure of the **CITY** to insist upon or enforce strict performance by **CONTRACTOR** of any of the terms of this Contract or to exercise any rights hereunder shall not be construed as a waiver or relinquishment to any extent of its right to assert or rely upon such terms or rights on any future occasion.

10.00 - LABORERS AND MATERIALMEN, CONTRIBUTIONS TO INDUSTRIAL ACCIDENT FUND, LIENS AND WITHHOLDING TAXES

CONTRACTOR shall make payment promptly, as due, to all persons supplying **CONTRACTOR** labor or material for the prosecution of the work provided for this contract.

CONTRACTOR shall pay all contributions or amounts due the Industrial Accident Fund from **CONTRACTOR** or any subcontractor incurred in the performance of the contract.

CONTRACTOR shall not permit any lien or claim to be filed or prosecuted against the **CITY** on account of any labor or material furnished.

CONTRACTOR shall pay to the Department of Revenue all sums withheld from employees pursuant to ORS 316.167.

11.00 - CERTIFICATION OF COMPLIANCE WITH TAX LAWS

As required by ORS 305.385(6), **CONTRACTOR** certifies under penalty of perjury that the **CONTRACTOR**, to the best of **CONTRACTOR**'s knowledge, is not in violation of any of the tax laws described in ORS 305.380(4).

12.00 - CITY BUSINESS LICENSE

Prior to starting work, **CONTRACTOR** shall pay the **CITY** business license tax and provide the Project Director with a copy of business license receipt. **CONTRACTOR** shall, likewise, require all subcontractors to pay the **CITY** business license tax and provide a copy of the receipt to the Project Director prior to commencement of work.

APPROVED AS TO FORM:

City Attorney

Contractor

Date

CITY OF WARRENTON, a municipal of the
State of Oregon

BY: _____

Mayor

Date

ATTEST:

City Manager

Date

PERFORMANCE BOND

**PERFORMANCE BOND
FOR
HAMMOND WATERLINE
FOR
THE CITY OF WARRENTON**

AMOUNT \$ _____

BOND NO. _____

KNOW ALL PEOPLE BY THESE PRESENTS:

That we, _____, hereinafter called
(Name of Contractor)

the PRINCIPAL, as Principal, and _____,
(Name of Surety)

a corporation and existing under and by virtue of the laws of the State of _____ and authorized to transact a surety business in the State of Oregon, hereinafter called the SURETY, as Surety, are held and firmly bound unto the City of Warrenton, a Municipal Corporation of the State of Oregon, hereinafter called the OBLIGEE, in the

penal sum of _____ Dollars

(\$_____) for the payment of which sum well and truly to be made, the said PRINCIPAL and the said SURETY bind ourselves, our heirs, executors, administrators, successors, and assigns, jointly and severally, firmly by these presents.

THE CONDITION OF THE ABOVE OBLIGATION IS SUCH THAT:

Whereas on the _____ day of _____, 2020 the PRINCIPAL entered into a certain contract with the OBLIGEE, a copy of which is hereto attached and made a part hereof for the construction of:

HAMMOND WATERLINE
City of Warrenton, Oregon

NOW, THEREFORE, if the PRINCIPAL herein shall faithfully and truly observe and comply with the terms of the contract and shall well and truly perform all matters and things undertaken to be performed under said contract upon the terms proposed therein and shall promptly make payments to all persons supplying labor or material for any prosecution of the work provided for each contract and shall not permit any lien or claim to be filed or prosecute against the OBLIGEE on account of any labor or material furnished, and shall promptly pay all contributions or amounts due the State Accident Insurance Fund and all contributions or amounts due the State Un-employment Compensation Trust Fund incurred in the performance of said contract and shall promptly, as due, make payment to the person, co-partnership, association or corporation entitled thereto of the moneys and sums mentioned in Section 279 of the Oregon Revised Statutes, then this obligation is to be void, otherwise to remain in full force and effect.

This performance bond shall also guarantee the improvement against defects in materials or workmanship for a period of one (1) year from the date of written Substantial Completion acceptance of the subject project by the OBLIGEE.

The total amount of the SURETY's liability under this bond both to the OBLIGEE and to the persons furnishing labor or materials, provisions and goods and to any other person or persons, shall in no event exceed the penalty hereof.

Provided, however, that the conditions of the obligation shall not apply to any money loaned or advanced to the PRINCIPAL or to any subcontractor or other person in the performance of any such work, whether specifically provided for in the contract or not.

This bond is executed for the purpose of complying with Chapter 279 of Title 26, Oregon Revised Statutes, the provisions of which are hereby incorporated herein and made a part hereof.

IN WITNESS WHEREOF, this instrument is executed in three counterparts each one of which

shall be deemed an original, this the _____ day of _____, 2020.

CONTRACTOR AS PRINCIPAL:

SURETY:

(Corp. Seal)

(Corp. Seal)

Company: _____

Company: _____

Signature: _____

Signature: _____

Name: _____

Name: _____

Title: _____

Title: _____

(Attach Power of Attorney)

NOTE: Date of BOND must not be prior to date of Contract.

If CONTRACTOR is partnership, all partners should execute BOND.

IMPORTANT: Surety company executing BOND must be authorized to transact business in the State of Oregon.

PAYMENT BOND

**PAYMENT BOND
FOR
HAMMOND WATERLINE
FOR
THE CITY OF WARRENTON**

AMOUNT \$ _____

BOND NO. _____

KNOW ALL PEOPLE BY THESE PRESENTS:

That we, _____, hereinafter called
(Name of Contractor)

the PRINCIPAL, as Principal, and _____,
(Name of Surety)

a corporation and existing under and by virtue of the laws of the State of _____ and authorized to transact a surety business in the State of Oregon, hereinafter called the SURETY, as Surety, are held and firmly bound unto the City of Warrenton, a Municipal Corporation of the State of Oregon, hereinafter called the OBLIGEE, and unto all persons, firms and corporations who or which may furnish labor, or who furnish materials to perform as described under the contract and to their successors and assigns in the total

aggregate penal sum of _____ Dollars

(\$ _____) for the payment of which sum well and truly to be made, the said PRINCIPAL and the said SURETY bind ourselves, our heirs, executors, administrators, successors, and assigns, jointly and severally, firmly by these presents.

THE CONDITION OF THE ABOVE OBLIGATION IS SUCH THAT:

Whereas on the _____ day of _____, 2020 the PRINCIPAL entered into a certain contract with the OBLIGEE, a copy of which is hereto attached and made a part hereof for the construction of:

HAMMOND WATERLINE
City of Warrenton, Oregon

NOW, THEREFORE, if the PRINCIPAL shall promptly make payment to all persons, firms, and corporations furnishing materials for, or performing labor in the prosecution of the WORK provided for in such contract, and any authorized extensions or modification thereof, including all amounts due for materials consumed or used in connection with the construction of such WORK, and for all labor cost incurred in such WORK including that by a SUBCONTRACTOR, and to any mechanic or material man lien holder whether it acquires its lien by operation of State or Federal law; then this obligation shall be void, otherwise to remain in full force and effect.

PROVIDED, that beneficiaries or claimants hereunder shall be limited to the SUBCONTRACTORS, and persons, firms, and corporations having a direct contract with the PRINCIPAL or its SUBCONTRACTORS.

PROVIDED, FURTHER, that the said SURETY for value received hereby stipulates and agrees that no change, extension of time, alteration or addition to the terms of the contract or to the WORK to be performed thereunder or the specifications accompanying the same shall in any way affect its obligation on this BOND, and it does hereby waive notice of any such change, extension of time, alteration or addition to the terms of this contract or to the WORK or to the SPECIFICATIONS.

PROVIDED, FURTHER, that no suit or action shall be commenced hereunder by any claimant: (a) Unless claimant, other than one having a direct contract with the PRINCIPAL shall have given written notice to any two of the following: the PRINCIPAL, the OBLIGEE, or the SURETY above named within one hundred twenty (120) days after such claimant did or performed the last of the work or labor, or furnished the last of the materials for which said claim is made, stating the materials were furnished, or for whom the work or labor was done or performed. Such notice shall be served by mailing the same by registered mail or certified mail, postage prepaid, in an envelope addressed to the PRINCIPAL, OBLIGEE, or SURETY, at any place where an office is regularly maintained for the transaction of business, or served in any manner in which legal process may be served in the state in which the aforesaid project is located, save that such service need not be made by a public officer. (b) After the expiration of one (1) year following the date on which PRINCIPAL ceased work on said CONTRACT, it being understood, however, that if any limitation embodied in the BOND is prohibited by any law controlling the construction hereof, such limitation shall be deemed to be amended so as to be equal to the minimum period of limitation permitted by such law.

PROVIDED, FURTHER, that it is expressly agreed that this BOND shall be deemed amended automatically and immediately, without formal and separate amendments hereto, upon amendment to the Contract not increasing the contract price more than 25 percent, so as to bind the PRINCIPAL and the SURETY to the full and faithful performance of the Contract as so amended. The term "Amendment", wherever used in this BOND and whether referring to this BOND, the contract or the loan Documents shall include any alteration, addition, extension or modification of any character whatsoever.

PROVIDED, FURTHER, that no final settlement between the OBLIGEE and the PRINCIPAL shall abridge the right of any beneficiary hereunder, whose claim may be unsatisfied.

IN WITNESS WHEREOF, this instrument is executed in three counterparts each one of which shall be deemed an original, this the _____ day of _____, 2020.

CONTRACTOR AS PRINCIPAL:

SURETY:

(Corp. Seal)

(Corp. Seal)

Company: _____

Company: _____

Signature: _____

Signature: _____

Name: _____

Name: _____

Title: _____

Title: _____

(Attach Power of Attorney)

NOTE:

Date of BOND must not be prior to date of Contract.

If CONTRACTOR is partnership, all partners should execute BOND.

IMPORTANT:

Surety company executing BOND must be authorized to transact business in the State of Oregon.

GENERAL CONDITIONS FOR CONSTRUCTION

General Conditions for Construction for the City of Warrenton

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PART 00100 - GENERAL CONDITIONS

Section 00110 - Organization, Conventions, Abbreviations, and Definitions

Organization

00110.00 Organization of Specifications - The Specifications are comprised of the following:

- The "General Conditions for Construction for the City of Warrenton (Agency)," published by the Agency, which contain Part 00100 "General Conditions", which deal with the solicitation process and contractual relationships;
- The "2015 Oregon Standard Specifications for Construction," which contain Parts 00200 through 03000, published by the Oregon Department of Transportation which contain the detailed "Technical Specifications" involved in prosecution of the Work, organized by subject matter; and
- The Special Provisions.

In addition, throughout the Specifications:

- Each Part is divided into Sections and Subsections.
- Reference to a Section includes all applicable requirements of the Section.
- When referring to a Subsection, only the number of the Subsection is used; the word "Subsection" is implied.
- Where Section and Subsection numbers are not consecutive, the interval has been reserved for use in the Special Provisions or future expansion of the Standard Specifications.

Conventions

00110.05 Conventions Used Throughout the Specifications Include:

(a) Grammar – The "General Conditions for Construction for the City of Warrenton (Agency)", part 00100 "General Conditions", is written in the indicative mood, in which the subject is expressed. The "2015 Oregon Standard Specifications for Construction", published by the Oregon Department of Transportation, which contain parts 00200 through 03000, the detailed "Technical Specifications", are generally written in the imperative mood, in which the subject is implied. Therefore, throughout Parts 00200 through 03000, and on the Plans:

- The subject, "the Contractor", is implied.
- "Shall" refers to action required of the Contractor, and is implied.
- "Will" refers to decisions or actions of the Agency and/or the Engineer.
- The following words, or words of equivalent meaning, refer to the actions of the Agency and/or the Engineer, unless otherwise stated: "allowed", "directed", "established", "permitted", "ordered", "designated", "prescribed", "required", "determined".
- The words "approved", "acceptable", "authorized", "satisfactory", "suitable", "considered", and "rejected", "denied", "disapproved", or words of equivalent meaning, mean by or to the Agency and/or the Engineer, subject in each case to Section 00150 of the General Conditions.
- The words "as shown", "shown", "as indicated", or "indicated" mean "as indicated on the Plans".
- Certain Subsections labeled "Payment" contain statements to the effect that "payment will be made at the Contract amounts for the following items" (followed by a list of items). In such cases the Agency shall pay for only those Pay Items listed in the Schedule of Items.

(b) Capitalization of Terms - Capitalized terms, other than titles, abbreviations, and grammatical usage, indicate that they have been given a defined meaning in the Standard Specifications. Refer to Section 00110.20 "Definitions". Defined terms will always be capitalized in Part 00100; in Parts 00200 through 03000, defined terms will generally not be capitalized, with the notable exception of "the Contractor", "the Agency", and "the Engineer".

(c) Punctuation - In this publication the "outside method" of punctuation is employed for placement of the comma and the period with respect to quotation marks. Only punctuation that is part of the quoted matter is placed within quotation marks.

(d) References to Laws, Acts, Regulations, Rules, Ordinances, Statutes, Orders, and Permits - References are made in the text of the Specifications to "laws", "acts", "rules", "statutes", "regulations", "ordinances", etc. (collectively referred to for purposes of this Subsection as "Law"), and to "orders" and "permits" (issued by a governmental authority, whether local, State, or federal, and collectively referred to for purposes of this Subsection as "Permits"). Reference is also made to "applicable laws and regulations". The following conventions apply in interpreting these terms, as used in the Specifications.

- **Statutes and Rules** - Oregon Revised Statutes (ORS) and Oregon Administrative Rules (OAR) referenced in the Specifications are accessible on line, including through the Oregon Legislative Counsel Committee web site and through the Oregon Secretary of State Archives Division web site.
- **Law** - In each case, unless otherwise expressly stated therein, the Law is to be understood to be the current version in effect. This also applies where a specific Law is referenced or cited, regardless of whether the text of the Law has been included in the Specifications or not, and regardless of whether the text of the Law has been summarized or paraphrased. In each case, the current version of the Law is applicable under any Contract. The reader is therefore cautioned to check the actual text of the Law to confirm that the text included in the Specifications has not been modified or superseded.
- **Permits** - Orders and permits issued by a government agency may be modified during the course of performing the Work under a Contract. Therefore, wherever the term "order" or "permit" is used in the Specifications, it is intended to refer to the then-current version. That version may be embodied in a modified, superseding order or permit, or it may consist of all terms and conditions of prior orders or permits that have not been superseded, as well as the additional terms added by amendment or supplement. In certain cases, the orders and/or permits are identified by name in the Specifications; in other cases the terms are used in the generic sense. The reader is cautioned to check the text(s) of each order and permit identified either by name or by generic reference.
- **Applicable Laws and Regulations** - Where the phrase "applicable laws and regulations" appears, it is to be understood as including all applicable laws, acts, regulations, administrative rules, ordinances, statutes, and orders and permits issued by a governmental or regulatory authority.

Abbreviations

00110.10 Abbreviations - Following are meanings of abbreviations used in the Standard Specifications, in the Special Provisions, on the Plans, and in other Contract Documents. Other abbreviations and meanings of abbreviations may be in the individual Sections of the Standard Specifications to which they apply, in the Special Provisions, and in OAR 731-005 and OAR 731-007.

AAR	- Association of American Railroads
AASHTO	- American Association of State Highway and Transportation Officials
ABC	- Associated Builders and Contractors, Inc.
AC	- Asphalt Concrete
ACI	- American Concrete Institute
ACP	- Asphalt Concrete Pavement
ACWS	- Asphalt Concrete Wearing Surface
AGC	- Associated General Contractors of America
AIA	- American Institute of Architects
AISC	- American Institute of Steel Construction
AISI	- American Iron and Steel Institute
AITC	- American Institute of Timber Construction
ANSI	- American National Standards Institute
APA	- Engineered Wood Association
APWA	- American Public Works Association
AREMA	- American Railway Engineering and Maintenance of Right-of-Way Association
ASCE	- American Society of Civil Engineers
ASME	- American Society of Mechanical Engineers

ASTM	- American Society for Testing and Materials
ATPB	- Asphalt Treated Permeable Base
AWG	- American Wire Gauge
AWPA	- American Wood Protection Association
AWS	- American Welding Society
AWWA	- American Water Works Association
CAGT	- Certified Aggregate Technician
CAT-I	- Certified Asphalt Technician I
CAT-II	- Certified Asphalt Technician II
CBM	- Certified Ballast Manufacturers
CCO	- Contract Change Order
CCT	- Concrete Control Technician
CDT	- Certified Density Technician
CEBT	- Certified Embankment and Base Technician
CMDT	- Certified Mixture Design Technician
CPF	- Composite Pay Factor
CRSI	- Concrete Reinforcing Steel Institute
CFR	- Code of Federal Regulations
CS	- Commercial Standard, Commodity Standards Division, U.S. Department of Commerce
D1.1	- Structural Welding Code - Steel, American Welding Society, current edition
D1.5	- Bridge Welding Code, American Welding Society, current edition
DBE	- Disadvantaged Business Enterprise
DEQ	- Department of Environmental Quality, State of Oregon
DOGAMI	- Department of Geology and Mineral Industries, State of Oregon
DSL	- Department of State Lands, State of Oregon
EAC	- Emulsified Asphalt Concrete
EPA	- U.S. Environmental Protection Agency
ESCP	- Erosion and Sediment Control Plan
FHWA	- Federal Highway Administration, U.S. Department of Transportation
FSS	- Federal Specifications and Standards, General Services Administration
GSA	- General Services Administration
ICEA	- Insulated Cable Engineers Association (formerly IPCEA)
IES	- Illuminating Engineering Society
IMSA	- International Municipal Signal Association
ISO	- International Standards Organization
ITE	- Institute of Transportation Engineers
JMF	- Job Mix Formula
MFTP	- Manual of Field Test Procedures (ODOT)
MIL	- Military Specifications
MSC	- Minor Structure Concrete
MUTCD	- Manual on Uniform Traffic Control Devices for Streets and Highways, FHWA, U.S. Department of Transportation
NEC	- National Electrical Code
NEMA	- National Electrical Manufacturer's Association
NESC	- National Electrical Safety Code
NIST	- National Institute of Standards and Technology
NPDES	- National Pollutant Discharge Elimination System
NPS	- Nominal Pipe Size (dimensionless)
OAR	- Oregon Administrative Rules
ODA	- Oregon Department of Agriculture
ODOT	- Oregon Department of Transportation
ORS	- Oregon Revised Statutes
OR-OSHA	- Oregon Occupational Safety and Health Division of the Department of Consumer and Business Services
OSHA	- Occupational Safety and Health Administration, U.S. Department of Labor
PCA	- Portland Cement Association
PCC	- Portland Cement Concrete

PCI	-	Precast/Prestressed Concrete Institute
PCP	-	Pollution Control Plan
PF	-	Pay Factor of a constituent
PLS	-	Professional Land Surveyor
PMBB	-	Plant Mixed Bituminous Base
PTI	-	Post-Tensioning Institute
PUC	-	Public Utility Commission, State of Oregon
QA	-	Quality Assurance
QC	-	Quality Control
QCT	-	Quality Control Technician
QL	-	Quality Level
QPL	-	Qualified Products List
RAP	-	Reclaimed Asphalt Pavement
REA	-	Rural Electrification Administration, U.S. Department of Agriculture
RMA	-	Radio Manufacturers Association or Rubber Manufacturers Association
SAE	-	Society of Automotive Engineers
SI	-	International System of Units (Système Internationale)
SRCM	-	Soil and Rock Classification Manual (ODOT)
SSPC	-	Society for Protective Coatings
T	-	Tolerances, AASHTO Test Method
TM	-	Test Method (ODOT)
TV	-	Target Value
UBC	-	Uniform Building Code (as adopted by the State of Oregon)
UL	-	Underwriters Laboratory, Inc.
UPC	-	Uniform Plumbing Code (as adopted by the State of Oregon)
USC	-	United States Code
WAQTC	-	Western Alliance for Quality Transportation Construction
WCLIB	-	West Coast Lumber Inspection Bureau
WWPA	-	Western Wood Products Association

Definitions

00110.20 Definitions - Following are definitions of words and phrases used in the Standard Specifications, in the Special Provisions, on the Plans, and in other Contract Documents. Other definitions may be in the individual Sections of the Standard Specifications to which they apply, in the Special Provisions, and in OAR 731-005 and OAR 731-007.

Act of God or Nature - A natural phenomenon of such catastrophic proportions or intensity as would reasonably prevent performance.

Addendum - A written or graphic modification, issued before the opening of Bids, which revises, adds to, or deletes information in the Solicitation Documents or previously issued Addenda.

Additional Work - Increased quantities of any Pay Item for which a unit price has been established, or the increase of Work within the scope of the Contract.

Advertisement - The public announcement (Call for Bids) inviting Bids for Work to be performed or Materials to be furnished.

Agency - The City of Warrenton, a municipal corporation of the State of Oregon, which has entered into a Contract with the Contractor.

Agency-Controlled Lands - Lands owned by the Agency, or controlled by the Agency under lease or agreement, or under the jurisdiction and control of the Agency for the purposes of the Contract.

Aggregate - Rock of specified quality and gradation.

Attorney in Fact - An Entity appointed by another to act in its place, either for some particular purpose or for the transaction of business in general.

Award - Written notification to the Bidder that the Bidder has been awarded a Contract.

Base - A Course of specified material of specified thickness placed below the Pavement.

Bid - A competitive offer, binding on the Bidder and submitted in response to an invitation to bid.

Bid Bond - The Surety bond for Bid guarantee.

Bid Booklet - The bound paper version included in the Solicitation Documents that contain the information identified in 00120.10.

Bid Closing - The date and time after which Bids, Bid modifications, and Bid withdrawals will no longer be accepted.

Bid Documents - See under Solicitation Document.

Bid Opening - The date and time Bids are opened.

Bid Schedule – The lump sum Pay Item; or the list of Pay Items, their units of measurement, and estimated quantities. (When a Contract is awarded, the Bid Schedule becomes the Schedule of Items.)

Bid Section - The portion of the Bid Booklet containing all pages after the Bidder's checklist and before the appendix.

Bidder - An Entity that submits a Bid in response to an invitation to bid.

Bike Lane - A lane in the Traveled Way, designated by striping and Pavement markings for the preferential or exclusive use of bicyclists.

Borrow - Material lying outside of planned or required Roadbed excavation used to complete Project earthwork.

Boulders - Particles of rock that will not pass a 12 inch square opening.

Bridge - A single or multiple span Structure, including supports, that carries motorized and non-motorized vehicles, pedestrians, or utilities on a Roadway, walk, or track over a watercourse, highway, railroad, or other feature.

Buttress - A rock fill placed at the toe of a landslide or potential landslide in order to resist slide movement.

Calendar Day - Any day shown on the calendar, beginning and ending at midnight.

Call for Bids - The public announcement inviting Bids for Work to be performed or Materials to be provided.

Camber - A slight arch in a surface or Structure to compensate for loading.

Change Order - A written order issued by the Engineer to the Contractor modifying Work required by the Contract, or adding Work within the scope of the Contract, and, if applicable, establishing the basis of payment for the modified Work.

Class of Work - A designation referring to the type of Work in which Bidders must be pre-qualified.

Clay - Soil passing a No. 200 sieve that can be made to exhibit plasticity (putty-like properties) within a range of water contents.

Clear Zone - Roadside border area, starting at the edge of the Traveled Way, that is available for safe use by errant vehicles. Establishing a minimum width Clear Zone implies that rigid objects and certain other hazards within the Clear Zone should be relocated outside the Clear Zone, or shielded, or remodeled to make them break away on impact or be safely traversable.

Close Conformance - Where working tolerances are given on the Plans or in the Specifications, Close Conformance means compliance with those tolerances. Where working tolerances are not given, Close Conformance means compliance, in the Engineer's judgment, with reasonable and customary manufacturing and construction tolerances.

Coarse Aggregate - Crushed Rock or crushed Gravel retained on a 1/4 inch sieve, with allowable undersize.

Cobbles - Particles of Rock, rounded or not, that will pass a 12 inch square opening and be retained on a 3 inch sieve.

Commercial Grade Concrete - Concrete furnished according to Contractor proportioning, placed in minor Structures and finished as specified.

Construction Contracts Unit - Agency's office that administers construction contracts.

Contract - The written agreement between the Agency and the Contractor, including without limitation all Contract Documents, describing the Work to be completed and defining the rights and obligations of the Agency and the Contractor.

Contract Administration Engineer - The Agency representative presiding over Agency-level claims review under 00199.40.

Contract Amount - Lump sum Pay Item or the sum of the Pay Item amounts computed by multiplying the Pay Item quantities by the unit prices in the Schedule of Items.

Contract Documents - Solicitation Documents, Specifications, Plans, Contract booklet, Change Orders, Force Account Work orders, pay documents issued by the Agency, Materials certifications, Project Work schedules, final estimate, written orders and authorizations issued by the Agency, Material source development and reclamation plans, and permits, orders and authorizations obtained by the Contractor or Agency applicable to the Project, as well as all documents incorporated by reference therein.

Contract Time - The amount of time allowed to complete the Work under the Contract.

Contractor - The Entity awarded the Contract according to the solicitation.

Correction Period - Period from Second Notification to Final Acceptance as per Subsection 00170.85(b).

Course - A specified Surfacing Material placed in one or more Lifts to a specified thickness.

Coverage - One Pass by a piece of Equipment over an entire designated area.

Cross Section - The exact image formed by a plane cutting through an object, usually at right angles to a central axis, to determine area.

Day - A Calendar Day including weekdays, weekends, and holidays, unless otherwise specified.

Defective – An adjective which when modifying the word "Work" refers to work that: (1) is unsatisfactory, faulty or deficient; (2) does not conform to the Contract Documents; (3) does not meet the requirements of any inspection, test for approval referred to in the Contract Documents; or (4) has been damaged prior to Engineer's recommendation for final payment.

Durable Rock - Rock that has a slake durability index of at least 90% based on a two-cycle slake durability test, according to ASTM D 4644. In the absence of test results, the Engineer may evaluate the durability visually.

Emulsified Asphalt - Emulsified asphalt cement.

Emulsified Asphalt Concrete - A mixture of Emulsified Asphalt and graded Aggregate.

Engineer - The Engineer who represents the Agency and who is designated by the Agency to administer the Contract.

Entity - A natural person capable of being legally bound, sole proprietorship, limited liability company, corporation, partnership, limited liability partnership, limited partnership, profit or nonprofit unincorporated association, business trust, two or more persons having a joint or common economic interest, or any other person with legal capacity to contract, or a government or governmental subdivision.

Equipment - All machinery, tools, manufactured products, and fabricated items needed to complete the Contract or specified for incorporation into the Work.

Establishment Period - The time specified to assure satisfactory establishment and growth of planted Materials.

Existing Surfacing - Pavements, slabs, curbs, gutters, walks, driveways, and similar constructions of bricks, blocks, portland cement concrete, bituminous treated materials, and granular surfacing materials on existing Highways.

Extra Work - Work not included in the Contract, but deemed by the Engineer to be necessary to complete the Project.

Field Order – A written order issued by the Engineer which requires minor changes in the Work but which may not involve a change in the Contract Amount or the Contract Times.

Final Acceptance - Written confirmation by the Agency that the Project has been completed according to the Contract including all corrective work identified by the Agency during the Correction Period, with the exception of latent defects and Warranty obligations, if any, and has been accepted.

Final Inspection - The inspection conducted by the Engineer to determine that the Project has been completed according to the Contract.

Fine Aggregate - Crushed Rock, crushed Gravel, or Sand that passes a 1/4 inch sieve, with allowable oversize.

First Notification - Written Notice to Proceed provided by the Engineer.

Force Account Work - Items of Extra Work ordered by the Engineer that are to be paid according to Section 00197.

Granular Material - Graded and selected free-draining material composed of particles of Rock, Sand, and Gravel.

Gravel - Particles of Rock, rounded or not, that will pass a 3 inch sieve and be retained on a No. 4 sieve.

Highway - Every road, street, thoroughfare and place, including bridges, viaducts and other structures within the boundaries of the State, open, used or intended for use by vehicular traffic.

Incidental - A term identifying those acts, services, transactions, property, Equipment, labor, Materials, or other items for which the Agency will make no separate or additional payment.

Inspector - The representative of the Engineer authorized to inspect and report on Contract performance.

Leveling - Placing a variable-thickness Course of Materials to restore horizontal and vertical uniformity to existing Pavements, normally continuous throughout the Project.

Lift - The compacted thickness of material placed by Equipment in a single Pass.

Mandatory Source - A material source provided by the Agency from which the Contractor is required to obtain Materials. (see 00160.00(b) and 00160.40)

Materials - Any natural or manmade substance specified for use in the construction of the Project or for incorporation into the Work.

Median - The portion of a divided Highway separating traffic traveling in opposite directions.

Milestone – A principal event specified in the Contract Documents relating to an intermediate completion date or time prior to Substantial Completion of all the Work.

Multiple Course Construction - Two or more Courses, exclusive of Patching or Leveling, placed over the entire Roadway width.

Multi-Use Path - That portion of the Highway Right-of-Way or a separate Right-of-Way, physically separated from motor vehicle traffic and designated for use by pedestrians, bicyclists and other non-motorized users.

Neat Line - Theoretical lines specified or indicated on the Plans for measurement of quantities.

Nondurable Rock - Rock that has a slake durability index of less than 90% based on a two-cycle slake durability test, as tested by ASTM D 4644, or Rock that is observed to readily degrade by air, water, and mechanical influence.

Notice to Proceed - Written notice authorizing the Contractor to begin performance of the Work.

On-Site Work - Any Work taking place on the Project Site, including designated staging areas adjacent to the Project Site, except for installation of covered temporary signs according to Section 00225.

Organic Soil - A Soil with sufficient organic content to influence the Soil properties.

Panel - The width of specified Material being placed by Equipment in a single Pass.

Pass - One movement of a piece of Equipment over a particular location.

Patching - Placing a variable-thickness Course of Materials to correct sags, dips, and/or bumps to the existing grade and Cross Section, normally intermittent throughout the Project.

Pavement - Asphalt concrete or portland cement concrete placed for the use of motor vehicles, bicycles, or pedestrians on Roadways, Shoulders, Multi-Use Paths and parking areas.

Pay Item (Contract Item) - A specific unit of Work for which a price is provided in the Contract.

Payment Bond - The approved security furnished by the Contractor's Surety as a guaranty of the Contractor's performance of its obligation to pay promptly in full all sums due for Materials, Equipment, and labor furnished to complete the Work.

Peat - A Soil composed primarily of vegetative matter in various stages of decomposition, usually with an organic odor, dark brown to black color, and a spongy consistency.

Performance Bond - The approved security furnished by the Contractor's Surety as a guaranty of the Contractor's performance of the Contract.

Plans - Standard and Supplemental Drawings, and approved unstamped and reviewed stamped Working Drawings. (see 00150.10 and 00150.35)

Project - The sum of all Work to be performed under the Contract.

Project Manager - The Engineer's representative directly responsible for administration of a Contract.

Project Site - The geographical dimensions of the real property on which the Work is to be performed, including designated contiguous staging areas.

Prospective Source - A Material source provided by the Agency, from which the Contractor has the option of obtaining Materials. (see 00160.00(a) and 00160.40)

Publicly-Owned Equipment - Equipment acquired by a state, county, municipality or political subdivision primarily for use in its own operations.

Public Traffic - Vehicular or pedestrian movement, not associated with the Contract Work, on a public way.

Railroad - Publicly or privately owned rail carriers, including passenger, freight, and commuter rail carriers, their tenants, and licensees. Also, Utilities that jointly own or use such facilities.

Right-of-Way - Land, property, or property interest, usually in a strip, acquired for or devoted to transportation or other public works purposes.

Roadbed - Completed excavations and embankments for the Subgrade, including ditches, side slopes, and slope rounding, if any.

Roadside - The area between the outside edges of the Shoulders and the Right-of-Way boundaries. Unpaved median areas between inside Shoulders of divided Highways and infield areas of interchanges are included.

Roadway - That portion of a Highway improved, designed, or ordinarily used for vehicular travel, exclusive of the berm or Shoulder. If a Highway includes two or more separate Roadways, the term "Roadway" refers to any such Roadway separately, but not to all such Roadways collectively. (see Traveled Way)

Rock - Natural deposit of solid material composed of one or more minerals occurring in large masses or fragments.

Sand - Particles of Rock that will pass a No. 4 sieve and be retained on a No. 200 sieve.

Schedule of Items - The list of Pay Items, their units of measurement, estimated quantities, and prices.

Schedule of Values - The breakdown of the values of the component elements comprising a lump sum Pay Item.

Second Notification - Written acknowledgment by the Engineer of the Substantial Completion of the Work according to 00180.50(g).

Shoulder - The part of a Roadbed contiguous to the Traveled Way or Roadway, whether paved or unpaved, for accommodating stopped vehicles, for emergency use and for lateral support of Base and surface Courses.

Silt - Soil passing a No. 200 sieve that is nonplastic or exhibits very low plasticity.

Single Course Construction - A wearing Course only, not including patching or leveling Courses or partial width Base Course.

Slope - Vertical distance to horizontal distance, unless otherwise specified.

Soil - Accumulations of particles produced by the disintegration of Rock, which sometimes contains organic matter. Particles may vary in size from Clay to Boulders.

Solicitation Document - Documents which define the procurement of a public improvement Project, including, but not limited to, the Bid Booklet, Agency-provided Plans, Standard Specifications, Special Provisions, Addenda, and which includes all documents incorporated by reference. May also be called Bid Documents.

Special Provisions - The special directions, provisions, and requirements specific to a Project that supplement or modify the Standard Specifications. Permits and orders governing the Project that are issued directly to the Agency by a governmental or regulatory authority are considered to be part of the Special Provisions, to the extent and under the conditions stipulated in the Special Provisions.

Specifications - The Standard Specifications and Special Provisions, together with all provisions of other documents incorporated therein by reference.

Standard Drawings - The Agency-prepared detailed drawings for Work or methods of construction that normally do not change from project to project.

Standard Specifications – The "General Conditions for Construction for the City of Warrenton" published by the Agency, and the "2015 Oregon Standard Specifications for Construction", Parts 00200 through 03000, "Technical Specifications", published by the Oregon Department of Transportation as amended by the Agency. It provides directions, provisions, and requirements necessary for performing public improvement projects.

State - The State of Oregon.

Structures - Bridges, retaining walls, endwalls, cribbing, buildings, culverts, manholes, catch basins, drop inlets, sewers, service pipes, underdrains, foundation drains, and other similar features which may be encountered in the Work.

Subbase - A Course of specified material of specified thickness between the Subgrade and a Base.

Subcontractor - An Entity having a direct contract with the Contractor or another Subcontractor, to perform a portion of the Work.

Subgrade - The top surface of completed earthwork on which Subbase, Base, Surfacing, Pavement, or a Course of other Material is to be placed.

Substantial Completion – The time at which the Work (or a specified part thereof) has progressed to the point where, in the opinion of Engineer, the Work (or a specified part thereof) is sufficiently complete, in accordance with the Contract Documents, so that the Work (or a specified part thereof) can be utilized for the purposes for which it is intended.

Substructure - Those parts of a Structure which support the Superstructure, including bents, piers, abutments, and integrally built wingwalls, up to the surfaces on which bearing devices rest. Substructure also includes portions above bearing surfaces when those portions are built integrally with a Substructure unit (e.g., backwalls of abutments). When Substructure and Superstructure elements are built integrally, the division between Substructure and Superstructure is considered to be at the bottom soffit of the longitudinal or transverse beam, whichever is lower. Culverts and rigid frames are considered to be entirely Substructure.

Superstructure - Those parts of a Structure above the Substructure, including bearing devices.

Supplemental Drawings - The Agency-prepared detailed drawings for Work or methods of construction that are Project specific, and are denoted by title in the Project title block.

Supplier - The Entity that furnishes goods to be incorporated into the Work.

Surety - The Entity that issues the bond.

Surfacing - The Course or Courses of material on the Traveled Way, auxiliary lanes, Shoulders, or parking areas for vehicle use.

Third Notification - Written acknowledgment by the Engineer, subject to Final Acceptance, that as of the date of the notification the Contractor has achieved Final Completion of the Project according to the Contract, including without limitation completion of all minor corrective work, Equipment and plant removal, site clean-up, and submittal of all certifications, bills, forms and documents required under the Contract.

Ton - One short ton of 2,000 pounds (Ton, ton, Tn, or T).

Topsoil - Soil ready for use in a planting bed.

Traffic Lane - That part of the Traveled Way marked for moving a single line of vehicles.

Traveled Way - That part of the Highway for moving vehicles, exclusive of berms and Shoulders.

Typical Section - That Cross Section established by the Plans which represents in general the lines to which the Contractor shall work in the performance of the Contract.

Unsuitable Material - Frozen material, or material that contains organic matter, muck, humus, peat, sticks, debris, chemicals, toxic matter, or other deleterious materials not normally suitable for use in earthwork.

Utility - A line, facility, or system for producing, transmitting, or distributing communications, power, electricity, heat, gas, oil, water, steam, waste, storm water not connected with highway drainage, or any other similar commodity which directly or indirectly serves the public. The term may also mean the utility company, district, or cooperative owning and operating such facilities, including any wholly-owned or controlled subsidiary.

Warranty Bond - The approved security furnished by the Contractor's, Subcontractor's, Manufacturer's, Installer's or Supplier's Surety as a guaranty of performance of their respective warranty obligations.

Wetlands - Areas that are inundated or saturated by surface or ground water at a frequency and duration sufficient to support, and that under normal circumstances do support, vegetation typically adapted for life in saturated Soil conditions. Wetlands generally include swamps, marshes, bogs, and similar areas.

Work - The furnishing of all Materials, Equipment, labor, and Incidentals necessary to successfully complete any individual Pay Item or the entire Contract, and the discharge of duties and obligations imposed by the Contract.

Work Change Directive – A written statement to Contractor issued on or after the Date of the Agreement and signed by Owner and recommended by Engineer ordering an addition, deletion, or revision in the Work, or responding to differing or unforeseen subsurface or physical conditions under which the Work is to be performed or to emergencies. A Work Change Directive will not change the Contract Amount or the Contract Times but is evidence that the parties expect that the change ordered or documented by a Work Change Directive will be incorporated in a subsequently issued Change Order following negotiations by the parties as to its effect, if any, on the Contract Amount or Contract Times.

Work Day - Every Calendar day excluding Saturdays, Sundays and legal holidays as listed in ORS187.010.

Worker - Any person performing work under the contract, including employees of the Contractor or subcontractor, and persons having full or partial ownership of the Contractor or subcontractor. (This definition is not intended to nor does it alter the definition or meaning of the term "worker" as used in any applicable laws or regulations, including but not limited to for purposes of paying prevailing wage rates.)

Working Drawings - Supplemental Plans, not furnished by the Agency, that the Contractor is required to submit to the Engineer. (see 00150.35)

Workplace Violence - Any act of physical, verbal or written aggression by an individual in or related to the work place and/or project sites. This includes, but is not limited to, verbal abuse, threats or intimidation and physical intimidation, assault or battery by a worker or former worker. Work place violence may also include destruction or abuse of property.

Section 00120 - Bidding Requirements and Procedures

00120.01 Receipt of Bids; Opening – See Special Provisions.

00120.02 Prequalification of Bidders – See Special Provisions.

00120.03 Request for Solicitation Documents – See Special Provisions.

00120.04 Pre-Bid Meeting – See Special Provisions

00120.10 Bid Booklet - The Bid Booklet may include, but is not limited to:

- Call for Bids
- Bidder's Checklist
- Bid Section
- Appendix, which includes required time-sensitive forms, sample forms, and other informational pages

The Call for Bids and Bid Section may include, but is not limited to:

- Description and location of the proposed Project
- Time, date, and location for opening Bids
- Project completion time
- Class of Work
- Bid statement
- Certificate of non-collusion
- Certificate of Nondiscrimination
- Certificate of noninvolvement in any debarment or suspension (for Federal-Aid Projects)
- Certificate regarding lobbying activities (for Federal-Aid Projects)
- Certificate of residency (for State Projects)
- Certificate of compliance with Oregon tax laws
- Bid Schedule
- Identification of Bidder(s) and Sureties
- Bid signature page
- Bid Bond form
- First-tier Subcontractor Disclosure form

Other certificates or statements may be bound within the Bid Section. Plans, Specifications, and other documents referred to in the Bid Section will be considered part of the Bid.

00120.15 Examination of Work Site and Solicitation Documents; Consideration of Conditions to be Encountered - Before submitting a Bid, Bidders shall make a careful visual examination of the site of the proposed Work, the Bid Booklet, Plans, and Specifications. Bidders shall also review any subsurface investigation material referenced in 00120.25 that may be available and conduct additional investigation of any unusual condition apparent during the visual site examination. As soon as reasonably practicable after noting any such unusual condition, Bidder shall notify Agency, in writing, of any such unusual condition and the additional investigation undertaken by Bidder. Submission of a Bid will constitute confirmation that the Bidder has examined the Project Site and finds the Plans and Specifications to be sufficiently detailed and accurate to enable Bidder to properly perform the Work, and understands the conditions to be encountered in performing the Work and all requirements of the Contract.

The Bidder is responsible for loss or unanticipated costs suffered by the Bidder because of the Bidder's failure to fully examine the site and become fully informed about all conditions of the Work, or failure to request clarification of Plans and Specifications Bidder believes to be erroneous or incomplete.

Any clarification of Plans and Specifications needed by the Bidder shall be requested in writing by email through the Engineer. Unless the procurement period is shorter than one week, requests for changes or clarification shall be submitted at least seven days prior to the date of Bid Closing. The Agency will respond to each request at least 72 hours prior to the date of Bid Closing. If the Procurement period is less than seven days, requests shall be submitted within one day after the Procurement is issued and the Agency will issue its response to each such request at least 24 hours prior to Bid Closing. Failure to timely request clarification or changes shall be deemed acceptance of all of the terms and conditions of the Procurement. Oral explanations or interpretations given before receiving Bids for a Project will not be binding. To be binding, interpretation of the Plans and Specifications by the Agency must be made by written Addendum furnished to all Holders of Bidding Plans according to 00120.30. Notification of erroneous or incomplete Plans or Specifications shall also be submitted to the Engineer. Such notification shall also be made in sufficient time for the Agency to make any necessary modifications and issue Addenda to Bidders prior to Bid Closing.

00120.16 Material, Equipment, and Method Substitutions - When the Contract specifies certain Materials, Equipment, and/or methods, the Bidder shall include those Materials, Equipment, and/or methods in the Bid. Substitution after execution of Contract is specified in 00180.31(b), 00180.31(c), and 00180.31(d).

00120.17 Use of Agency-Owned Land for Staging or Storage Areas - The Contractor may use Agency-owned property for staging or storage areas, subject to the following limitations:

(a) Within Normal Right-of-Way Limits - If approved by the Engineer, the Contractor may use available property within the normal Right-of-Way limits for the purpose of constructing improvements under the Contract. Where the Agency owns, or has rights to, other adjacent properties in the Project area, "normal Right-of-Way" is limited to a line drawn across that property connecting the normal Right-of-Way limits on either side of the property.

(b) Outside Normal Right-of-Way Limits - The Contractor may not use Agency-owned property outside of normal Right-of-Way limits for the Project without the approval of the Engineer.

If a Bidder obtains approval before submitting a Bid, use of the property will be at no cost to the Contractor, or at a cost stated by the Engineer upon granting approval, as confirmed by Addendum.

If approval is not obtained before submitting a Bid, and the Contractor proposes to use Agency-owned property outside the normal Right-of-Way limits, then use of the property may be approved by the Engineer, but the Contractor will be assessed fair market value, as determined by the Engineer, for use of the property.

(c) Restrictions on Use - Contractors shall comply with all applicable laws, ordinances, and regulations pertaining to use of Agency-owned property, and shall:

- Not cause unreasonable impacts on traffic and other facility users.
- Clean up all hazardous materials deposited by, or resulting from, Contractor operations.
- Be responsible for all costs associated with use of the property.

00120.20 Interpretation of Quantities in Bid Schedule - Quantities appearing in the Bid Schedule are approximate and are provided only for comparison of Bids. The Agency does not warrant that the actual individual items, amount of Work, or quantities will correspond to those shown in the Bid Schedule. Payment to the Contractor will be made only for actual quantities of Work performed and accepted or Materials furnished and accepted, as required by the Contract. Quantities of Work to be performed and Materials to be furnished may each be increased, decreased, or omitted as provided in 00120.30 and 00140.30.

00120.25 Subsurface Investigations - If the Agency or its consultant has conducted subsurface or geologic investigations of the proposed Project Site or contiguous to the Project Site, the results of the investigations may be included in written reports. If reports have been prepared, such reports shall be included in the Solicitation Documents and shall be considered as part of the Contract Documents to the extent that the Contractor may rely upon the accuracy of the "technical data" contained in such reports. If the Agency has retained subsurface samples, they will also be available for inspection. Bidders and the Contractor may make arrangements for viewing the samples through the Engineer's office.

The availability of subsurface information from the Agency is solely for the convenience of the Bidder and shall not relieve the Bidder or the Contractor of any risk, duty to make examinations and investigations as required by 00120.15, or other responsibility under the Contract Documents. It is mutually agreed to by all parties that:

- The subsurface investigations made by the Agency are for the purpose of obtaining data for planning and design of the Project.
- The data for individual test boring logs apply only to that particular boring and is not intended to be conclusive as to the character of any material between or around test borings.
- If Bidders use this information in preparing a Bid, it is used at their own risk, and Bidders are responsible for all conclusions, deductions, and inferences drawn from this information.
- Contractor may not rely upon or make any claim against Owner or Engineer, or any of their officers, directors, members, partners, employees, consultants, or subcontractors with respect to any Contractor interpretation of or conclusion drawn from any "technical data" or any such other data, interpretations, opinions, or information.

00120.30 Changes to Plans, Specifications, or Quantities before Opening of Bids - The Agency reserves the right to issue Addenda making changes or corrections to the Plans, Specifications, or quantities. Only holders of Solicitation Documents obtained from the Agency's office who have been identified by the Agency as Holders of Bidding Plans will be notified of these Addenda by mail, delivery service, electronic facsimile (FAX) or email sent to the Bidder's mail, street or email address or FAX number as it appears in the Agency's files.

The Agency may extend Bid closing if Agency determines prospective bidders need additional time to review and respond to addenda. Agency will not, except to the extent required by a countervailing public interest, issue Addenda less than 72 hours before Bid closing unless the Addendum also extends Bid closing.

The Agency will not be responsible for failure of Bidders to receive Addenda sent as described in the preceding paragraph. Bids shall incorporate all Addenda. Bids may be rejected if opened and found by the Agency to not be based on all Addenda issued before Bid Closing.

00120.40 Preparation of Bids:

(a) General:

(1) Bids - The Bidders shall not alter, in any manner, the documents within the Bid Section. Bidders shall complete the certifications and statements included in the Bid Section of the Bid Booklet according to the instructions. Signature of the Bidder's authorized representative thereon constitutes the Bidder's confirmation of an agreement to all certifications and statements contained in the Bid Booklet. Entries on the documents in the Bid Section shall be in black or blue ink or typed. Signatures and initials shall be in ink.

The Bidder shall properly complete and bind all the documents in the Bid Section, as specified in 00120.10, between the front and back covers of the Bid Booklet, except that the Bid Bond is not required if another permissible type of Bid guaranty is provided. (see 00120.40(d))

(b) Bid Schedule Entries:

(1) Bid Schedule Entries - Using figures, Bidders shall fill in all blank spaces in the Bid Schedule. For each item in the Bid Schedule, Bidders shall enter the unit price and the product of the unit price multiplied by the quantity given. The unit price shall be greater than zero, shall contain no more than two decimal places to the right of the decimal point, and shall be expressed in U.S. dollars and cents (for example, \$150.25 or \$0.37). Unit prices submitted which contain more than two decimal places, will be truncated by the Agency at the second decimal place to determine the product of the unit price and quantity. No rounding will be considered or paid. Bidders Bid shall also enter the total amount of the Bid obtained by adding amounts for all items in the paper Bid Schedule. Corrections or changes of item entries shall be in ink, with incorrect entry lined out and correct entry entered and initialed.

(c) Bidder's Address and Signature Pages - Bidders shall include in the Bid the address to which all communications concerning the Bid and Contract should be sent. The Bid must be signed by a duly authorized representative of the Bidder.

d) Bid Guaranty - All Bids shall be accompanied by a Bid guaranty in the amount of 5% of the total amount of the Bid.

(1) Bid Guaranty The Bid guaranty shall be either a Surety bond, irrevocable letter of credit issued by an insured institution as defined in ORS 706.008 or security in the form of a cashier's check or certified check made payable to the Agency. (see ORS 279C.365(4))

If a Surety bond is submitted, Bidders shall use the Agency's standard Bid Bond form included with the Bid Booklet. Bidders shall submit the bond with original signatures and the Surety's seal affixed. The Bid guaranty shall be submitted by mail, delivery service, or hand delivered to the offices and addresses, and at the times given in the Bid Booklet.

- Acceptable Surety companies are limited to those authorized to do business in the State of Oregon.
- Forfeiture of Bid guaranties is covered by 00130.60, and return of guaranties is covered by 00130.70.

(e) Disclosure of First-Tier Subcontractors - If a Bidder's Bid on a public improvement Project exceeds \$100,000, the Bidder shall, within 2 working hours of the time Bids are due to be submitted, submit to the Agency, on a form provided by the Agency, a disclosure identifying any first-tier Subcontractors that will furnish labor or labor and Materials, and whose contract value is equal to or greater than:

- 5% of the total Project Bid, but at least \$15,000; or
- \$350,000, regardless of the percentage of the total Project Bid.

For each Subcontractor listed, Bidders shall state:

- The name of the Subcontractor;
- The dollar amount of the subcontract; and
- The category of Work that the Subcontractor would be performing.

If no subcontracts subject to the above disclosure requirements are anticipated, a Bidder shall so indicate by entering "NONE" or by filling in the appropriate check box. For each Subcontractor listed, Bidders shall provide all requested information. An incomplete form will be cause for rejection of the Bid.

The Subcontractor Disclosure Form may be submitted for a Bid either:

- By filling out the Subcontractor Disclosure Form included in the Bid Booklet and submitting it together with the Bid at the time and place designated for receipt of Bids;
- By removing it from the Bid Booklet, filling it out and submitting it separately to the Agency at the address given in the Bid Booklet; or
- The Subcontractor Disclosure Form shall be sealed in a separate envelope, addressed to Public Works Director, City of Warrenton, 225 S Main Ave, Warrenton, OR 97146, showing on the outside of the envelope the name of the Bidder and the Contract title preceded by the words "Subcontractor Disclosure Form".
Facsimile submissions of Subcontractor Disclosure Form will not be accepted.

Subcontractor Disclosure Forms submitted by any method will be considered late if not received by the Agency within two 2 working hours of the time designated for receiving Bids.

THE AGENCY MUST REJECT A BID IF THE BIDDER FAILS TO SUBMIT THE DISCLOSURE FORM WITH THIS INFORMATION BY THE STATED DEADLINE (see OAR 137-049-0360).

00120.45 Submittal of Bids:

(a) Bids - Bids may be submitted by mail, parcel delivery service, or hand delivery to the Agency, and at the times given in the Bid Booklet. Bids may not be submitted by FAX or electronic means. Submit Bids in a sealed envelope addressed to Public Works Director, City of Warrenton, 225 S Main Ave, Warrenton, Oregon 97146. Date of opening and Project title must be plainly marked on the outside of the sealed envelope preceded by the words "Sealed Bid". If a delivery or courier service is used, the Bidder shall place the sealed envelope containing the paper Bid inside the delivery or courier service's envelope.

Bids submitted after the time set for receiving Bids will not be opened or considered. The Agency assumes no responsibility for the receipt and return of late Bids.

Preparation and submission of Bids is at the sole risk and expense of the Bidder and is not a cost of contract performance.

00120.60 Revision or Withdrawal of Bids:

(a) Bids - Information entered into the Bid Booklet by the Bidder may be changed after the Bid has been delivered to the Agency, provided that:

- Changes are prepared according to the instructions identified in the Bid Booklet; and
- Changes are received at the same offices, addresses, and times identified in the Bid Booklet for submitting Bids; and
- The changes are submitted in writing, signed by an individual authorized to sign the Bid.

A Bidder may withdraw its Bid after it has been delivered to the Agency, provided that:

- The written withdrawal request is submitted in person on the Bidder's letterhead; and
- The request is signed by an individual who is authorized to sign the Bid, and proof of authorization to sign the Bid accompanies the withdrawal request; and
- The request is received at the same offices, addresses, and prior to the time identified in the Bid Booklet for submitting Bids.

No Bid can be withdrawn after having been opened.

00120.65 Opening and Comparing Bids - Bids will be opened and the total price for each Bid will be read publicly at the time and place indicated in the Call for Bids. Bidders and other interested parties are invited to be present.

Bids for each Project will be compared on the basis of the total amount of each Bid. The total amount of the Bid will be the total sum computed from quantities listed in the Bid Schedule and unit prices entered by the Bidder.

In case of conflict between the unit price and the corresponding extended amount, the unit price shall govern, and the Agency may make arithmetic corrections on extension amounts.

00120.70 Rejection of Nonresponsive Bids - A Bid will be considered irregular and will be rejected if the irregularity is deemed by the Agency to render the Bid non-responsive. Examples of irregularities include without limitation:

- The Bid Section documents provided are not properly used or contain unauthorized alterations.
- The Bid is incomplete or incorrectly completed.
- The Bid contains improper additions, deletions, alternate Bids, or conditions.
- The Bid is submitted on documents not obtained directly from the Agency, or is submitted by a Bidder who has not been identified by the Agency as a Holder of Bidding Plans, as required by 00120.03.
- The Bid or Bid modifications are not signed by a person authorized to submit Bids or modify Bids, as required by 00120.40 and 00120.60.
- A member of a joint venture and the joint venture submit Bids for the same Project. Both Bids may be rejected.
- The Bid has entries not typed or in ink, or has signatures or initials not in ink.
- Each change or correction is not individually initialed.
- White-out tape or white-out liquid is used to correct item entries.
- The price per unit cannot be determined.
- The Bid guaranty is insufficient or improper.
- The original Bid Bond form is not used or is altered.
- The Oregon Construction Contractors Board registration number and expiration date are not shown on the Bid if required in the Solicitation Document. This requirement applies to Agency and State-funded Projects, with the exception of Aggregate production and landscape Projects. (not required on Federal-Aid Projects)

- A disclosure of qualified first-tier Subcontractors, if required under 00120.40(e), is not received within 2 working hours of the time Bids are due to be submitted, or the disclosure form is not complete.
- The Bidder has not complied with the DBE requirements of the solicitation.
- The Bid does not acknowledge all issued Addenda.
- The Bid contains entries that are not greater than zero.
- The Bid entries are not expressed in U.S. dollars and cents.
- The Agency determines that any Pay Item is significantly unbalanced to the potential detriment of the Agency.

In addition, the Agency may reject all Bids for good cause upon its finding that it is in the public interest to do so. The Agency may also waive minor informalities or irregularities.

00120.80 Reciprocal Preference for Oregon Resident Bidders - This Subsection applies only to Contracts for Projects financed without federal funds.

Bidders shall complete the certificate of residency provided by the Agency in the Bid Booklet. Failure to properly complete the form will be cause to reject the Bid.

As used in the certificate of residency and this Subsection, "Resident Bidder" means a Bidder who has:

- Paid unemployment taxes or income taxes in the State of Oregon during any of the 12 calendar months immediately preceding submission of the Bid;
- A business address in the State of Oregon; and
- Certified in the Bid that the Bidder qualifies as a Resident Bidder.

"Nonresident Bidder" means a Bidder who is not a Resident Bidder as defined above.

In determining the lowest Bid, the Agency will, for the purpose of awarding the Contract, add a percentage increase to the Bid of a Nonresident Bidder equal to the percentage, if any, of the preference given to that Bidder in the state in which the Bidder resides (ORS 279A.120). The percentage preference applied in each state will be published on or before January 1 of each year by the Oregon Department of Administrative Services. The Agency may rely on these percentages without incurring liability to any Bidder (ORS 279A.120).

This increase will only be applied to determine the lowest Bid, and will not cause an increase in payment to the Contractor after Award of the Contract.

00120.90 Disqualification of Bidders - The Bid(s) of a disqualified Bidder will be rejected. Any of the following reasons is sufficient to disqualify a Bidder:

- More than one Bid is submitted for the same Work by an Entity under the same or different name(s).
- Evidence of collusion among Bidders. Participants in collusion will be found not responsible, and may be subject to criminal prosecution.
- Any of the grounds for disqualification cited in ORS 279C.440.

A Bidder will be disqualified if the Bidder has:

- Not been prequalified as required by 00120.02;
- Been declared ineligible by the Commissioner of the Bureau of Labor and Industries under ORS 279C.860;
- Not been registered (licensed) by the Oregon Construction Contractors Board (CCB) or been licensed by the State Landscape Contractors Board before submitting a Bid (ORS 279C.365(1)(k), ORS 701.021, ORS 701.026, and ORS 671.530). The Bidder's registration number and expiration date shall be shown in the Bid form, if requested. Failure to furnish the registration number, if requested, will render the Bid non-responsive and subject to rejection. (not required on Federal-Aid projects); or
- Been determined by the CCB under ORS 701.227 not to be qualified to hold or participate in a public contract for a public improvement.

00120.91 Rejection of Bid on Grounds of Nonresponsibility of Bidder - The Bid of a Bidder who is found to be nonresponsible according to the criteria listed in 00130.10 or ORS 279C.375(3) will be rejected.

Section 00130 - Award and Execution of Contract

00130.00 Consideration of Bids - After opening and reading Bids, the Agency will check them for correct extensions of unit prices and totals. (see 00120.65) The total of extensions, corrected where necessary, will be used by the Agency for Award purposes. Discrepancies between words and figures will be resolved in favor of words. In selecting the lowest responsive Bid, the Agency reserves the right to take into consideration any or all alternatives called for in the Bid Form.

The Agency reserves the right to waive minor informalities and irregularities, and to reject any or all Bids for irregularities under 00120.70 or for good cause after finding that it is in the public interest to do so (ORS 279C.395). An example of good cause for rejection in the public interest is the Agency's determination that any of the unit Bid prices are materially unbalanced to the Agency's potential detriment. A materially unbalanced Bid is defined as, "a Bid which generates a reasonable doubt that award to the Bidder submitting a mathematically unbalanced Bid will result in the lowest ultimate cost to the Agency.

The Agency may correct obvious errors, when the correct information can be determined from the face of the document, if it finds that the best interest of the Agency and the public will be served thereby.

Bids will be considered and a Contract awarded, if at all, within 30 Calendar Days from the date of Bid Opening, unless an extension beyond that time is agreed to by both parties and acknowledged in writing by the Bidder.

00130.10 Award of Contract - After the Bids are opened and a determination is made that a Contract is to be awarded, the Contract will be awarded to the lowest responsible Bidder. For the purposes of this Section, "lowest responsible Bidder" means the responsible Bidder that submitted the lowest responsive Bid who is not on the list created by the Construction Contractors Board according to ORS 701, and who has:

- Substantially complied with all prescribed public bidding procedures and requirements.
- Available the appropriate financial, Materials, Equipment, facility and personnel resources and expertise, or ability to obtain the resources and expertise, necessary to indicate the capability of the prospective Bidder to meet all contractual responsibilities.
- A satisfactory record of performance. In evaluating a Bidder's record of performance, the Agency may consider, among other things, whether the Bidder completed previous contracts of a similar nature with a satisfactory record of performance. For purposes of evaluating a Bidder's performance on previous contracts of a similar nature, a satisfactory record of performance means that to the extent that the costs associated with and time available to perform a previous contract remained within the Bidder's control, the Bidder stayed within the time and budget allotted for the procurement and otherwise performed the contract in a satisfactory manner.
- A satisfactory record of integrity. In evaluating a Bidder's record of integrity, the Agency may consider, among other things, whether the Bidder has previous criminal convictions for offenses related to obtaining or attempting to obtain a contract or subcontract or in connection with the Bidder's performance of a contract or subcontract.
- Qualified legally to contract with the Agency.
- Supplied all necessary information in connection with the Agency's inquiry concerning responsibility. If a prospective Bidder fails to promptly supply information requested by the Agency concerning responsibility, the Agency shall base the determination of responsibility upon any available information, or may find the prospective Bidder not to be responsible.
- Not been disqualified by the public contracting agency under ORS 279C.440.

If the Bidder is found not to have a satisfactory record of performance or integrity, the Agency will document the record and the reasons for the unsatisfactory finding.

The Agency will provide the Notice of Intent to Award to the Bidders, and may provide Notice of Intent to Award on the Agency's web site.

The Award will not be final until the later of the following:

- Seven calendar days after the Notice of Intent to Award has been issued; or
- The Agency has provided a written response to each timely protest, denying the protest and affirming the Award.

If the Agency accepts a Bid and awards a Contract, the Agency will send the successful Bidder written notice of acceptance and Award.

Notice of Award and Contract booklets ready for execution will be sent within 60 Calendar Days of the opening of Bids or within the number of Calendar Days specified in the Special Provisions or a written mutual agreement.

00130.15 Right to Protest Award - Adversely affected or aggrieved Bidders, limited to the three apparent lowest Bidders and any other Bidder directly in line for Contract Award, may submit to the Agency a written protest of the Agency's intent to Award within seven Calendar days following the date of the Notice of Intent to Award. The protest shall specify the grounds upon which it is based.

An aggrieved Bidder may protest an award only if the Bidder alleges, in its written protest, that it should have received the award because:

- (a) All lower Bids are non-responsive;
- (b) The Agency failed to conduct the Bid process as described in the Bid Document;
- (c) The Agency has abused its discretion in rejecting the protestor's Bid as non-responsive or non-responsive; or
- (d) The Agency's evaluation of Bids or subsequent determination of award is otherwise in violation of ORS Chapters 279A and 279C or the Agency's public contracting rules.

The written protest must describe the facts that support the protest. The Agency may not consider late protests or protests that do not describe facts that would support a finding that the Bidder is aggrieved for one of the reasons in clauses (a) through (d) above.

00130.20 Cancellation of Award - Without liability to the Agency, the Agency may for good cause cancel Award at any time before the Contract is executed by all parties to the Contract, as provided by ORS 279C.395 for rejection of Bids, upon finding it is in the public interest to do so.

00130.30 Contract Booklet - The Contract booklet may include but is not limited to:

- Special Provisions
- Addenda
- Schedule of Items
- Contract (Agreement)
- Performance Bond
- Payment Bond
- Certification of workers' compensation coverage

00130.40 Contract Submittals - Before the Agency will execute the Contract, the successful Bidder shall furnish the following:

(a) Performance and Payment Bonds - When Awarded the Contract, the successful Bidder shall furnish a Performance Bond and a Payment Bond of a Surety authorized to do business in the State of Oregon.

The successful Bidder shall submit the standard bond forms, which are bound in the Contract booklet. Faxed or photocopied bond forms will not be accepted. The amount of each bond shall be equal to the Contract Amount. The Performance Bond and the Payment Bond must be signed by the Surety's authorized Attorney-in-Fact, and the Surety's seal must be affixed to each bond. A power of attorney for the Attorney-in-Fact shall be attached to the bonds in the Contract booklet, which must include bond numbers, and the Surety's original seal must be affixed to the power of attorney. Bonds shall not be canceled without the Agency's consent, nor will the Agency normally release them, prior to Contract completion. The amount of the Performance and Payment Bonds shall be increased to equal the new Contract Amount whenever the Contract Amount is increased for any reason.

(b) Certificates of Insurance - The successful Bidder shall furnish the Agency certificates of insurance applicable to the Project, according to 00170.70. The insurance coverages shall remain in force throughout the performance of the Contract and shall not be allowed to lapse without prior written approval of the Agency. Bidders shall refer to 00170.70 for minimum coverage limits and other requirements.

For specified Contracts, certified copies, and in some instances the original, of insurance policies may be required by the Special Provisions.

(c) Workers' Compensation - To certify compliance with the workers' compensation insurance coverage required by 00170.61(a) and 00170.70(d), the successful Bidder shall complete and sign the "Certification of Workers' Compensation Coverage" form bound in the Contract booklet.

(d) Registration Requirements:

(1) ORS 701.021, ORS 701.026, and ORS 671.530 require that Bidders be registered with the Oregon Construction Contractors Board or licensed by the State Landscape Contractors Board prior to submission of a Bid on a Project not involving federal funds. Registration with the Construction Contractors Board or licensing by the State Landscape Contractors Board is not a prerequisite to bidding on Federal-Aid Projects; however, the Agency will not execute a Contract until the Contractor is so registered or licensed.

(2) Bidders must be registered with the Corporation Division, Oregon Secretary of State, if bidding as a corporation, limited liability company, joint venture, or limited liability partnership, or if operating under an assumed business name and the legal name of each person carrying on the business is not included in the business name.

(3) A Contractor registered under ORS 701 may bid on a landscaping Project or perform a construction project that includes landscape contracting as a portion of the project if the landscape contracting is subcontracted to a licensed landscaping business as defined in ORS 671.520.

(4) A landscaping business may bid on a Project or perform a Contract that includes the phase of landscape contracting for which it is not licensed if it employs a landscape contractor, or subcontracts with another licensed landscaping business, licensed for that phase.

(e) Tax Identification Number - The successful Bidder shall furnish the Agency the Bidder's Federal Tax Identification Number.

00130.50 Execution of Contract and Bonds:

(a) By the Bidder - The successful Bidder shall deliver the required number of Contract booklets with the properly executed Contract, Performance Bond, Payment Bond, certification of workers' compensation coverage, and the required certificates of insurance, to the Agency within 14 Calendar Days after the date on which the Contract booklets are sent or otherwise conveyed to the Bidder under 00130.10. The Bidder shall return the originals of all documents received from the Agency and named in this Subsection, with original signatures. Certificates of insurance shall also be originals. Certificates of insurance for coverages that are permitted by the Agency under 00170.70(a) to be obtained by appropriate subcontractors shall be delivered by the Contractor to the Agency together with the Contractor's request under 00180.21 for approval of the subcontract with that subcontractor. No copies of these documents will be accepted by the Agency.

Proper execution requires that:

- If the Contractor is a partnership, limited liability partnership, joint venture, or limited liability company, an authorized representative of each Entity comprising it shall sign the Contract, Performance Bond, and Payment Bond, and an authorization to sign shall be attached.
- If the Contractor is a corporation, the President and the Secretary of that corporation shall sign the Contract, Performance Bond, and Payment Bond. However, if other corporate officers are authorized to execute contracts and bonds, the successful Bidder shall furnish with those documents a certified, true and correct copy of the corporate bylaws or minutes stating that authority. If only one officer is signing, then the bylaws or minutes must include the authority to sign without the signature of others. The successful Bidder shall also include the title(s) or corporate office(s) held by the signer(s).

(b) By the Agency - Within 10 Working Days after the Agency has received and verified the properly executed documents specified in 00130.50(a), and received legal sufficiency approval from the Agency's attorney (if required), the Agency will execute the Contract. The Agency will then send a fully-executed original Contract booklet to the successful Bidder, who then officially becomes the Contractor.

00130.60 Failure to Execute Contract and Bonds - Failure of the successful Bidder to execute the Contract and provide the required certificates, certifications, and bonds may be cause for cancellation of the Award, and may be cause for forfeiture of the Bid guaranty under ORS 279C.385.

Award may then be made to the next lowest responsible Bidder, the Project may be re-advertised, or the Work may be performed otherwise as the Agency decides.

The forfeited Bid guaranty will become the Agency's property, not as a penalty but as liquidation of damages resulting from the Bidder's failure to execute the Contract and provide the certificates, certifications, and bonds as required by these Specifications.

00130.70 Release of Bid Guaranties - Bid guaranties will be released and checks returned 7 Calendar Days after Bids are opened, except for those of the three apparent lowest Bidders on each Project. The guaranties of the three apparent lowest Bidders will be released and checks returned to unsuccessful Bidders within 7 days of the Agency's execution of the Contract.

00130.75 City's Business License – Successful Bidder shall have current business license with the City of Warrenton prior to entering into an agreement. Before permitting a subcontractor to begin work, Contractor shall verify that subcontractor has a current City of Warrenton business license.

00130.80 Project Site Restriction - Until the Agency sends the Contractor written Notice to Proceed with the Work, and the Contractor has filed the public works bonds required in 00170.20, the Contractor shall not go onto the Project Site on which the Work is to be done, nor move Materials, Equipment, or workers onto that Project Site.

The Contractor will not automatically be entitled to extra compensation because the commencement of Work is delayed by failure of the Agency to send the Contract for execution. However, if more than 60 Calendar Days elapse between the date the Bid is opened and the date the Agency sends the Contract to be executed, the Agency will consider granting an adjustment of time for completion of the Work to offset any actual delay to Contract completion resulting directly from delay in commencement.

00130.90 Notice to Proceed - Notice to Proceed will be issued within 5 Calendar Days after the Contract is executed by the Agency.

Should the Agency fail to issue the Notice to Proceed within 5 Calendar Days of Contract execution, the Contractor may apply for an adjustment of Contract Time according to 00180.80(c).

Section 00140 - Scope of Work

00140.00 Purpose of Contract - The purpose of the Contract is to set forth the rights and obligations of the parties and the terms and conditions governing completion of the Work. The Contractor's obligations shall include without limitation the following:

- The Contractor shall furnish all Materials, Equipment, labor, transportation, and Incidentals required to complete the Work according to Plans, Specifications, and terms of the Contract.
- The Contractor shall perform the Work according to the lines, grades, Typical Sections, dimensions, and other details shown on the Plans, as modified by written order, or as directed by the Engineer.
- The Contractor shall perform all Work determined by the Engineer to be necessary to complete the Project.
- The Contractor shall contact the Engineer for any necessary clarification or interpretation of the Contract.

00140.10 Typical Sections - The Typical Sections are intended to apply in general. At other locations where the Typical Section is not appropriate, the Contractor shall perform construction to the identified alignment as directed by the Engineer.

00140.20 Thickness - The thickness of Courses of Materials shown on the Plans, given in the Specifications, or established by the Engineer is considered to be the compacted thickness. Minor variations are acceptable when within tolerances specified in the Specifications or Plans, or when approved by the Engineer.

00140.30 Agency-Required Changes in the Work - Changes to the Plans, quantities, or details of construction are inherent in the nature of construction and may be necessary or desirable during the course of Project construction.

Without impairing the Contract, the Agency reserves the right to require changes it deems necessary or desirable within the scope, which in the Specifications means general scope, of the Project. These changes may modify, without limitation:

- Specifications and design
- Grade and alignment
- Cross Sections and thicknesses of Courses of Materials
- Method or manner of performance of Work
- Project Limits

or may result in:

- Increases and decreases in quantities
- Additional Work
- Elimination of any Contract item of Work
- Acceleration or delay in performance of Work

Upon receipt of a Change Order, the Contractor shall perform the Work as modified by the Change Order. If the Change Order increases the Contract Amount, the Contractor shall notify its Surety of the increase and direct the Surety to increase the amount of the performance and payment bonds to equal the new Contract Amount. The Contractor's performance of Work according to Change Orders shall neither invalidate the Contract nor release the Surety. Payment for changes in the Work will be made according to 00195.20. Contract Time adjustments, if any, will be made according to 00180.80. A Change Order signed by the Contractor is the agreement that the adjustment in the Contract Amount or Contract Time indicated is full compensation for all change order items including the impact of the change order on the balance of the Work to be accomplished.

00140.40 Differing Site Conditions - The following constitute differing Project Site conditions provided such conditions are discovered at the Project Site after commencement of the Work:

- **Type 1** - Subsurface or latent physical conditions that could not have been discovered by careful examination of the Project Site, utilities and available records as described in 00120.15 and differ materially from those indicated in the Contract Documents; or

- **Type 2** - Unknown physical conditions of unusual nature that differ materially from those ordinarily encountered and generally recognized as inherent in the Work provided for in the Contract.

The party discovering such a condition shall promptly notify the other party, in writing, of the specific differing conditions before they are disturbed and before the affected Work is performed. The Contractor shall not continue Work in the affected area until the Engineer has inspected such condition according to 00195.30 to determine whether an adjustment to Contract Amount or Contract Time is required.

Payment adjustments due to differing Project Site conditions, if any, will be made according to 00195.30. Contract Time adjustments, if any, will be made according to 00180.80.

00140.50 Environmental Pollution Changes - ORS 279C.525 will apply to any increases in the scope of the Work required as a result of environmental or natural resources laws enacted or amended after the submission of Bids for the Contract. The Contractor shall comply with the applicable notice and other requirements of ORS 279C.525. The applicable rights and remedies of that statute will also apply.

In addition to ORS 279C.525, the Agency has compiled a list at 00170.01 of those federal, State, and local agencies, of which the Agency has knowledge, that have enacted ordinances, rules, or regulations dealing with the prevention of environmental pollution and the preservation of natural resources that may affect the performance of Agency contracts.

00140.60 Extra Work - If directed by the Engineer's written order, the Contractor shall perform work not included in the Contract. The Contractor shall perform this work according to:

- Standard Specifications
- Standard Drawings
- Other Plans and Specifications issued by the Engineer

Payment for Extra Work will be made according to Section 00196. Contract Time adjustments, if any, will be made according to 00180.80.

00140.65 Disputed Work - The Contractor may dispute any part of a Change Order, written order, or an oral order from the Engineer by the procedures specified in Section 00199.

00140.70 Cost Reduction Proposals - The Contractor may submit written proposals to the Engineer that modify Plans, Specifications, or other Contract Documents for the sole purpose of reducing the total cost of construction. Unless otherwise agreed to in writing by the Agency, a proposal that is solely or primarily a proposal to reduce estimated quantities or delete Work, as determined by the Engineer, is not eligible for consideration as a cost reduction proposal and will instead be addressed under 00140.30, whether proposed or suggested by the Agency or the Contractor.

(a) Proposal Requirements - The Agency will not adopt a cost reduction proposal that impairs essential functions or characteristics of the Project including but not limited to service life, economy of operation, ease of maintenance, designed appearance, or design and safety standards.

To conserve time and funds, the Contractor may first submit a written request for a feasibility review by the Engineer. The request should contain a description of the proposal together with a rough estimate of anticipated dollar and time savings. The Engineer will, within a reasonable time, advise the Contractor in writing whether or not the proposal would be considered by the Agency, should the Contractor elect to submit a detailed cost reduction proposal.

A detailed cost reduction proposal shall include without limitation the following information:

- A description of existing Contract requirements for performing the Work and the proposed change;
- The Contract items of Work affected by the proposed change, including any quantity variation caused by the proposed change;
- Pay Items affected by the proposed change including any quantity variations;
- A detailed cost estimate for performing the Work under the existing Contract and under the proposed change. Cost estimates shall be made according to Section 00197. Costs of re-design, which are incurred after the Agency has accepted the proposal, will be included in the cost of proposed work; and
- A date by which the Engineer must accept the proposal in order to accept the proposed change without impacting the Contract Time or cost reduction amount.

(b) Continuing to Perform Work - The Contractor shall continue to perform the Work according to Contract requirements until the Engineer issues a Change Order incorporating the cost reduction proposal. If the Engineer fails to issue a Change Order by the date specified in the proposal, the proposal shall be deemed rejected.

(c) Consideration of Proposal - The Engineer is not obligated to consider any cost reduction proposal. The Agency will not be liable to the Contractor for failure to accept or act upon any cost reduction proposal submitted.

The Engineer will determine in its sole discretion whether to accept a cost reduction proposal as well as the estimated net savings in construction costs from the adoption of all or any part of the proposal. In determining the estimated net savings, the Engineer may disregard the Schedule of Items. The Engineer will establish prices that represent a fair measure of the value of Work to be performed or to be deleted as a result of the cost reduction proposal.

(d) Sharing Investigation Costs - As a condition for considering a Contractor's cost reduction proposal, the Agency reserves the right to require the Contractor to share in the Agency's costs of investigating the proposal. If the Agency exercises this right, the Contractor shall provide written acceptance of the condition to the Engineer. Such acceptance will authorize the Agency to deduct its share of investigation costs from payments due or that may become due to the Contractor under the Contract.

(e) Acceptance of Proposal Requirements - If the Contractor's cost reduction proposal is accepted in whole or in part, acceptance will be made by a Change Order that will include without limitation the following:

- Statement that the Change Order is made according to 00140.70;
- Revised Contract Documents that reflect all modifications necessary to implement the approved cost reduction measures;
- Any conditions upon which the Agency's approval is subject;
- Estimated net savings in construction costs attributable to the approved cost reduction measures; and
- A payment provision according to which the Contractor will be paid 50% of the estimated net savings amount as full and adequate consideration for performance of the Work of the Change Order.

The Contractor's cost of preparing the cost reduction proposal and the Agency's costs of investigating the proposal, including any portion paid by the Contractor, will be excluded from determination of the estimated net savings in construction costs. Costs of re-design, which are incurred after the Agency has accepted the proposal, will be included in the cost of the Work attributable to cost reduction measures.

If the Agency accepts the cost reduction proposal, the Change Order that authorizes the cost reduction measures will also address any Contract Time adjustment.

(f) Right to General Use - Once submitted, the cost reduction proposal becomes the property of the Agency. The Agency reserves the right to adopt the cost reduction proposal for general use without additional compensation to the Contractor when it determines that a proposal is suitable for application to other contracts.

00140.80 Use of Publicly Owned Equipment - The Contractor is prohibited from using publicly-owned Equipment except in the case of emergency. In an emergency, the Contractor may rent publicly-owned Equipment provided that:

- The Engineer provides written approval that states that such rental is in the public interest; and
- Rental does not increase the Project cost.

00140.90 Final Trimming and Cleanup - Before Final Inspection as described in 00150.90, the Contractor shall neatly trim and finish the Project and remove all remaining unincorporated Materials and debris. Final trimming and cleanup shall include without limitation the following:

- The Contractor shall retrim and reshape earthwork, and shall repair deteriorated portions of the Project Site.
- Where the Work has impacted existing facilities or devices, the Contractor shall restore or replace those facilities to their pre-existing condition.
- The Contractor shall clean all drainage facilities and sanitary sewers of excess Materials or debris resulting from the Work.

- The Contractor shall clean up and leave in a neat, orderly condition, Rights-of-Way, Materials sites, and other property occupied in connection with performance of the Work.
- The Contractor shall remove temporary buildings, construction plants, forms, falsework and scaffolding, surplus and discarded Materials, and rubbish.
- The Contractor shall dispose of Materials and debris including without limitation forms, falsework, scaffolding, and rubbish resulting from clearing, grubbing, trimming, clean-up, removal, and other Work. These Materials and debris become the property of the Contractor. The Contractor shall dispose of these Materials and debris immediately.
- The Contractor shall restore and replant or resurface adjoining properties to match existing grades and existing surfaces.
- The Contractor shall install erosion and sediment control needed to stabilize the Project Site.

Unless the Contract specifically provides for payment for this item, the Agency will make no separate or additional payment for final trimming and cleanup.

00140.95 “AS-BUILT” Records – The Contractor shall maintain a current and accurate record of Work completed during the course of this Contract and submit to the Engineer updated copies of the project “As-Built” on a weekly basis. These “As-Built” drawings shall be kept by accurately marking a designated set of the Contract plans with the specified information as Work proceeds. Accurate, complete and current “As-Built” drawings are a specified requirement for full or partial payment of the Work completed. “As-Built” shall be reviewed for completeness before recommendation of payment is granted. Incomplete or insufficient “As-Built” will be returned to the Contractor and recommendation for progress payment denied. At project completion and as a condition of final payment, the Contractor shall deliver an acceptable complete and legible set of “As-Built” drawings to the Engineer.

The “As-Built” drawings shall show the information listed below. Where the term “locate” or “location” is used, it shall mean record of position with respect to both the construction vertical datum and either construction horizontal datum or a nearby permanent improvement.

- Record location of underground services and utilities as installed
- Record location of existing underground utilities and services that are to remain and that are encountered during the course of the Work
- Record changes in dimension, location, grade or detail to that shown on plans
- Record changes made by change order
- Record details not in original plans
- Provide fully completed shop drawings reflecting all revisions

Upon completion of the construction, the Contractor shall review and certify the construction set of “As-Built” drawings for completeness and accuracy of representation of any changes. Final payment will not be processed until “As-Built” drawings have been submitted and approved.

Section 00150 - Control of Work

00150.00 Authority of the Engineer - The Engineer has full authority over the Work and its suspension. (see Section 00180) The Contractor shall perform all Work to the complete satisfaction of the Engineer. The Engineer's determination shall be final on all matters, including but not limited to the following:

- Quality and acceptability of Materials and workmanship
- Measurement of unit price Work
- Timely and proper prosecution of the Work
- Interpretation of Contract Documents
- Payments due under the Contract

The Engineer's decision is final and, except as provided in 00180.80 for adjustments of Contract Time and Section 00199 for claims for additional compensation, may be challenged only through litigation.

Work performed under the Contract will not be considered complete until it has passed Final Inspection by the Engineer and has been accepted by the Agency.

Interim approvals issued by the Engineer, including but not limited to Third Notification, will not discharge the Contractor from responsibility for errors in prosecution of the Work, for improper fabrication, for failure to comply with Contract requirements, or for other deficiencies, the nature of which are within the Contractor's control.

00150.01 Project Manager's Authority and Duties - The Engineer may designate a Project Manager as its representative on the Project with authority to enforce the provisions of the Contract.

When the Engineer has designated a Project Manager, the Contractor should direct all requests for clarification or interpretation of the Contract, in writing, to the Project Manager. The Project Manager will respond within a reasonable time. Contract clarification or interpretation obtained from persons other than the Project Manager will not be binding on the Agency.

The Project Manager shall have the authority to appoint Inspectors and other personnel as required to assist in the administration of the Contract.

00150.02 Inspector's Authority and Duties - To the extent delegated under 00150.01, Inspectors are authorized to represent the Engineer and Project Manager to perform the following:

- Inspect Work performed and Materials furnished, including without limitation, the preparation, fabrication, or manufacture of Materials to be used;
- Orally reject defective Materials and to confirm such rejection in writing;
- By oral order, temporarily suspend the Work for improper prosecution pending the Engineer's decision; and
- Exercise additional delegated authority.

Inspectors are not authorized to:

- Accept Work or Materials.
- Alter or waive provisions of the Contract.
- Give instructions or advice inconsistent with the Contract Documents.

00150.10 Coordination of Contract Documents - The Contract Documents, including but not limited to Contract Change Orders, the Special Provisions, the Plans, and the Standard Specifications are intended to collectively describe all of the items of Work necessary to complete the Project. The Contract Documents are complementary; what is required by one is as binding as if required by all.

(a) Order of Precedence - The Engineer will resolve any discrepancies between these documents in the following order of precedence:

1. Permits from outside agencies;
2. Contract (Agreement)
3. Addenda;
4. Bid Schedule;
5. Special Provisions;
6. General Conditions;
7. Standard Specifications;
8. Geotechnical Data Reports;
9. Agency-prepared drawings specifically applicable to the Project and bearing the Project title;
10. Standard Drawings;
11. Reviewed and accepted, stamped Working Drawings;
12. Approved Unstamped Working Drawings.

Change Orders, Work Change Directives, Field Orders, and Engineer's written interpretation and clarifications, in precedence listed, will take precedence over all other Contract Document components referenced herein.

Notes on a drawing shall take precedence over drawing details. Dimensions shown on the drawings, or that can be computed, shall take precedence over scaled dimensions. The Drawings with the higher level of detail take precedence over less detailed Drawings.

(b) Immaterial Discrepancies - The Contract Documents specify details for the construction and completion of the Work. If Contract Documents describe portions of the Work in sufficient detail but are silent in some minor respect, the Contractor may proceed utilizing the current best industry practices.

(c) Material Discrepancies - If the Contractor identifies a discrepancy, error, or omission in the Contract Documents that cannot be resolved by the approach specified in (b) above, the Contractor shall immediately request clarification from the Engineer.

00150.15 Construction Stakes, Lines, and Grades:

(a) Agency Responsibilities - The Engineer will provide the location of the existing benchmarks and horizontal control locations used to design the project and prepare the Plans.

(b) Contractor Responsibilities - The Contractor shall:

- Accurately measure detailed dimensions, elevations, and slopes from the Engineer's benchmarks and horizontal control locations;
- Provide all labor, materials and equipment to properly stake out the project so that it can be constructed in accordance with the Contract Documents. Any changes made shall be recorded and the changed vertical and horizontal locations incorporated into the "as-built" drawings.
- Inform the Engineer of any property corners monuments and/or survey markers that are not shown on the Plans and are found during construction activities prior to disturbing the monuments. Allow the Agency 2 Work days for referencing all found markers before they are removed. Monuments that are noted on the Plans to be protected and are disturbed by the Contractor's activities shall be replaced by the Contractor's surveyor at the Contractor's expense in accordance with ORS Chapter 209.

00150.20 Inspection:

(a) Inspection by the Engineer - The Engineer may test Materials furnished and inspect Work performed by the Contractor to ensure Contract compliance. The Contractor shall notify the Engineer 48 hours (two full Work Days) in advance for inspection of each portion of the Work.

Contractor shall not begin placing successive Courses or portions of Work until preceding Courses or portions of the Work have been inspected.

If the Contractor performs Work without the Engineer's inspection or uses Materials that the Engineer has not approved, the Engineer may order affected portions of the Work removed at the Contractor's expense.

At the Engineer's direction, any time before the Work is accepted, the Contractor shall uncover portions of the completed Work for inspection. After inspection, the Contractor shall restore these portions of Work to the standard required by the Contract. If the Engineer rejects Work due to Materials or workmanship, or if the Contractor performed such Work without providing sufficient advance request for inspection to the Engineer, the Contractor shall bear all costs of uncovering and restoring the Work. If the Engineer accepts the uncovered Work, and the Contractor performed the Work only after providing the Engineer with sufficient advance notice, the costs of uncovering and restoring the Work will be paid for by the Agency according to 00195.20.

(b) Inspection Facilities - The Contractor shall furnish walkways, railings, ladders, shoring, tunnels, platforms, and other facilities necessary to permit the Engineer to have safe access to the Work to be inspected. The Contractor shall require producers and fabricators to provide safe inspection access as requested by the Engineer.

(c) Sampling - When directed by the Agency, the Contractor shall furnish the Engineer with samples of Materials that the Engineer will test. All of the Contractor's costs related to this required sampling are incidental.

(d) Inspection by Third Parties - Where third parties have the right to inspect the Work, the Contractor shall coordinate with the Engineer and shall provide safe inspection access.

(e) Contractor's Duty to Make Corrections - The Contractor shall perform all Work according to the Contract Documents. The Contractor shall correct Work that does not comply with the Contract Documents at its own expense. Inspection of the Work by the Engineer does not relieve the Contractor of responsibility for improper prosecution of the Work.

00150.25 Acceptability of Materials and Work - The Contractor shall furnish Materials and shall perform Work in Close Conformance to the Contract Documents. If the Engineer determines that the Materials furnished or the Work performed are not in Close Conformance with the Contract Documents, the Engineer may:

- Reject the Materials or Work and order the Contractor, at the Contractor's expense, to remove, replace, or otherwise correct any non-conformity; or
- Accept the Materials or Work as suitable for the intended purpose, adjust the amount paid for applicable Pay Items to account for diminished cost to the Contractor or diminished value to the Agency, document the adjustment, and provide written documentation to the Contractor regarding the basis of the adjustment.

The Engineer's decisions concerning acceptability of Materials or Work will be final.

00150.30 Delivery of Notices - Written notices to the Contractor by the Engineer or the Agency will be delivered:

- In person;
- by electronically confirmed facsimile transmission;
- By U.S. Postal Service first class mail or priority mail (which at the sender's option may include certified or registered mail return receipt requested), to the current office address as shown in the records of the Agency; or
- By overnight delivery service of a private industry courier, to the current office address as shown in the records of the Agency.

Notices shall be considered as having been received by the Contractor:

- At the time of actual receipt when delivered in person or by facsimile transmission;
- At the time of actual receipt or 7 Calendar Days after the postmarked date when deposited for delivery by first class or priority mail, whichever is earlier; or
- At the time of actual receipt or 3 Calendar Days after deposit with a private industry courier for overnight delivery service, whichever is earlier.

Written notices to the Engineer or the Agency by the Contractor shall be delivered to the Agency address shown in the Special Provisions, unless a different address is agreed to by the Engineer, and shall be delivered:

- In person;
- By U.S. Postal Service first class mail or priority mail (which at the sender's option may include certified or registered mail return receipt requested); or
- By overnight delivery service of a private industry courier.

Notices will be considered as having been received by the Agency:

- At the time of actual receipt when delivered in person or by facsimile transmission;
- At the time of actual receipt or 7 Calendar Days after the postmarked date when deposited for delivery by first class or priority mail, whichever is earlier; or
- At the time of actual receipt or 3 Calendar Days after deposit with a private industry courier for overnight delivery service, whichever is earlier.

00150.35 Submittals:

(a) Description - Submittals covered by these requirements include manufacturers' information, shop drawings, test procedures, test results, samples, requests for substitutions, and miscellaneous Work-related submittals. Submittals shall also include, but not be limited to, all mechanical, electrical and electronic equipment and systems, materials, reinforcing steel, fabricated items, and piping and conduit details. The Contractor shall furnish all drawings, specifications, descriptive data, certificates, samples, tests, methods, schedules, and manufacturer's installation and other instructions as specifically required in the Contract Documents to demonstrate fully that the materials and equipment to be furnished and the methods of work comply with the provisions and intent of the Contract Documents.

(b) Contractor's Responsibilities

- (1) The Contractor shall be responsible for the accuracy and completeness of the information contained in each submittal and shall assure that the material, equipment or method of work shall be as described in the submittal. The Contractor shall verify that all features of all products conform to the specified requirements. Submittal documents shall be clearly edited to indicate only those items, models, or series of equipment, which are being submitted for review. All extraneous materials shall be crossed out or otherwise obliterated. The Contractor shall ensure that there is no conflict with other submittals and notify the Engineer in each case where his submittal may affect the work of another contractor or the Agency. The Contractor shall coordinate submittals among its subcontractors and suppliers including those submittals complying with unit responsibility requirements specified in applicable technical sections.
- (2) The Contractor shall coordinate submittals with the Work so that Work will not be delayed. It shall coordinate and schedule different categories of submittals, so that one will not be delayed for lack of coordination with another. No extension of time will be allowed because of failure to properly schedule submittals. The Contractor shall not proceed with Work related to a submittal until the submittal process is complete. This requires that submittals for review and comment shall be returned to the Contractor with the indication "No Exceptions Taken" or "Make Corrections Noted."
- (3) The Contractor shall certify on each submittal document that it has reviewed the submittal, verified field conditions, and complied with the contract documents.
- (4) The Contractor may authorize in writing a material or equipment supplier to deal directly with the Engineer or with the Agency with regard to a submittal. These dealings shall be limited to contract interpretations to clarify and expedite the Work.

(c) Shop Drawings and Product Submittals

- (1) Wherever called for in the Contract Documents or where required by the Engineer, the Contractor shall furnish to the Engineer for review, five (5) copies plus one reproducible copy or electronic file, of each Shop Drawing or Product submittal. Shop Drawings may include detail design calculations, shop-prepared drawings, fabrication and installation drawings, erection drawings, lists, graphs, catalog sheets, data sheets, and similar items. If a list, graph, catalog sheet, data sheet, etc. includes more than one item, clearly mark which item is the subject of the submittal. Shop Drawings shall bear the signature and seal of an engineer registered in the appropriate branch and in the state of Oregon, unless otherwise indicated. Whenever the Contractor is required to submit design calculations as part of a submittal, such calculations shall bear the signature and seal of an engineer registered in the appropriate branch and in the state of Oregon, unless otherwise indicated.
- (2) Shop Drawing and Product submittals shall be accompanied by the Engineer's standard submittal transmittal form, a reproducible copy of which is available from the Engineer. A submittal without the form or where applicable items on the form are not completed will be returned for resubmittal.
- (3) Organization
 - A single submittal transmittal form shall be used for each technical specification section or item or class of material or equipment for which a submittal is required. A single submittal covering multiple sections will not be acceptable, unless the primary specification references other sections for components. Example: if a pump section references other sections for the motor, shop-applied protective coating, anchor bolts, local control panel, and variable frequency drive, a single submittal would be acceptable. A single submittal covering vertical turbine pumps and horizontal split case pumps would not be acceptable.
 - On the transmittal form, index the components of the submittal and insert tabs in the submittal to match the components. Relate the submittal components to specification paragraph and subparagraph, Drawing number, detail number, schedule title, room number, or building name, as applicable.
 - Unless indicated otherwise, terminology and equipment names and numbers in submittals shall match those used in the Contract Documents.
- (4) Format
 - Minimum sheet size shall be 8.5 inches by 11 inches. Maximum sheet size shall be 22 inches by 34 inches. Every page in a submittal shall be numbered in sequence. Each copy of a submittal shall be collated and stapled or bound, as appropriate. The Engineer will not collate sheets or copies.
 - Where product data from a manufacturer is submitted, clearly mark which model is proposed, with complete pertinent data capacities, dimensions, clearances, diagrams, controls, connections, anchorage, and supports. Sufficient level of detail shall be presented for assessment of compliance with the Contract Documents.
 - Each submittal shall be assigned a unique number. Submittals shall be numbered sequentially, and the submittal numbers shall be clearly noted on the transmittal. Original submittals shall be assigned a numeric submittal number (e.g., 25). If submittal "25" requires a resubmittal, the first resubmittal will bear the designation "25.A" and the second resubmittal will bear the designation "25.B" and so on.
 - If there is a follow-up submittal related to a previously submitted class of material or type of equipment (e.g., follow-up submittal to submittal "25"), it shall be assigned the number "25.1". If submittal "25.1" requires a resubmittal, the first resubmittal will bear the designation "25.1.A" and the second resubmittal will bear the designation "25.1.B" and so on.
- (5) Disorganized submittals that do not meet the requirements of the Contract Documents will be returned without review.

- (6) Except as may otherwise be indicated, the Engineer will return prints of each submittal to the Contractor with comments noted thereon, within 21 Days following receipt by the Engineer. It is considered reasonable that the Contractor will make a complete and acceptable submittal to the Engineer by the first resubmittal on an item. The Owner reserves the right to withhold monies due to the Contractor to cover additional costs of the Engineer's review beyond the first resubmittal. The Engineer's maximum review period for each submittal or resubmittal will be 21 Days.
- (7) If a submittal is returned to the Contractor marked "NO EXCEPTIONS TAKEN," formal revision and resubmission will not be required.
- (8) If a submittal is returned marked "MAKE CORRECTIONS NOTED," Contractor shall make the corrections on the submittal, but formal revision and resubmission will not be required, except where specifically required by Engineer as indicated on the submittal review form.
- (9) If a submittal is returned marked "AMEND-RESUBMIT," the Contractor shall revise it and shall resubmit the required number of copies to the Engineer for review. Resubmittal of portions of multi-page or multi-drawing submittals will not be allowed. For example, if a Shop Drawing submittal consisting of 10 drawings contains one drawing noted as "AMEND - RESUBMIT," the submittal as a whole is deemed "AMEND - RESUBMIT," and 10 drawings are required to be resubmitted.
- (10) If a submittal is returned marked "REJECTED-RESUBMIT," it shall mean either that the proposed material or product does not satisfy the specification, the submittal is so incomplete that it cannot be reviewed, or is a substitution request not submitted in accordance with the General Conditions. In the first 2 cases, the Contractor shall prepare a new submittal and shall submit the required number of copies to the Engineer for review. In the latter case, the Contractor shall submit the substitution request according to the General Conditions.
- (11) Resubmittal of rejected portions of a previous submittal will not be allowed. Every change from a submittal to a resubmittal or from a resubmittal to a subsequent resubmittal shall be identified and flagged on the resubmittal.
- (12) Fabrication of an item may commence only after the Engineer has reviewed the pertinent submittals and returned copies to the Contractor marked either "NO EXCEPTIONS TAKEN" or "MAKE CORRECTIONS NOTED". Corrections indicated on submittals shall be considered as changes necessary to meet the requirements of the Contract Documents and shall not be taken as changes to the Contract requirements.
- (13) Submittals shall be carefully reviewed by an authorized representative of the Contractor prior to submission to the Engineer. Each submittal shall be dated and signed by the Contractor as being correct and in strict conformance with the Contract Documents. In the case of Shop Drawings, each sheet shall be so dated and signed. Any deviations from the Contract Documents shall be noted by the Contractor on the transmittal form and such deviation shall be subject to approval in writing by the Engineer and Agency. The Engineer will only review submittals that have been so verified by the Contractor. Non-verified submittals will be returned to the Contractor without action taken by the Engineer, and any delays caused thereby shall be the total responsibility of the Contractor.
- (14) Corrections or comments made on the Contractor's Shop Drawings during review do not relieve the Contractor from compliance with Contract Drawings and Specifications. Review is for conformance to the design concept and general compliance with the Contract Documents only. The Contractor is responsible for confirming and correlating quantities and dimensions, fabrication processes and techniques, coordinating Work with the trades, and satisfactory and safe performance of the Work.

(d) Quality Control (QC) Submittals

- (1) Quality control submittals are defined as those required by the Specifications to present documentary evidence to the Engineer that the Contractor has satisfied certain requirements of the Contract Documents.
- (2) Unless otherwise indicated, QC submittals shall be submitted:

- Before delivery and unloading, for the following types of submittals:
 - Manufacturers' installation instructions
 - Manufacturers' and Installers' experience qualifications
 - Ready mix concrete delivery tickets
 - Design calculations
 - Affidavits and manufacturers' certification of compliance with indicated product requirements
 - Laboratory analysis results
 - Factory test reports
- For the following types of submittals, the manufacturer's field representative shall submit a draft certification prior to leaving the Project site and a final certification within 7 days of the event documented:
 - Manufacturers' field representative certification of proper installation
- Within 30 Days of the event documented for the following types of submittals:
 - Field measurement
 - Field test reports
 - Receipt of permit
 - Receipt of regulatory approval

(3) The Engineer will record the date that a QC submittal was received and review it for compliance with submittal requirements, but the review procedures above for Shop Drawings and samples will not apply.

(e) Deferred Submittals to Agency

- (1) For the purposes of this section, Deferred Submittals are defined as those portions of the Project that are Contractor-designed and must be submitted to the Agency's building official for approval and to meet Building Permit plan review requirements.
- (2) The Engineer will schedule a pre-submittal conference with the Contractor and Agency's building official to discuss proposed Deferred Submittal items, requirements, and review schedule.
- (3) The Contractor shall list the Deferred Submittals on the title or cover sheet of the Drawings for submission to the Agency and shall state the design criteria/assumptions of the Deferred Submittal items on the plans. Deferred Submittals shall include details for connection of materials to the structure and calculations showing that the specified structural requirements are met.
- (4) The Contractor shall submit Deferred Submittals to the Engineer for review for general conformance to the design of the structure. Neither the Agency nor the Engineer is responsible for coordination of Deferred Submittal components with Contract Documents. Review does not lessen nor shift burden or responsibility from Contractor or assigned subcontractor/supplier to the Agency or Engineer. The Engineer, upon confirming the Deferred Submittals are in general conformance with the design, shall forward the Deferred Submittals to the building official. Contractor is responsible, with no exceptions, to ensure that building official's Deferred Submittal review will not adversely affect Project's construction schedule. The Deferred Submittal items shall not be installed by the Contractor until the design and Deferred Submittals have been approved by the building official.

(f) Effect of Review of Contractor's Submittals

- (1) Review of Contract drawings, methods of work, or information regarding materials or equipment the Contractor proposes to provide, shall not relieve the Contractor of its responsibility for errors therein and shall not be regarded as an assumption of risks or liability by the Engineer or the Agency, or by any officer or employee thereof, and the Contractor shall have no claim under the contract on account of the failure, or partial failure, of the method of work, material, or equipment so reviewed. An indication of "NO EXCEPTIONS TAKEN" or "MAKE CORRECTIONS NOTED" shall mean that the Agency or Engineer has no objection to the Contractor, upon its own responsibility, using the plan or method of Work proposed, or providing the materials or equipment proposed.

00150.37 Equipment Lists and Other Submittals - The Contractor shall submit Equipment lists, and other required submittals for approval by the Engineer. With each submittal, the Contractor shall clearly identify the applicable specification sub-section and the product make, model, size and proposed options.

00150.40 Cooperation and Superintendence by the Contractor:

The Contractor is responsible for full management of all aspects of the Work, including superintendence of all Work by Subcontractors, Suppliers, and other providers. The Contractor shall appoint a single Superintendent and may also appoint alternate Superintendents as necessary to control the Work. The form of appointment of the alternate shall state, in writing, the alternate's name, duration of appointment in the absence of the Superintendent, and scope of authority. The Contractor shall:

- Provide for the cooperation and superintendence on the Project by:
 - Furnishing the Engineer all data necessary to determine the actual cost of all or any part of the Work, added Work, or changed Work.
 - Allowing the Engineer reasonable access to the Contractor's books and records at all times. To the extent permitted by public records laws, the Engineer will make reasonable efforts to honor the Contractor's request for protection of confidential information.
 - Keeping one complete set of Contract Documents on the Project Site at all times, available for use by all the Contractor's own organization, and by the Engineer if necessary.
- Appoint a single Superintendent and any alternate Superintendent who shall meet the following qualifications:
 - Appointees shall be competent to manage all aspects of the Work.
 - Appointees shall be from the Contractor's own organization.
 - Appointees shall have performed similar duties on at least one previous project of the size, scope and complexity as the current Contract.
 - Appointees shall be experienced in the types of Work being performed.
 - Appointees shall be capable of reading and thoroughly understanding the Contract Documents.
- The appointed single Superintendent, or any alternate Superintendent shall:
 - Be present for all On-Site Work, regardless of the amount to be performed by the Contractor, Subcontractors, Suppliers, or other providers, unless the Engineer provides prior approval of the Superintendent's or alternate Superintendent's absence.
 - Be equipped with a two way radio or cell phone capable of communicating throughout the project during all the hours of Work on the Project Site and be available for communication with the Engineer.
 - Have full authority and responsibility to promptly execute orders or directions of the Engineer.
 - Have full authority and responsibility to promptly supply the Materials, Equipment, labor, and Incidentals required for performance of the Work.
 - Coordinate and control all Work performed under the Contract, including without limitation the Work performed by Subcontractors, Suppliers, and Owner Operators.
 - Diligently pursue progress of the Work according to the schedule requirements of Section 00180.
 - Cooperate in good faith with the Engineer, Inspectors, and other contractors in performance of the Work.
 - Provide all assistance reasonably required by the Engineer to obtain information regarding the nature, quantity, and quality of any part of the Work.

- Provide access, facilities and assistance to the Engineer in establishing such lines, grades and points as the Engineer requires.
- Carefully protect and preserve the Engineer's benchmarks and horizontal control locations.

Any Superintendent or alternate Superintendent who repeatedly fails to follow the Engineer's written or oral orders, directions, instructions, or determinations, shall be subject to removal from the project.

If the Contractor fails or neglects to provide a Superintendent, or an alternate Superintendent, and no prior approval has been granted, the Engineer has the authority to suspend the Work according to 00180.70. Any continued Work by the Contractor, Subcontractors, Suppliers, or other providers may be subject to rejection and removal. The Contractor's repeated failure or neglect to provide the superintendence required by these provisions constitutes a material breach of the Contract, and the Engineer may impose any remedies available under the Contract, including but not limited to Contract termination.

00150.50 Cooperation with Utilities:

(a) General - Unless otherwise specified in the Special Provisions or on the Plans, existing Utilities requiring adjustment may be adjusted by the Utility before, during, or after Project construction. "Adjustment of Utilities" shall mean the alteration, improvement, connection, disconnection, relocation, or removal of existing Utility lines, facilities, or systems in temporary or permanent manner.

(b) Contractor's Responsibilities - The Contractor shall:

- Follow applicable rules adopted by the Oregon Utility Notification Center;
- Contact Utility owners after the Contract is awarded to verify all Utilities' involvement on the Project Site;
- Coordinate Project construction with the Utilities' planned adjustments, take all precautions necessary to prevent disruption of Utility service, and perform its Work in the manner that results in the least inconvenience to the Utility owners;
- Include all Utility adjustment work, whether to be performed by the Contractor or the Utilities, on the Contractor's Project Work schedule submitted under 00180.41;
- Protect from damage or disturbance any Utility that remains within the area in which Work is being performed;
- Not disturb an existing Utility if it requires an unanticipated adjustment, but shall protect it from damage or disturbance and promptly notify the Engineer; and
- Report to the Engineer any Utility owner who fails to cooperate or fails to follow the planned Utility adjustment.

Subject to the Engineer's approval, the Contractor may propose adjustments to the Utilities by asking the Utility owners to move, remove, or alter their facilities in ways other than as shown on the Plans or in the Special Provisions. The Contractor shall conduct all negotiations, make all arrangements, and assume all costs that arise from such changes.

(c) Notification - If the Project is located within the area served by the Oregon Utility Notification Center, the Contractor shall notify owners of Utilities prior to the performance of Work in the vicinity of their facilities. The Utilities notification system telephone number is 1-800-332-2344.

The Contractor shall comply with the rules of the Oregon Utility Notification Center, OAR 952-001-0010 through OAR 952-001-0090, and ORS 757.993. The Contractor may contact the Oregon Utility Notification Center at 503-232-1987 about these rules.

00150.53 Utilities and Existing Improvements:

(a) General – Information shown on the plans as to the location of existing water courses and utilities has been compiled from available sources and may not be accurate. The Contractor shall determine the location and nature of affected water courses, utilities and underground improvements prior to commencing Work.

The Contractor shall provide for the flow of water courses and essential utilities that may be interrupted during the progress of the Work and shall restore such water courses or utilities after completion of the Work.

The plans will not normally show the new location of utilities that have been adjusted immediately prior to the project or will be adjusted as part of the project Work.

Except where the plans indicate, utilities have been field located during design or certain utility locations shall be exposed as part of the Work. The Contractor shall be responsible for exploratory excavations as it deems necessary to determine the exact locations and depths of utilities which may interfere with Work. All such exploratory excavations shall be performed as soon as practicable after Notice to Proceed and, in any event, a sufficient time in advance of construction to avoid possible delays to the Contractor's progress. When such exploratory excavations show the utility location as shown on the plans to be in error, the Contractor shall so notify the Engineer.

The number of exploratory excavations required shall be that number which is sufficient to determine the alignment and grade of the utility. Unless otherwise provided herein, all potholing and exploratory work shall be incidental to the Work and no separate payment shall be made therefore.

The Contractor shall coordinate project construction with the adjustment of utilities, take all necessary precautions to prevent disturbing the utilities, and perform work so that utility owners and users are caused a minimum of inconvenience.

The Contractor shall protect underground utilities and other improvements which may be impaired during construction operations, regardless of whether or the not the utilities are indicated on the plans. The Contractor shall take all possible precautions for the protection of unforeseen utility lines to provide for uninterrupted service and to provide such special protection as may be necessary.

To ease or streamline the work, the Contractor may desire to adjust the utilities by asking the utility owners to move, remove, or alter their equipment in ways other than those shown on the plans or in the Contract Documents. The Contractor shall conduct the negotiations, make the arrangements, and pay all costs that arise from such changes.

- (b) **Utilities to be Moved** – In case it shall be necessary to move the property of any public utility or franchise holder, such utility company or franchise holder shall be notified by the Contractor to move such property within a specified reasonable time. When utility lines that are to be removed are encountered within the area of operations, the Contractor shall notify the utility company and the Engineer a sufficient time in advance for the necessary measures to be taken to prevent the interruption of service.
- (c) **Utilities to be Removed** – Where the proper completion of the Work requires the temporary or permanent removal and/or relocation of an existing utility or other improvement which is indicated, the Contractor shall remove and, without unnecessary delay, temporarily replace or relocate such utility or improvement in manner satisfactory to the Engineer and the owner of the facility. In all cases of such temporary removal or relocation, restoration to the former location shall be accomplished by the Contractor in a manner that will restore or replace the utility or improvement as nearly as possible to its former locations and to as good or better condition than found prior to removal.
- (d) **Underground Utilities and Improvements Indicated** – Existing utility lines and underground improvements that are indicated or the locations of which are made known to the Contractor prior to excavation and that are to be retained, and all utility lines and underground improvements that are encountered during excavation operations shall be protected from damage during excavation and backfilling and, if damaged, shall be immediately repaired or replaced by the Contractor, unless otherwise repaired by the owner of damaged utility. If the owner of the damage facility performs its own repairs, the Contractor shall reimburse said owner for the costs of repair.
- (e) **Underground Utilities and Improvements Not Indicated** – In the event that the Contractor damages existing utility lines or underground improvements that are not indicated in the plans or marked in the field, or are not indicated or marked with reasonable accuracy, or the locations of which are not made known to the Contractor prior to excavation, the Contractor shall immediately provide a verbal report of such damage to the Engineer, and provide a written report thereof promptly thereafter. The Contractor shall immediately notify the owner of the damaged utility. If directed by the Engineer, repairs shall be made by the Contractor under the provisions for changes and extra work contained in the General Conditions.

This subsection applies only to main line utilities. For service lines, see Subsection 00150.53(f).

For purposes of this section, "reasonable accuracy" is defined as within 4 feet horizontally from actual location. No representation shall be made concerning the accuracy of vertical elevations of existing utilities, even if indicated in the plans, and no additional payment will be made for damage to utilities encountered at depths differing from those indicated.

- (f) **Underground Services Indicated or Not** – If service lines are encountered, whether shown, marked or not, the Contractor shall take precautions to carefully work around them and repair them if they are damaged by the Contractor, at no additional cost to the Agency.
- (g) **Approval of Repairs** – All repairs to a damaged utility or improvement are subject to inspection and approval by an authorized representative of the utility or improvement owner before being concealed by backfill or other Work.
- (h) **Owner's Right of Access** – The right reserved to the Owner and to the owners of public utilities and franchises to enter at any time upon any public street, alley, right-of-way, or easement for the purpose of making changes in their property made necessary by the Work of this Contract.

00150.55 Cooperation with Other Contractors - The Agency reserves the right to perform other work on or near the Project Site, including without limitation any Materials site, with forces other than those of the Contractor.

If such work takes place on or near the Project Site, the Contractor shall have the following obligations:

- The Contractor shall coordinate Work with other contractors or forces.
- The Contractor shall cooperate in good faith with all other contractors or forces.
- The Contractor shall perform the Work specified in the Contract in a way that will minimize interference and delay for all forces involved.
- The Contractor shall place and dispose of the Materials being used so as not to interfere with the operations of other forces.
- The Contractor shall join the Work with that of other forces in a manner acceptable to the Engineer or the Agency, and shall perform it in the accepted sequence with the work of the other force.

The Engineer will resolve any disagreements under this Subsection that may arise among the Contractor and other work forces, or between the Contractor and the Agency. The Engineer's decision in these matters is final, as provided in 00150.00.

When the schedules for Work of the Contractor and the work of other forces overlap, each contractor involved shall submit a current, realistic progress schedule to the Engineer. Before the Engineer accepts the schedule, each party shall have the opportunity to review all schedules. After this review and any necessary consultations, the Engineer will determine acceptable schedules.

The Contractor waives any right it may have to make claims against the Agency for any damages or claims that may arise because of inconvenience, delay, or loss due solely to the presence of other contractors working on or near the Project Site.

If the Contract gives notice of work to be performed by other forces that may affect the Contractor's Work under the Contract, the Contractor shall include any costs associated with coordination of the Work in the appropriate Pay Item or as a portion of a Pay Item.

In an emergency, the contractor most immediately able to respond may repair a facility or Utility of another contractor in order to prevent further damage to the facility, Utility, or other Structure as a result of the emergency.

00150.60 Construction Equipment Restrictions:

(a) Load and Speed Restrictions for Construction Vehicles and Equipment - The Contractor shall comply with legal weight and speed restrictions when moving Materials or Equipment beyond the limits of the Project Site.

The Contractor shall control vehicle and Equipment loads and speeds within the Project Site according to the following restrictions, unless the Special Provisions provide otherwise:

- The Contractor shall restrict loads and speeds as necessary to avoid displacement or loss of Materials on Subgrades and Aggregate Bases.
- The Contractor shall restrict weights to legal loads, and shall travel at speeds of no more than 45 mph or the posted construction speed, whichever is less, on treated Bases, Pavement, or wearing Courses.
- The Contractor shall not cross Bridges or other Structures with Equipment or vehicles exceeding the legal load limit without prior written permission of the Engineer. The Contractor shall make any such request in writing, describing the loading details and the arrangement, movement, and position of the Equipment on the Structure. The Contractor shall comply with any restrictions or conditions included in the Engineer's written permission.

(b) Protection of Buried Items - The Contractor shall use temporary fill or other methods to avoid overload of pipes, box culverts, and other items that are covered, or to be covered, by fill or backfill.

(c) Responsibility for Damages - The Contractor shall assume responsibility for damages caused by excessive Equipment speed or loads while performing the Work, both inside and outside the Project Site. The Engineer's permission to cross Bridges and other Structures, according to 00150.60(a) will not relieve the Contractor from responsibility for load-caused damages.

00150.70 Detrimental Operations - The Contractor shall avoid operations whose methods, conditions, or timing may injure people or damage property or the Work. Damage may include without limitation, staining surfaces with mud or asphalt. (also see 00150.60, 00150.75, and Section 00170)

When any such damage occurs, the Engineer will determine if it is to be corrected by repair, replacement, or compensatory payment by the Contractor. If compensatory payment is required, the Engineer will determine the amount. Compensatory payment may be deducted from monies due or to become due to the Contractor under the Contract.

00150.75 Protection and Maintenance of Work During Construction - The Contractor shall protect and maintain the Work during construction and until Third Notification has been issued, unless otherwise provided in the Contract. For the purposes of this Subsection, "maintenance" shall include measures to prevent deterioration of Roadway and Structures at the Project Site, and to keep them in good condition at all times during the prosecution of the Work. The Contractor shall continuously allocate sufficient Equipment and workers to achieve such maintenance.

If the Contract requires the placement of a Course upon a previously constructed Course or Subgrade, the Contractor shall maintain the previous Course or Subgrade during all construction operations.

The Contractor shall include costs of protecting and maintaining the Work during construction in the unit prices bid for the various Pay Items. The Contractor will not be paid an additional amount for this Work, unless otherwise specified.

The Engineer will timely notify the Contractor of Contractor's noncompliance with this Subsection. If the Contractor fails to remedy unsatisfactory protection or maintenance within 24 hours after receipt of such notice, the Engineer may proceed to remedy the deficiency, and deduct the entire cost from monies due or to become due the Contractor under the Contract.

00150.80 Removal of Unacceptable and Unauthorized Work - The Contractor shall correct or remove unacceptable Work and remove unauthorized work, as directed by the Engineer in writing. The Contractor shall replace such work with Work and Materials conforming to the requirements of the Contract.

For the purposes of this Subsection, "unauthorized work" shall include without limitation the following:

- Work that extends beyond lines shown on the Plans or otherwise established by the Engineer;

- Work that is contrary to the Engineer's instructions; and
- Work that is conducted without the Engineer's written authorization.

The Agency will not pay the Contractor for unacceptable Work or unauthorized work. The Engineer may issue a written order for the correction or removal of such work at the Contractor's expense.

If, when ordered by the Engineer, the Contractor fails to correct or remove unacceptable Work or unauthorized work, the Engineer may have the correction, or removal and replacement, done by others and deduct the entire cost from monies due or to become due the Contractor under the Contract.

00150.90 Final Inspection:

(a) On-site Construction Work - The Engineer will inspect the Project at a time close to the completion of On-Site Work for Contractor's compliance with the Contract Documents.

When all On-Site Work on the Project is completed, including but not limited to Change Order Work and Extra Work, the Engineer will issue Second Notification as specified in 00180.50(g).

Within 15 Calendar Days after the Engineer receives the Contractor's written notification that all punch list items, final trimming and cleanup according to 00140.90 have been completed, the Engineer will review the Project and notify the Contractor that all Work is complete, or will give the Contractor written instruction regarding incomplete or unsatisfactory Work.

(b) All Contract Work - The Engineer will issue the Third Notification when the Contractor has satisfactorily accomplished all of the following:

- The Contractor has completed all On-Site Work required under the Contract, including the punch list items from (a) above;
- The Contractor has removed all Equipment; and
- The Contractor has submitted all required certifications, bills, forms, warranties and other documents.
- The Contractor has submitted complete and acceptable "As-Built" drawings as specified in 00140.95.

00150.91 Post-Construction Review - The Contractor or the Engineer may request a Post-Construction Review meeting, to be held at a time prior to issuance of Third Notification but not earlier than 15 Days following the date of Second Notification. The meeting may be held if agreed to by both parties. The party making the request will conduct the meeting, and will announce the time and place of the meeting at least 15 Days prior to the meeting date. The purpose of this meeting is to examine the Project for possible process improvements that may benefit future projects.

00150.95 Final Acceptance - After the Engineer completes Final Inspection of all Correction Period work and deems it satisfactorily completed, the Agency will acknowledge Final Acceptance. The Agency will notify the Contractor in writing of the date of Final Acceptance within 7 Calendar Days after Final Acceptance, or as soon thereafter as is practicable.

00150.96 Maintenance Warranties and Guarantees - Prior to Third Notification, the Contractor shall transfer to the Agency all unexpired manufacturer's, installer's or supplier's warranties and guarantees for Materials and Equipment installed on the Project. Such warranties and guarantees shall recite that they are enforceable by the Agency.

00150.97 Responsibility for Materials and Workmanship:

(a) The Contractor shall perform the Work according to the terms, conditions, and requirements of the Contract.

(b) Whether before or after the Agency's acceptance of the Work, the Contractor shall be responsible for:

- Correcting or repairing any defects in, or damage to, the Work which results from the use of improper or defective materials or workmanship; or

- Replacing, in its entirety, the Work affected by the use of improper or defective materials or workmanship to the extent provided by law; and
- Correcting or repairing any Work, Materials, Structures, Existing Surfacing, Pavement, Utilities, or sites, including without limitation Wetlands, damaged or disturbed in that correction, repair, or replacement. (see 00170.80 to 00170.85)

Section 00160 - Source of Materials

00160.00 Definitions - The following definitions apply to Section 00160:

(a) Prospective Source - Agency-furnished Materials source, use of which by the Contractor is optional. The Agency makes no guarantee or representation, by implication or otherwise, of the land use status, quantity, quality, or acceptability of Materials available from it, except as may be stated in the Special Provisions.

(b) Mandatory Source - Agency-furnished Materials source, use of which by the Contractor is required.

00160.01 Notification of Source of Supply and Materials:

(a) All Materials - The Contractor shall notify the Engineer in writing of all proposed Materials sources of supply, including without limitation any steel or other fabricators within the following time frames:

- At least 15 Calendar Days before using or fabricating Materials, if source is within the State; or
- At least 45 Calendar Days before using or fabricating Materials, if source is outside the State

(b) Prospective Source Materials - When given an option to use Prospective Sources of Materials to be incorporated into the Work, the Contractor shall notify the Engineer in writing of the option selected within 15 Calendar Days from date of Notice to Proceed. Otherwise, such Materials sources may become unavailable.

(c) Approval Required - Before allowing production or delivery of Materials to begin from any source, the Contractor must obtain the Engineer's approval. Approval to use any source does not imply that Materials from that source will be accepted. If approved sources do not provide Materials that meet Specifications, the Materials will be rejected. The Contractor will then be responsible for locating other sources and obtaining the Engineer's approval.

00160.05 Qualified Products List (QPL) - The QPL is a listing of manufactured products available on the market (shelf items) that ODOT has evaluated and found suitable for a specified use in construction. The QPL is published twice a year and is available from ODOT's Construction Section; 800 Airport Road SE; Salem, OR 97301-4798; phone 503-986-3000. It may also be viewed on ODOT's web site.

The current version of the QPL at the time of Bid Closing is the version in effect for the Project. The Engineer may approve for use a conditionally qualified product, or a product qualified for inclusion in a later edition of the QPL, if the Engineer finds the product acceptable for use on the Project.

Use of listed products shall be restricted to the category of use for which they are listed. The Contractor shall install all products as recommended by the manufacturer. The Contractor shall replace qualified products not conforming to Specifications or not properly handled or installed at the Contractor's expense.

00160.10 Ordering, Producing, and Furnishing Materials - The Contractor shall not place orders for or produce full quantities of Materials anticipated to be required to complete the Work until the Work has advanced to a stage that allows the quantities to be determined with reasonable accuracy.

(a) Contractor's Duties - In purchasing, producing, or delivering Materials, the Contractor shall take into account the following:

- Kind of work involved;
- Amount of work involved;
- Time required to obtain Materials; and
- Other relevant factors.

(b) Quantity of Materials - Materials quantities shown on the Plans, or indicated by quantities and Pay Items, are subject to change or elimination. The Contractor is responsible for payment for excess Materials delivered to the Project Site or storage sites. Unless otherwise specified in the Contract, the Agency will not be responsible for:

- Materials the Contractor may deliver or produce in excess of Contract requirements;

- Extra expense the Contractor may incur because Materials were not ordered or produced earlier; or
- The Contractor's expenses related to Materials ordered by the Contractor that are not subsequently approved for use.

Excess Materials, ordered or produced by the Contractor, without approval of the Engineer, may be purchased by the Agency at the sole discretion of the Agency. (see 00195.80)

00160.20 Preferences for Materials:

(a) Buy America - If federal highway funds are involved on the Project, the Contractor shall limit the quantity of foreign Materials incorporated into the Work as follows. Section 635.410 of Title 23, Code of Federal Regulations, and the Intermodal Surface Transportation Efficiency Act require that all iron or steel manufacturing processes, including without limitation the casting of ingots, for iron or steel Materials permanently incorporated into the Project shall occur in the United States, unless the cost of foreign-origin iron or steel Materials does not exceed one-tenth of one percent (0.1%) of the Contract Amount or \$2,500, whichever is greater. The Contractor shall not incorporate foreign-origin iron or steel Materials in excess of this amount into the Project. All foreign-origin iron or steel Materials incorporated in the Project in excess of the amount indicated above shall be removed and replaced with domestic iron or steel Materials at the Contractor's expense. For purposes of this Specification, the cost of foreign-origin iron or steel Materials shall be the value of the iron or steel products as of the date they are delivered to the Project Site.

Manufacturing processes include without limitation the application of coatings to finished iron or steel products or components. Coatings include epoxy coating, galvanizing, painting, and any other coating that protects or enhances the value of the steel or iron product or component.

The Contractor shall provide the Engineer with a Certificate of Materials Origin, on a form furnished by the Engineer, before incorporating any iron or steel products into the Project. Unless a Certificate of Materials Origin has been provided to the Engineer, the Materials shall be considered of foreign origin.

The Contractor shall retain manufacturers' certificates verifying the origin of all domestic iron or steel Materials for 3 years after the date of final payment for the Project, and shall furnish copies to the Engineer upon request.

(b) Buy Oregon - According to ORS 279A.120, the Contractor shall give preference to goods or services produced in Oregon if price, fitness, availability, and quality are equal. This provision does not apply to Contracts financed wholly or in part by federal funds.

(c) Recycled Materials - According to ORS 279A.010, ORS 279A.125, ORS 279A.145, ORS 279A.150, and ORS 279A.155, and subject to the approval of the Engineer, the Contractor shall use recycled products to the maximum extent economically feasible.

00160.30 Agency-Furnished Materials - Unless otherwise specified in the Special Provisions, Materials listed as Agency-furnished will be available to the Contractor free of charge.

The Contractor shall be responsible for all Materials furnished by the Agency and shall pay all demurrage and storage charges. The Contractor shall replace at its expense Agency-furnished Materials lost or damaged due to any cause.

The locations at which Agency-furnished Materials are available will be specified in the Special Provisions. If the locations are not listed in the Special Provisions, the Agency-furnished Materials will be furnished to the Contractor at the Project Site. In either case, all costs of handling, hauling, unloading, and placing Agency-furnished Material shall be considered included in the price paid for the Pay Item involving such Material.

All Agency-furnished Materials not incorporated into the Work remains the property of the Agency. The Contractor shall deliver such Materials as directed by the Engineer.

00160.50 Agency-Controlled Land; Limitations and Requirements:

(a) General - The Contractor shall have no property rights in, or right of occupancy on, Agency-Controlled Land. Nor shall the Contractor have the right to sell, use, remove, or otherwise dispose of any material from Agency-

Controlled Land, areas, or property, except as specified in the Special Provisions or by the written authorization of the Engineer.

Unless authorized in the Contract, the Contractor shall not disturb any material within Rights-of-Way without written authorization from the Engineer.

Unless otherwise specified in the Contract, the ownership of all materials originating on Agency-Controlled Lands will at all times vest in, and remain within the control of, the Agency.

(b) Waste, Excess, and By-Product Materials - All waste, excess, and by-product materials, collectively referred to in this Subsection as "By-Products", from the manufacture or production of Materials from Agency-Controlled Lands shall remain Agency property. Unless otherwise ordered by the Engineer in writing, By-Products shall be placed as required in the Special Provisions:

- In stockpiles at designated locations;
- At locations and in shapes that are readily accessible; and
- In such a manner as to avoid fouling areas containing useable materials, or interfering with future plant setups to use materials from the property.

The Agency will not compensate the Contractor for handling and stockpiling By-Products according to the Special Provisions requirements. If by written order the Engineer directs the Contractor to stockpile or place designated By-Products at alternate sites, the By-Products designated shall be loaded, hauled, and placed as directed, and this work will be paid for according to 00195.20.

00160.60 Contractor-Furnished Materials and Sources:

(a) General - The Contractor shall furnish, at its own expense, all products and Materials required for the Project from sources of its own choosing, unless such sources have been specified in the Special Provisions or Plans as Prospective or Mandatory Sources.

(b) Acquisition of Sources - The Contractor shall acquire, at its own expense, the rights of access to, and the use of, all sources the Contractor chooses which are not Agency-controlled and made available by the Agency to the Contractor.

(c) Additional Requirements - Except for continuously-operated commercial sources, Work shall not begin, nor will any Materials be accepted by the Engineer, until the Contractor has:

(1) Given to the Engineer a copy of permits from, or proof that permits are not required from:

- The Department of Geology and Mineral Industries, as required under ORS 517.790;
- The Department of State Lands, as required under ORS 196.815 (when removing material from the bed or banks of any waters or from any Wetland); and
- Local governmental authorities having jurisdiction over land use at the source location.

(2) Furnished to the Engineer written approval of the property owner, if other than the Contractor, for the Contractor's proposed plans of operation in, and reclamation of, the source. The Contractor shall include in the document containing the property owner's written approval a summary of the requirements of the permits described above, which shall be subject to the Engineer's approval.

00160.70 Requirements for Plant Operations - Before operating mixing plants, Rock crushers, or other Equipment, the Contractor shall provide the Engineer copies of all applicable discharge permits for noise, air contaminants, and water pollutants from DEQ or applicable local jurisdictions, or a letter from DEQ or the local jurisdiction stating that no permits are required for the use of the Equipment and sites.

00160.80 Requirements for Sources of Borrow and Aggregate - The Contractor shall conduct operations according to all applicable federal, State, and local laws (including without limitation ORS 517 and OAR 632-030) when developing, using, and reclaiming all sources of Borrow material and Aggregate. The Contractor shall provide erosion

control at Borrow sources that are not within the Project Site. The Contractor shall not operate in Wetlands except as allowed by permit. The Contractor shall comply with all requirements for pollution and sediment control, including without limitation the National Pollutant Discharge Elimination System where applicable.

Except for continuously-operated commercial sources, the Contractor shall also conform to the following:

- (a)** If a natural growth of trees or shrubs is present, preserve a border of such to conceal land scars.
- (b)** Excavate Borrow sources and Aggregate sources, except for those in streams and rivers, to provide:
 - Reasonably uniform depths and widths;
 - Natural drainage so no water stands or collects in excavated areas, when practicable;
 - Slopes trimmed to blend with the adjacent terrain upon completion of operations;
 - Slopes covered with native soil, or acceptable plant rejects to support plant growth, if required by Specifications, Plans, or permits; and
 - A vegetative cover that blends with the adjacent natural growth.
- (c)** Excavate in quarries so that:
 - Faces will not be steeper than vertical (no overhang);
 - Vertical faces conform to Oregon OSHA standards, Division 3, and as shown on an approved development plan;
 - Floors or benches are excavated to a uniform Slope free of depressions and will drain and not interfere with the downland owner's property; and
 - Upon completion, the quarry is left appearing neat and compatible with surrounding terrain.
- (d)** Obliterate haul roads specifically built for access to sources, and restore the areas disturbed by these roads as nearly as practicable to the conditions that existed before the roads were built, unless otherwise directed by the landowner or regulatory body.

Section 00165 - Quality of Materials

Description

00165.00 General - The Contractor shall incorporate into the Work only Materials conforming to the Specifications and approved by the Engineer. The Contractor shall incorporate into the Work only manufactured products made of new materials unless otherwise specified in the Contract. The Agency may require additional testing or retesting to determine whether the Materials or manufactured products meet Specifications.

Materials or manufactured products not meeting the Specifications at the time they are to be used are unacceptable and must be removed immediately from the Project Site, unless otherwise directed by the Engineer.

00165.01 Rejected Materials - The Engineer may reject any Materials that appear to be defective (00150.25) or that contain asbestos. The Contractor shall not incorporate any rejected Materials into the Work. Rejected Materials whose defects have been corrected may not be incorporated into the Work until the Engineer has approved their use. The Engineer may order the removal and replacement by the Contractor, at Contractor's expense, of any defective Materials. (refer also to 00150.20)

00165.02 Materials Conformance and Quality Compliance Documents - For purposes of this Section, "Materials Conformance Documents" means the Contractor's quality-control, the Agency's verification, and the independent assurance test results, and the identity of the testing facility, as specified in the ODOT Manual of Field Test Procedures (MFTP), unless otherwise specified in the Contract.

For purposes of this Section, "Quality Compliance Documents" means those documents specified in ODOT's Nonfield-Tested Materials Acceptance Guide, unless otherwise specified in the Contract.

00165.03 Testing by Agency - When testing Materials, the Agency will conduct the tests in its central laboratory, field laboratories, or other laboratories designated by the Engineer, even though certain AASHTO, ASTM, and other Materials specifications may require testing at the place of manufacture. Results of the Agency's tests will be made available to the Contractor.

00165.04 Costs of Testing - When the Contract requires that the Agency performs the testing, the testing will be at the Agency's expense. The Agency will pay the cost of Contractor-requested source-review tests on unprocessed Aggregates from no more than two sources for each Project, and on no more than three unprocessed samples from each source. Additional source-review tests performed at the Contractor's request shall be at the Contractor's expense.

Unless otherwise provided in the Contract, all testing required to be performed by the Contractor will be at the Contractor's expense.

Provisions and Requirements

00165.10 Materials Acceptance Guides - Unless otherwise specified elsewhere in the Contract, Materials will be accepted according to the following guides:

(a) Field-Tested Materials - Field-tested Materials will be accepted according to the ODOT Manual of Field Test Procedures (MFTP). The MFTP is published once per year and is available from the ODOT –Construction Section, 800 Airport Road SE; Salem, OR 97301-4798; phone 503-986-3000. The MFTP is also available on the ODOT Construction Section web site.

(b) Nonfield-Tested Materials - Nonfield-tested Materials will be accepted according to the ODOT Nonfield Tested Materials Acceptance Guide (NTMAG), unless otherwise specified in the Contract. The NTMAG is available on the ODOT Construction Section web site.

00165.20 Materials Specifications and Test Method References - References to Materials specifications and test methods of ODOT, WAQTC, AASHTO, ASTM, other governmental agencies, or other recognized organizations mean those officially adopted and in current use by the agency or organization on the date of Bid Opening.

If there are conflicting references, or if no reference is made to Materials specifications or test method, Materials must meet the Materials specifications or test methods required by the first applicable of the following agencies and organizations:

- Field-Tested Materials:
 - Special Provisions;
 - MFTP as modified by the Local Public Agency Quality Assurance Program; and
 - Standard Specifications.

- Nonfield Tested Materials:
 - ODOT;
 - WAQTC;
 - AASHTO;
 - ASTM;
 - Other recognized national organizations, such as ANSI, AWWA, IMSA, and UL; and
 - Industry standards in the location where the Work is being performed.

If there are conflicting references in the Contract or the Quality Assurance program, to required sampling and testing frequencies, the Contractor shall sample and test the Materials according to the first applicable of the following:

- Special Provisions;
- MFTP as modified by the Local Public Agency Quality Assurance Program; and
- Standard Specifications.

00165.30 Field-Tested Materials:

(a) Contractor's Duties - The Contractor shall:

- Furnish Materials of the quality specified in the Contract;
- Provide and administer a quality control program as described in the Quality Assurance Manual portion of the MFTP. Upon request, the Contractor shall provide to the Engineer the names, telephone numbers, and copies of certifications for all personnel performing field testing; and
- Perform other testing as required by the Contract.

(b) Types of Tests - The types of tests and testing methods generally required by the Agency are described in the MFTP.

(c) Acceptance of Field-Tested Materials The Contractor's test results for field-tested Materials will be verified by the Agency according to the Quality Assurance program outlined in the MFTP. Materials will be analyzed as determined by the Engineer for acceptance before the Engineer will accept them for incorporation into the Work. Incorporated Materials that do not meet Specifications will be evaluated according to 00165.01 and 00150.25.

If the Agency's verification testing reveals that the Contractor's data is incorrect, the Agency may require additional testing to determine whether the Materials meet Specifications. The Contractor shall perform additional quality control testing or provide split samples to the Agency for additional testing as directed. If the Materials do not meet Specifications, the Contractor shall reimburse the Agency for the cost of the additional testing, which may be deducted from monies due or to become due the Contractor under the Contract. Incorporated Materials that do not meet Specifications will be evaluated according to 00165.01 and 00150.25. If the Materials meet Specifications the Agency will pay the cost for the additional testing.

00165.35 Nonfield-Tested Materials - The Contractor shall furnish Materials meeting Specifications, along with all Materials Conformance and Quality Compliance Documents.

(a) Test Results Certificate - The Certificate shall:

- Be from the manufacturer verifying that the Material furnished has been sampled and tested and the test results meet the Specifications.

- Include, or be accompanied by, a copy of the specified test results (ODOT, AASHTO, ASTM, UL or other).
- Identify the testing agency and the representative responsible for the test results.
- Permit positive determination that Material delivered to the Project is the same Material covered by the test results.
- Be delivered to the Engineer with the shipment of the material.

(b) Quality Compliance Certificate - The Certificate from the manufacturer shall:

- Verify that the Material meets the Specifications, and identify by number the specified test methods used, (ODOT, AASHTO, ASTM, UL, or other)
- Permit positive determination that Material delivered to the Project is the same Material covered by the certificate,
- Be delivered to the Engineer with the shipment of the Material, or be an identification plate or mark, decal, sticker, label, or tag attached to the container or Material,

(c) Equipment List and Drawings - These consist of lists of proposed Equipment and Materials, such as:

- Shop drawings
- Material lists
- Equipment lists
- Catalog description sheets
- Manufacturer's brochures

Submit these lists to the Engineer for review of conformance with the Specifications.

(d) Certificate of Origin of Steel Materials - When specified, complete this document (ODOT Form 734-2126) as required by 00160.20 for Federal-aid projects.

Materials will be subject to acceptance testing if the Engineer so elects. The Engineer may reject damaged or non-Specification Materials regardless of the Materials Conformance Documents furnished.

00165.50 Acceptance Sampling and Testing -The Contractor shall sample and test Materials for acceptance, as required by the Contract. Materials will be analyzed as determined by the Engineer for acceptance before the Engineer will accept them for incorporation into the Work. When the Engineer determines the Materials or Work does not conform to the Specifications the Engineer may accept the Materials or Work with pay adjustments or reject the Materials or Work per 00150.25.

00165.70 Use of Materials without Acceptable Materials Conformance Documents:

(a) General - The Contractor shall not incorporate Materials into the Project prior to submittal of Materials Conformance Documents acceptable to the Engineer. The Engineer may waive this requirement temporarily if Materials are necessary for immediate traffic safety.

(b) Materials Incorporated for Immediate Traffic Safety - If Materials are incorporated into the Project for immediate traffic safety before acceptable Materials Conformance Documents are available, no payment will be made for the value of the Materials, or the costs of incorporating them, until Materials Conformance Documents have been submitted to and approved by the Engineer, or the Materials are otherwise found through testing to comply with Specifications.

(c) Contractor's Request for Testing Assistance - If acceptable Materials Conformance Documents are not available, the Contractor may either have the necessary tests performed at a private laboratory or request in writing that the Engineer:

- Determine if the Agency or its agents can sample and test;
- Estimate the cost to the Contractor for the testing service; and
- Estimate the time required to obtain the test results.

The Engineer will provide this information to the Contractor in writing. If the Contractor requests the Engineer, in writing, to proceed, the Engineer will arrange for the sampling and testing, at the Contractor's expense. If these tests determine the Material complies with the Specifications, the Materials may be incorporated into the Project, or for Materials previously incorporated according to (b) above, payment will be authorized.

00165.75 Storage and Handling of Materials - The Contractor shall store and handle Materials so as to preserve their quality and fitness for incorporation into the Work. The Contractor shall restore all storage sites to their original condition according to 00140.90, or to comply with any applicable permits, orders, or agreements, at the Contractor's expense.

Stored Materials:

- Shall be readily accessible for inspection;
- May be stored on approved parts of the Right-of-Way; and
- May be stored on private property if written permission of the owner or lessor is obtained.

Section 00170 - Legal Relations and Responsibilities

Description

00170.00 General - The Contractor shall comply with all laws, ordinances, codes, regulations and rules, (collectively referred to as "Laws" in this Section), that relate to the Work or to those engaged in the Work. Where the provisions of the Contract are inconsistent or in conflict, the Contractor shall comply with the more stringent standard.

The Contractor shall indemnify, defend, and hold harmless the Agency and its representatives from liability arising from or related to the violation of Laws by those engaged in any phase of the Work. This provision does not apply to Work performed by Agency employees.

In any litigation, the entire text of any order or permit issued by a governmental or regulatory authority, as well as any documents referenced or incorporated therein by reference, shall be admissible for the purpose of Contract interpretation.

The Contract shall not be construed against either party regardless of which party drafted it. Other than as modified by the Contract, the applicable rules of contract construction and evidence shall apply. This Contract shall be governed by and construed according to the laws of the State of Oregon without regard to principles of conflict of laws.

Any dispute between the Agency and the Contractor that arises from or relates to this Contract and that is not resolved under the provisions of Section 00199 shall be brought and conducted solely and exclusively within the Circuit Court for the State of Oregon in the county where the Agency's main office is located; provided, however, if a dispute must be brought in a federal forum, then it shall be brought and conducted solely and exclusively within the United States District Court for the District of Oregon. In no event shall this Subsection be construed as a waiver by the State of Oregon on any form of defense or immunity, whether sovereign immunity, governmental immunity, immunity based on the Eleventh Amendment to the Constitution of the United States or otherwise, from any claim or from the jurisdiction of any court. CONTRACTOR BY EXECUTION OF THE CONTRACT HEREBY CONSENTS TO THE IN PERSONAM JURISDICTION OF THE COURTS REFERENCED IN THIS SECTION.

Provisions and Requirements

00170.01 Other Agencies Affecting Agency Contracts - Representatives of regulatory bodies or units of government whose Laws may apply to the Work shall have access to the Work according to 00150.20(d). These may include but are not limited to those in the following (a), (b), (c), and (d).

(a) Federal Agencies:

Agriculture, Department of
Forest Service
Natural Resource Conservation Service

Army, Department of the
Corps of Engineers

Commerce, Department of
National Marine Fisheries Service

Defense, Department of

Energy, Department of

Environmental Protection Agency (EPA)

Federal Energy Regulatory Commission

Geology Survey

Health and Human Services, Department of

Homeland Security, Department of
U.S. Coast Guard (USCG)

Housing and Urban Development, Department of

Interior, Department of
Heritage, Conservation, and Recreation Service
Bureau of Indian Affairs

Bureau of Land Management
Bureau of Mines
Bureau of Reclamation
Geological Survey
Minerals Management Service
Office of Surface Mining, Reclamation, and Enforcement
Minerals Management Service
Solar Energy and Energy Conservation Bank
U.S. Fish and Wildlife Service
Labor, Department of
 Mine Safety and Health Administration
 Occupational Safety and Health Administration (OSHA)
Transportation, Department of
 Federal Highway Administration
Water Resources Council

(b) State of Oregon Agencies:

Administrative Services, Department of
Agriculture, Department of
 Natural Resources Division
 Soil and Water Conservation District
Columbia River Gorge Commission
Consumer and Business Services, Department of
 Insurance Division
 Oregon Occupational Safety and Health Division (OR-OSHA)
Energy, Office of
Environmental Quality, Department of (DEQ)
Fish and Wildlife, Department of
Forestry, Department of
Geology and Mineral Industries, Department of
Human Resources, Department of
Labor and Industries, Bureau of
Land Conservation and Development Department
Parks and Recreation, Department of
State Lands, Department of
Water Resources Department

(c) Local Agencies:

City Commissions
County Courts
County Commissioners, Boards of
Design Commissions
Historical Preservation Commissions
Lane Regional Air Pollution Authority (LRAPA)
Planning Commissions
Port Districts
Special Districts

(d) Oregon Federally Recognized Tribal Governments:

Burns Paiute Tribe
Confederated Tribes of Coos, Lower Umpqua and Siuslaw Indians
Confederated Tribes of Grand Ronde
Confederated Tribes of Siletz
Confederated Tribes of Umatilla Indian Reservation
Confederated Tribes of Warm Springs
Coquille Tribe
Cow Creek Band of Umpqua Indians
Klamath Tribe

00170.02 Permits, Licenses, and Taxes - As required to accomplish the Work, the Contractor shall do the following:

- Obtain all necessary permits and licenses, except for those noted in 00170.03;
- Pay all applicable charges, fees and taxes, except for those noted in 00170.03;
- Give all notices required by applicable Laws, or under the terms of the Contract;
- Comply with ORS 274.530 relating to lease of stream beds by Oregon Division of State Lands;
- License, in the State of Oregon, all vehicles subject to licensing;
- Comply with ORS 477.625 and ORS 527.670 relating to clearing and fire hazards on forest lands; and
- Comply with all orders and permits issued by a governmental authority, whether local, State, or federal.

00170.03 Furnishing Right-of-Way, Easements and Permits - Unless required to be obtained in the name of the Contractor, the Agency will obtain and pay for the following when they are required by the applicable Laws or by Plans or Specifications:

- All necessary Rights-of-Way and Easements;
- Permits required for crossing or encroaching upon navigable streams;
- Permits required for removing materials from or depositing materials in waterways;
- Permits required for operating in Agency-controlled source of Materials or disposal area;
- System development fees charged by local units of government;
- Building construction permits, not including specialty work such as heating, ventilation, air conditioning, or electrical;
- Cost of referencing and replacing endangered survey monuments; and
- Environmental permits, including erosion control permits.

If, after the Bid Closing date, the Agency obtains any Permits, Rights of Way or Easements which require changes to the Work and thereby causes an increase or decrease in the cost of, or the time required for the performance of the Work, the Contractor shall submit information sufficient for the Engineer to determine the extent of the effects on the cost and/or schedule. If the Engineer agrees the cost and/or schedule will be affected by such changes, such effects will be handled in accordance with the General Conditions. The Engineer will provide the Contractor with a copy of any such Permits, Rights-of-Way or easements.

00170.04 Patents, Copyrights, and Trademarks - Prior to use of designs, devices, materials, or processes protected by patent, copyright, or trademark, the Contractor shall obtain from the Entity entitled to enforce the patent, copyright, or trademark all necessary evidence of legal right.

The Contractor shall indemnify, defend and hold harmless the Agency and all third parties and political subdivisions having a possessory or ownership interest or regulatory authority over the Project or Project Site from claims of patent,

copyright or trademark infringement, and from costs, expenses and damages the Contractor or Agency may be obligated to pay as a result of such infringement during or after completing the Work.

00170.05 Assignment of Antitrust Rights - The Contractor irrevocably assigns to the Agency any claim for relief or cause of action the Contractor acquires during the term of the Contract, or which may accrue thereafter, by reason of any violation of:

- Title 15 (Commerce and Trade), United States Code;
- ORS 646.725; and
- ORS 646.730.

In connection with this assignment, it is an express obligation of the Contractor to take no action that would in any way impair or diminish the value of the rights assigned to the Agency according to the provisions of this Subsection. Further, it is the express obligation of the Contractor to take all action necessary to preserve the rights assigned. It is an express obligation of the Contractor to advise the Agency's legal counsel:

- In advance, of its intention to commence any action involving such claims for relief or causes of action;
- Immediately upon becoming aware of the fact that an action involving such claims for relief or causes of action has been commenced by some other person or persons;
- The date on which it notified the obligor(s) of any such claims for relief or causes of action of the fact of the Contractor's assignment to the Agency according to the provisions of this Subsection; and
- Immediately upon the discovery of any such antitrust claim for relief or cause of action.

In the event any payment is made to the Contractor under any such claims for relief, the Contractor shall promptly pay the full sum over to the Agency. In the event the Contractor fails to make such payment, the Agency may deduct the amount from monies due or to become due the Contractor under the Contract.

00170.06 Taxes - The Contract unit or lump sum prices shall include full compensation for any payroll taxes which may be incurred under State and Federal Unemployment and Social Security Acts, and all Sales Taxes on materials furnished by Contractor. The Contractor shall promptly pay such taxes to the proper agency, and shall indemnify and save harmless the Agency from any liability which may or could arise therefrom.

00170.07 Record Requirements - For purposes of this Subsection the term "Contractor" includes the Contractor, all subcontractors, Material Suppliers, and providers of rented operated Equipment (except non-DBE truck drivers), at all tiers, for all subcontracts with first-tier Subcontractors, all subcontracts between the first-tier Subcontractors and their subcontractors and any other lower tier subcontracts, and "Related Entities" as that term is defined in OAR 731-005-0780. The Material Suppliers included in this definition are those for Aggregates, Asphalt Cement Concrete, Portland Cement Concrete and the supply and fabrication of structural steel items or Material Suppliers that provide quotes.

(a) Records Required - The Contractor shall maintain all records, whether created before or after execution of the Contract, or during Contract performance, or after Contract completion, to clearly document:

- The Contractor's performance of the Contract or a subcontract;
- The Contractor's ability to continue performance of the Contract or a subcontract; and
- All claims arising from or relating to performance under the Contract or a subcontract.

These records shall include all records, including fiscal records, regardless of when created for the Contractor's business. The records for the Contractor's business include without limitation the:

- Bidding estimates and records, worksheets, tabulations or similar documents.
- Job cost detail reports, including monthly totals.
- Payroll records (including without limitation the ledger or register, and tax forms) and all documents which establish the periods, individuals involved, the hours for the individuals, and the rates for the individuals.

- Records that identify the Equipment used by the Contractor and subcontractors in the performance of the Contract or subcontracts, including without limitation, Equipment lists, rental contracts and any records used in setting rental rates.
- Invoices from vendors, rental agencies, and subcontractors.
- Material quotes, invoices, purchase orders and requisitions.
- Contracts with subcontractors and contracts with Material Suppliers, Suppliers and providers of rented equipment.
- Contracts or documents of other arrangements with any Related Entity as defined in OAR 731-005-0780.
- General ledger.
- Trial Balance.
- Financial statements (including without limitation the balance sheet, income statement, statement of cash flows, and financial statement notes).
- Income tax returns.
- All worksheets used to prepare bids or claims, or to establish the cost components for the Pay Items, including without limitation, the labor, benefits and insurance, Materials, Equipment, and subcontractors.

The following are examples, but not an exhaustive list, of records that would be included, if generated by the Contractor. If the Contractor generates such records, or equivalent records, they are included among the records subject to 00170.07.

- Daily time sheets and supervisor's daily reports.
- Collective bargaining agreements.
- Earnings records.
- Journal entries and supporting schedules.
- Insurance, welfare, and benefits records.
- Material cost distribution worksheet.
- Subcontractors' and lower tier subcontractors' payment certificates.
- Payroll and vendor's cancelled checks.
- Cash disbursements journal.
- All documents related to each and every claim together with all documents that support the amount of damages as to each claim.
- Additional financial statements (including without limitation the balance sheet, income statement, statement of cash flows, and financial notes) preceding the execution of the Contract and following final payment of the Contract.
- Depreciation records on all business Equipment maintained by the business involved, its accountant, or other Entity. (If a source other than depreciation records is used to develop cost for the Contractor's internal purposes in establishing the actual cost of owning and operating Equipment, all such other source documents.)

The Contractor shall maintain all fiscal records in material compliance with generally accepted accounting principles, or other accounting principles that are accepted accounting principles and practices for the subject industry and adequate for the nature of the Contractor's business, and in such a manner that providing a complete copy is neither unreasonably time consuming nor unreasonably burdensome for the Contractor or the Agency. Failure to maintain the records in this manner shall not be an excuse for not providing the records.

The Contractor shall include in its subcontracts, purchase orders, and all other written agreements, a provision requiring all subcontractors, Material Suppliers and providers of rented operated Equipment, (except non-DBE truck drivers), at all tiers to comply with 00170.07. The Contractor shall also require all subcontractors, Material Suppliers, and providers of rented operated Equipment, (except non-DBE truck drivers), at all tiers and Related Entities to include in their contracts, purchase orders, and all other written agreements, a provision requiring all lower tier subcontractors, Material Suppliers and providers of rented operated Equipment (except non-DBE truck drivers) to comply with 00170.07. The Material Suppliers to which this applies are those for Aggregates, Asphalt Cement Concrete, Portland Cement Concrete and the supply and fabrication of structural steel items or Material Suppliers that provide Material quotes and Related Entities as defined in OAR 731-005-0780.

(b) Access to Records - The Contractor shall provide the Engineer access to or a copy of all Contractor records upon request. A Project Manager's authority to request or access records is subject to OAR 731-005-0780(9). During the record retention period the Engineer, employees of the Agency, representatives of the Agency, or representatives of regulatory bodies or units of government may:

- Inspect, examine and copy or be provided a copy of all Contractor records;
- Audit the records, a Contract or the performance of a Contract;
- Inspect, examine and audit the records when, in the Agency's sole discretion, the records may be helpful in the resolution of any claim, litigation, administrative proceeding or controversy arising out of or related to a Contract.

Reasons for access to audit, inspect, examine and copy records include without limitation, general auditing, reviewing claims, checking for collusive bidding, reviewing or checking payment of required wages, performance and contract compliance, workplace safety compliance, evaluating related Entities, environmental compliance, and qualifications for performance of the Contract, including the ability to perform and the integrity of the Contractor.

Where such records are stored in a computer or in other digital media, the Engineer may request, and the Contractor shall provide, a copy of the data files and such other information or access to software to allow the Engineer review of the records.

Nothing in 00170.07 is intended to operate as a waiver of the confidentiality of any communications privileged under the Oregon Evidence Code. Nothing in 00170.07 limits the records or documents that can be obtained by legal process.

(c) Record Retention Period - The Contractor shall maintain the records and keep the records accessible and available at reasonable times and places for at least 3 years from the date of final payment under the Contract, or until the conclusion of all audits, litigation, administrative proceedings, disputes and claims arising out of or related to the Contract, whichever date is later.

(d) Public Records Requests - If records provided under this section contain any information that may be considered exempt from disclosure as a trade secret under either ORS 192.501(2) or ORS 646.461(4), or under other grounds specified in Oregon Public Records Law, ORS 192.410 through ORS 192.505, the Contractor shall clearly designate on or with the records the portions which the Contractor claims are exempt from disclosure, along with a justification and citation to the authority relied upon. Entire records or documents should not be designated as a trade secret or otherwise exempt from disclosure. Only specific information within a record or document should be so designated.

To the extent allowed by the Oregon Public Records Law or other applicable law related to the disclosure of public records, Agency will not disclose records or portions of records the Contractor has designated as trade secrets to a third party, who is not a representative of the Agency, to the extent the records are exempt from disclosure as trade secrets under the Oregon Public Records Law or other applicable law, except to the extent Agency is ordered to disclose in accordance with the Oregon Public Records Law or by a court of competent jurisdiction. Application of the Oregon Public Records Law or other applicable law shall determine whether any record, document or information is actually exempt from disclosure.

In addition, in response to a public records request, the Agency will not produce or disclose records so identified as exempt by the Contractor to any person other than representatives of the Agency, and others with authorized access under 00170.07(b), without providing the Contractor a copy of the public records request, unless:

The Contractor consents to such disclosure; or

Agency is prohibited by applicable law or court order from providing a copy of the public records request to the Contractor.

00170.10 Required Payments by Contractors - The Contractor shall comply with ORS 279C.505 and ORS 279C.515 during the term of the Contract.

(a) Prompt Payment by Contractor for Labor and Materials - As required by ORS 279C.505, the Contractor shall:

- Make payment promptly, as due, to all Entities supplying labor or Materials under the Contract;
- Pay all contributions or amounts due the Industrial Accident Fund, whether from the Contractor or a subcontractor, incurred in the performance of the Contract;
- Not permit any lien or claim to be filed against the State or any political subdivision thereof, on account of any labor or Material furnished in performance of the Contract; and
- Pay to the Department of Revenue all sums withheld from employees according to ORS 316.167.

(b) Prompt Payment by Contractor to First-Tier Subcontractor(s) - According to ORS 279C.580(3)(a), after the Contractor has determined and certified to the Agency that one or more of its Subcontractors has satisfactorily performed subcontracted Work, the Contractor may request payment from the Agency for the Work, and shall pay the Subcontractor(s) within 10 Calendar Days out of such amounts as the Agency has paid to the Contractor for the subcontracted Work.

(c) Interest on Unpaid Amount - If the Contractor or a first-tier Subcontractor fails, neglects, or refuses to make payment to an Entity furnishing labor or Materials in connection with the Contract within 30 Days after the Contractor's receipt of payment, the Contractor or first-tier Subcontractor shall owe the Entity the amount due plus interest charges that begin at the end of the 10 day period within which payment is due under ORS 279C.580(3) and that end upon final payment, unless payment is subject to a good-faith dispute as defined in ORS 279C.580(5)(b). The rate of interest on the amount due shall be in accordance with ORS 279C.515(2). The amount of interest shall not be waived.

(d) Agency's Payment of the Contractor's Prompt Payment Obligations - If the Contractor fails, neglects or refuses to make prompt payment of any invoice or other demand for payment for labor or services furnished to the Contractor or a Subcontractor by any Entity in connection with the Contract as such payment becomes due, the Agency may pay the Entity furnishing the labor or services and charge the amount of the payment against monies due or to become due the Contractor under the Contract.

The payment of a claim by the Agency in the manner authorized in this Subsection shall not relieve the Contractor or the Contractor's Surety from obligations with respect to any such claims.

(e) Right to Complain to the Construction Contractors Board - If the Contractor or a subcontractor fails, neglects, or refuses to make payment to an Entity furnishing labor or Materials in connection with the Contract, the Entity may file a complaint with the Construction Contractors Board, unless payment is subject to a good-faith dispute as defined in ORS 279C.580(5)(b).

(f) Notice of Claim Against Bond - An Entity (which by definition includes a natural person) claiming not to have been paid in full for labor or Materials supplied for the prosecution of the Work may have a right of action on the Contractor's Payment Bond as provided in ORS 279C.600 and ORS 279C.605.

The Commissioner of the Bureau of Labor and Industries (BOLI) may have a right of action on the Contractor's and Subcontractors' public works bonds and Payment Bonds for workers who have not been paid in full, as provided in ORS 279C.600 and ORS 279C.605.

00170.20 Public Works Bond - Before starting Work, the Contractor and subcontractors shall each file with the Construction Contractors Board, and maintain in full force and effect, a separate public works bond, in the amount of \$30,000 unless otherwise exempt, as required by ORS 279C.830(3) and ORS 279C.836. The Contractor shall verify subcontractors have filed a public works bond before the subcontractor begins Work.

00170.32 Protection of Navigable Waters - The Contractor shall comply with all applicable Laws, including without limitation the Federal River and Harbor Act of March 3, 1899 and its amendments.

The Contractor shall not interfere with waterway navigation or impair navigable depths or clearances, except as U.S. Coast Guard or Corps of Engineer permits allow.

00170.60 Safety, Health, and Sanitation Provisions - The Contractor shall comply with all Laws concerning safety, health, and sanitation standards. The Contractor shall not require workers to perform Work under conditions that are hazardous, dangerous, or unsanitary.

Workers exposed to traffic shall wear upper body garments or safety vests that are highly visible and meet the requirements of 00225.25.

Workers exposed to falling or flying objects or electrical shock shall wear hard hats.

Upon their presentation of proper credentials, the Contractor shall allow inspectors of the U.S. Occupational Safety and Health Administration (OSHA) and the Oregon Occupational Safety and Health Division (OR-OSHA) to inspect the Work and Project Site without delay and without an inspection warrant.

According to ORS 468A.715 and ORS 468A.720, the Contractor or a Subcontractor who performs Project Work involving asbestos abatement shall possess a valid DEQ asbestos abatement license.

00170.61 Industrial Accident Protection:

(a) Workers' Compensation - The Contractor shall provide workers' compensation coverage for on-the-job injuries as required by 00170.70(d).

(b) Longshoremen's and Harbor Workers' Compensation - If Work to be performed is over or adjacent to navigable waters, the Longshoremen's and Harbor Workers' Compensation Act, (Chapter 18, Title 33 of the USC) may apply, and the Contractor shall be responsible for complying with its provisions (which may include the provision of additional workers' compensation benefits to employees).

00170.62 Labor Nondiscrimination - The Contractor shall comply with all Laws concerning equal employment opportunity, including without limitation those prohibiting discrimination because of race, religion, color, sex, disability, or national origin.

00170.63 Payment for Medical Care - According to ORS 279C.530, the Contractor shall promptly, as due, make payment to any person, copartnership, association or corporation furnishing medical, surgical and hospital care services or other needed care and attention, incident to sickness or injury, to the employees of the Contractor, of all sums that the Contractor agrees to pay for the services and all moneys and sums that the Contractor collected or deducted from the wages of employees under any law, contract or agreement for the purpose of providing or paying for the services.

00170.65 Minimum Wage and Overtime Rates for Public Works Projects:

(a) General - The Contractor is responsible for investigating local labor conditions. The Agency does not imply that labor can be obtained at the minimum hourly wage rates specified in State or federal wage rate publications, and no increase in the Contract Amount will be made if wage rates paid are more than those listed.

(b) State Prevailing Wage Requirements - The Contractor shall comply with the prevailing wage provisions of ORS 279C.800 through ORS 279C.870.

(1) Minimum Wage Rates - The Bureau of Labor and Industries (BOLI) determines and publishes the existing State prevailing wage rates in the publication "Prevailing Wage Rates for Public Works Contracts in Oregon". The Contractor shall pay workers not less than the specified minimum hourly wage rate according to ORS 279C.838 and ORS 279C.840 and shall include this requirement in all subcontracts.

See the Project Wage Rates page included with the Special Provisions for additional information about which wage rates apply to the project and how to access the applicable wage rates.

(2) Payroll and Certified Statements - As required in ORS 279C.845, the Contractor and every subcontractor shall submit written certified statements to the Engineer on the form prescribed by the Commissioner of BOLI in OAR 839-025-0010 certifying compliance with wage payment requirements and accurately setting out the Contractor's or subcontractor's weekly payroll records for each worker employed upon the project.

The Contractor and subcontractors shall preserve the certified statements for a period of 6 years from the date of completion of the Contract.

(3) Additional Retainage:

a. Agency - As required in ORS 279C.845(7) the Agency will retain 25% of any amount earned by the Contractor on the project until the Contractor has filed the certified statements required in ORS 279C.845 and in FHWA Form 1273, if applicable. The Agency will pay to the Contractor the amount retained within 14 Days after the Contractor files the required certified statements, regardless of whether a subcontractor has failed to file certified statements.

b. Contractor - As required in ORS 279C.845(8) the Contractor shall retain 25% of any amount earned by a first tier subcontractor on the project until the first tier subcontractor has filed with the Agency the certified statements required in ORS 279C.845 and in FHWA Form 1273, if applicable. Before paying any amount retained, the Contractor shall verify that the first tier subcontractor has filed the certified statement. Within 14 Days after the first tier subcontractor files the required certified statement the Contractor shall pay the first tier subcontractor any amount retained.

(4) Owner/Operator Data - For a project funded by the FHWA, the Contractor shall furnish data to the Engineer for each owner/operator providing trucking services. Furnish the data before the time the services are performed and include without limitation for each owner/operator:

- Drivers name;
- Copy of driver's license;
- Vehicle identification number;
- Copy of vehicle registration;
- Motor vehicle license plate number;
- Motor Carrier Plate Number;
- Copy of ODOT Motor Carrier 1A Permit; and Name of owner/operator from the side of the truck.

(c) State Overtime Requirements - As a condition of the Contract, the Contractor shall comply with the pertinent provisions of ORS 279C.540.

(1) Maximum Hours of Labor and Overtime Pay - According to ORS 279C.540, no person shall be employed to perform Work under this Contract for more than 10 hours in any 1 Day, or 40 hours in any 1 week, except in cases of necessity, emergency, or where public policy absolutely requires it. In such instances, the Contractor shall pay the employee at least time and a half pay:

- For all overtime in excess of 8 hours a day or 40 hours in any 1 week when the work week is 5 consecutive days, Monday through Friday; or
- For all overtime in excess of 10 hours a day or 40 hours in any 1 week when the work week is 4 consecutive days, Monday through Friday; and
- For all Work performed on Saturday and on any legal holiday specified in ORS 279C.540.

For additional information on requirements for overtime and establishing a work schedule see OAR 839-025-0050 and OAR 839-025-0034.

(2) Notice of Hours of Labor - The Contractor shall give written notice to employees of the number of hours per day and days per week the employees may be required to work. Provide the notice either at the time of hire or before commencement of work on this Contract, or by posting a notice in a location frequented by employees.

(3) Exception - The maximum hours of labor and overtime requirements under ORS 279C.540 will not apply to the Contractor's Work under this Contract if the Contractor is a party to a collective bargaining agreement in effect with any labor organization. For a collective bargaining agreement to be in effect it shall be enforceable within the geographic area of the project, and its terms shall extend to workers who are working on the project (see OAR 839-025-0054).

(d) State Time Limitation on Claim for Overtime - According to ORS 279C.545, any worker employed by the Contractor is foreclosed from the right to collect any overtime provided in ORS 279C.540 unless a claim for payment is filed with the Contractor within 90 Days from the completion of the contract, provided the Contractor posted and maintained a circular as specified in this provision. Accordingly, the Contractor shall:

- (1) Cause a circular, clearly printed in boldfaced 12-point type containing a copy of ORS 279C.545, to be posted in a prominent place alongside the door of the timekeeper's office or in a similar place which is readily available and freely visible to any or all workers employed to perform Work; and
- (2) Maintain such circular continuously posted from the inception to the completion of the Contract on which workers are or have been employed.

(e) Additional Requirements When Federal Funds are Involved - When federal funds are involved, the following requirements shall apply in addition to the requirements of 00170.65(a) through 00170.65(d). The Contractor shall include these provisions in all subcontracts as well as ensure that all Subcontractors include these provisions in their lower tier subcontracts.

(1) FHWA Requirements - For Federal-Aid projects, the Contractor shall comply with the provisions of FHWA Form 1273, "Required Contract Provisions Federal-Aid Construction Contracts".

(2) Minimum Wage Rates - The Contractor shall pay each worker in each trade or occupation employed to perform any work under the contract not less than the existing State (BOLI) prevailing wage rate or the applicable federal prevailing wage rate required under the Davis-Bacon Act (40 U.S.C. 3141 et seq.), whichever is higher. The Contractor shall include this provision in all subcontracts.

See the Project Wage Rates page included with the Special Provisions for additional information about which wage rates apply to the project and how to access the applicable wage rates.

(3) Payroll and Certified Statements - In addition to providing the payroll information and certified statements required under ORS 279C.845 (see 00170.65(b-2)), the Contractor and every subcontractor shall submit written certified statements that also meet the requirements in Section IV of FHWA Form 1273 except the Contractor and every subcontractor shall preserve the certified statements for a period of 6 years from the date of completion of the Contract.

(4) Overtime - With regard to overtime pay, the Contractor shall comply with the overtime provision affording the greatest compensation required under FHWA Form 1273 and ORS 279C.540.

00170.70 Insurance:

(a) Insurance Coverages - Prior to starting work hereunder, CONTRATOR, at CONTRATOR'S cost, shall secure and continue to carry during the term of this contract, with an insurance company acceptable to CITY, the following insurance, written on an occurrence basis, in amounts not less than the limitations on liability for local public bodies provided in ORS 30.272 and ORS 30.273:

Commercial General Liability - CONTRATOR shall obtain, at CONTRATOR'S expense and keep in effect during the term of this Contract, Commercial General Liability Insurance covering bodily injury and property damage. Coverage shall include Contrators, subcontractors and anyone directly or indirectly employed by either.

Pollution Liability - If indicated by Special Provisions, Pollution Liability Insurance covering the Contractor's liability, or the liability of an appropriate subcontractor, if the coverage is obtained by the subcontractor, for bodily injury and property damage, and environmental damage resulting from sudden and accidental pollution, gradual pollution, and related clean-up costs incurred by the Contractor, or by the subcontractor if the coverage is obtained by the subcontractor, while performing Work required by the Contract. If the coverage is obtained by the Contractor, the coverage may be written in combination with the Commercial General Liability Insurance with separate limits for Pollution Liability and Commercial General Liability. Combined single limit per occurrence shall not be less than the dollar amount indicated in the Special Provisions. The annual aggregate limit shall not be less than the dollar amount indicated in the Special Provisions. The policy shall be endorsed to state that the annual aggregate limit of liability shall apply separately to the Contract.

Asbestos Liability - If indicated by Special Provisions, the Contractor, or the subcontractor, if the coverage is obtained by the subcontractor, shall provide an Asbestos Liability endorsement to the pollution liability coverage. If

an endorsement cannot be obtained, The Contractor or subcontractor shall provide separate Asbestos Liability Insurance at the same combined single limit per occurrence and annual aggregate limit as the Pollution Liability Insurance with the policy endorsed to state that the annual aggregate limit of liability shall apply separately to the Contract.

Lead Liability - If indicated by Special Provisions, the Contractor, or the subcontractor, if the coverage is obtained by the subcontractor, shall provide a Lead Liability endorsement to the pollution liability coverage. If an endorsement cannot be obtained, the Contractor or subcontractor shall provide separate Lead Liability Insurance at the same combined single limit per occurrence and annual aggregate limit as the Pollution Liability Insurance with the separate policy endorsed to state that the annual aggregate limit of liability shall apply separately to the Contract.

Commercial Automobile Liability - CONTRATOR shall obtain, at CONTRATOR'S expense and keep in effect during the term of the resulting contract, Commercial Business Automobile Liability Insurance covering all owned, non-owned, or hired vehicles. This coverage may be written in combination with the Commercial General Liability Insurance (with separate limits).

Commercial Automobile Liability with Pollution Coverage - If indicated by Special Provisions, the Contractor, or the subcontractor, if the coverage is obtained by the subcontractor, shall provide Commercial Automobile Liability Insurance with Pollution coverage covering the Contractor's liability, or the liability of an appropriate subcontractor, if the coverage is obtained by the subcontractor, for bodily injury and property damage, and environmental damage arising out of the use of all owned, non-owned, or hired vehicles while performing Work under the Contract. If the coverage is obtained by the Contractor, the coverage may be written in combination with the Commercial General Liability Insurance with separate limits for Commercial Automobile Liability with Pollution Coverage and Commercial General Liability. Combined single limit per occurrence shall not be less than the dollar amount indicated in the Special Provisions or the amount required by the U.S. Department of Transportation, whichever is greater. If this coverage is written in combination with the Commercial General Liability, the policy shall be endorsed to state that the Commercial General Liability annual aggregate limit shall apply separately to the Contract.

Commercial Automobile Liability with Pollution Coverage is required for this Project because the Project includes pollution related Work. If the Contractor will be performing pollution related Work, this coverage covering the Contractor must be provided. If an appropriate subcontractor, but not the Contractor, will perform the pollution related Work, Commercial Automobile Liability with Pollution Coverage covering the subcontractor, but not the Contractor, must be provided, however, the Contractor shall provide Commercial Automobile Liability insurance coverage covering the Contractor as provided in the Commercial Automobile Liability bullet above. If both the Contractor and an appropriate subcontractor will be performing pollution related Work, Commercial Automobile Liability with Pollution Coverage covering both the Contractor and the subcontractor shall be provided, and the Contractor may provide the coverage covering both the Contractor and the subcontractor, or the Contractor and the subcontractor may provide their own, separate Commercial Automobile Liability with Pollution coverages.

(b) Tail Coverage - If any of the required liability insurance coverages of 00170.70(a) are on a "claims made" basis, "tail" coverage will be required at the completion of the Contract for a duration of 24 months, or the maximum time period reasonably available in the marketplace. The Contractor shall furnish certification of "tail" coverage as described, or continuous "claims made" liability coverage for 24 months following Contract completion. Continuous "claims made" coverage will be acceptable in lieu of "tail" coverage, provided its retroactive date is on or before the effective date of the Contract. If Continuous "claims made" coverage is used, the Contractor shall keep the coverage in effect for a duration of not less than 24 months from the end of the Contract. This will be a condition to the Engineer's issuance of a Third Notification.

(c) Additional Insured - The liability insurance coverage shall include CITY and its officers and employees as Additional Insured but only with respect to CONTRATOR'S activities to be performed under this Contract. Coverage will be primary and non-contributory with any other insurance and self-insurance. Prior to starting work under this Contract, CONTRATOR shall furnish a certificate to CITY from each insurance company providing insurance showing that the CITY is an additional insured, the required coverage is in force, stating policy numbers, dates of expiration and limits of liability, and further stating that such coverage is primary and not contributory.

(d) Professional Liability Insurance - Professional Liability Insurance. The CONTRATOR shall have in force a policy of Professional Liability Insurance. The CONTRATOR shall keep such policy in force and current during the term of this contract.

(e) Workers' Compensation - All employers, including the Contractor and its Subcontractors, if any, that employ subject workers who are performing Work or providing labor or Materials under the Contract in the State shall comply

with ORS 656.017 and provide the required Workers' Compensation coverage, unless such employers are exempt under ORS 656.126. The Contractor shall ensure that each of its Subcontractors complies with these requirements.

The Contractor shall certify in the Contract that the Contractor is registered by the Oregon Workers' Compensation Division either as a carrier-insured employer, a self-insured employer, an exempt employer, or is an independent contractor who will perform the Work without the assistance of others.

The Contractor shall ensure that its insurance carrier files a guaranty contract with the Oregon Workers' Compensation Division before performing any Work.

(f) Notice of Cancellation or Change - There will be no cancellation, material change, potential exhaustion of aggregate limits or non-renewal of insurance coverage(s) without thirty (30) days written notice from CONTRACTOR or its insurer(s) to CITY. Any failure to comply with the reporting provisions of this clause will constitute a material breach of this Contract and will be grounds for immediate termination of this Agreement.

(g) Certificate(s) of Insurance - As evidence of the insurance coverages required by this Contract, the Contractor shall furnish Certificate(s) of Insurance to the Agency at the time(s) provided in 00130.50(a). As evidence of insurance coverages required by this Contract but permitted by the Agency under 00170.70(a) to be obtained by an appropriate subcontractor, the Contractor shall furnish Certificate(s) of Insurance to the Agency for such coverages together with the Contractor's request under 00180.21 for approval of the subcontract with that subcontractor. The Certificate(s) will specify all of the parties who are Additional Insureds. The Contractor shall obtain, or ensure that the appropriate subcontractors obtain, insurance coverages required under this Contract from insurance companies or entities acceptable to the Agency and authorized to issue insurance in the State. The Contractor, or the appropriate subcontractor, but not the Agency, shall be responsible for paying all deductibles, self-insured retentions and/or self-insurance included under these provisions.

(h) Builders' Risk - If indicated by Special Provision, the Contractor shall obtain, at its expense, and keep in effect during the term of the Contract, Builders' Risk insurance on an all risks of direct physical loss basis, including, without limitation, earthquake and flood damage, for an amount equal to at least the value indicated in the Special Provisions. Any deductible shall not exceed \$50,000 for each loss, except that the earthquake and flood deductible shall not exceed 5% of each loss or \$50,000, whichever is greater. The policy shall include the Agency as loss payee.

00170.71 Independent Contractor Status - The service or services to be rendered under this Contract are those of an independent contractor. The Contractor is not an officer, employee, or agent of the Agency as those terms are used in ORS 30.265.

00170.72 Indemnity/Hold Harmless - To the fullest extent permitted by law, and except to the extent otherwise void under ORS 30.140, the Contractor shall indemnify, defend (with counsel approved by the Agency) and hold harmless the Agency, Agency's Authorized Representative, Architect/Engineer, Architect/Engineer's consultants, and their respective officers, directors, agents, employees, partners, members, stockholders and affiliated companies, and when federal transportation funding is involved the State of Oregon, the Oregon Transportation Commission and the Oregon Department of Transportation and their respective officers and members and employees (collectively "Indemnitees") from and against all liabilities, damages, losses, claims, expenses (including reasonable attorney fees), demands and actions of any nature whatsoever which arise out of, result from or are related to the following:

- Any damage, injury, loss, expense, inconvenience or delay described in this Subsection.
- Any accident or occurrence which happens or is alleged to have happened in or about the Project Site or any place where the Work is being performed, or in the vicinity of either, at any time prior to the time the Work is fully completed in all respects.
- Any failure of the Contractor to observe or perform any duty or obligation under the Contract Documents which is to be observed or performed by the Contractor, or any breach of any agreement, representation or warranty of the Contractor contained in the Contract Documents or in any subcontract.
- The negligent acts or omissions of the Contractor, a subcontractor or anyone directly or indirectly employed by them or any one of them or anyone for whose acts they may be liable, regardless of whether or not such claim, damage, loss or expense is caused in part by a party indemnified hereunder.
- Any lien filed upon the project or bond claim in connection with the Work.

Such obligation shall not be construed to negate, abridge, or reduce other rights or obligations of indemnity which would otherwise exist as to a party or person described in this Subsection.

In claims against any person or entity indemnified under this Subsection by an employee of the Contractor, a subcontractor, anyone directly or indirectly employed by them or anyone for whose acts they may be liable, the indemnification obligation under this Subsection shall not be limited by a limitation on amount or type of damages, compensation or benefits payable by or for the Contractor or a subcontractor under workers' compensation acts, disability benefit acts or other employee benefit acts.

00170.74 Employee Drug Testing Program - As required by ORS 279C.505(2), the Contractor shall have in place, and maintain during the period of the Contract, an employee drug-testing program. The Agency retains the right to audit and/or monitor the program. On request by the Engineer, the Contractor shall furnish a copy of the employee drug-testing program.

00170.78 Conflict of Interest - The Contractor shall not give or offer any gift, loan, or other thing of value to any member of the Agency's governing body or employee of the Agency in connection with the award or performance of any Contract.

The Contractor shall not rent, lease, or purchase Materials, supplies, or Equipment, with or through any Agency employee or member of the Agency's governing body.

No ex-employee of the Agency who has worked for the Agency on any phase of the Project within the prior 2 years may be employed by the Contractor to perform Work on the Project.

00170.79 Third Party Beneficiary - There are no third-party beneficiaries of the Contract, unless federal transportation funding is involved then the State of Oregon, the Oregon Transportation Commission and the Oregon Department of Transportation and their respective officers and members and employees, are third-party beneficiaries of the Contract.

00170.80 Responsibility for Damage to Work:

(a) Responsibility for Damage in General - The Contractor shall perform Work, and furnish Materials and Equipment for incorporation into the Work, at the Contractor's own risk, until the entire Project has been completed and accepted by the Agency. The Contractor shall repair all damages to Work performed, Materials supplied, and Equipment incorporated into the Work, except as otherwise provided in this Section.

(b) Repair of Damage to Work - Until Final Acceptance, the Contractor shall promptly rebuild, repair, restore, and make good damages to all portions of the permanent or temporary Work. The Contractor shall perform all repairs of damage to Work at no additional cost to the Agency, except for repairs necessitated by damage caused by:

- Acts of God or Nature, as defined in Section 00110; or
- Actions of governmental authorities.

(c) Vandalism and Theft - Vandalism includes damage to or destruction of Work or portions of Work that remain on the Project Site resulting from vandalism, criminal mischief, arson, or other criminal or illegal behavior.

Theft includes the loss of Work or portions of Work that are lost or stolen or otherwise unaccounted for from the Project Site or from Materials or fabrication locations. The Contractor shall remain solely responsible for all losses caused by theft, including without limitation theft that occurs in conjunction with vandalism.

The Contractor shall provide protection of the Work from vandalism and theft until Third Notification.

00170.82 Responsibility for Damage to Property and Facilities:

(a) In General - As used in this Subsection, the term "Contractor" shall include the Contractor's agents, Subcontractors, and all workers performing Work under the Contract; and the term "damage" shall include without limitation soiling or staining surfaces by tracking or splashing mud, asphalt, and other materials, as well as damage of a more serious nature.

The Contractor shall be solely responsible for damages arising from:

- The Contractor's operations;
- The Contractor's negligence, gross negligence, or intentional wrongful acts; and
- The Contractor's failure to comply with any Contract provision.

The Agency may withhold funds due the Contractor or the Contractor's Surety until all lawsuits, actions, and claims for injuries or damages are resolved, and satisfactory evidence of resolution is furnished to the Agency.

(b) Protection and Restoration of Agency Property and Facilities - The following requirements apply to highways, highway Structures and other improvements that are existing, under construction, or completed. The Contractor shall:

- Provide adequate protection to avoid damaging Agency property and facilities;
- Be responsible for damage to Agency property and facilities caused by or resulting from the Contractor's operations; and
- Clean up and restore such damage by repair, rebuilding, replacement, or compensation, as determined by the Engineer.

(c) Protection and Restoration of Non-Agency Property and Facilities - The Contractor shall determine the location of properties and facilities that could be damaged by the Contractor's operations, and shall protect them from damage. The Contractor shall protect monuments and property marks until the Engineer has referenced their location and authorized their removal. The Contractor shall restore property or facilities damaged by its operations to the condition that existed before the damage, at no additional compensation.

The Contractor shall provide temporary facilities when needed, e.g., to maintain normal service or as directed by the Engineer, until the required repair, rebuilding, or replacement is accomplished.

The Contractor shall protect specific service signs, e.g., business logos, and tourist-oriented directional signs (TODS) from damage, whether the signs are to remain in place or be placed on temporary supports. The Contractor shall repair or replace damaged signs at no cost to the Agency. Liquidated damages will be assessed against the Contractor in the amount of \$200 per day for each sign out of service for more than 5 Calendar Days because of the Contractor's operations.

00170.85 Responsibility for Defective Work - The Contractor shall make good any defective Work, Materials or Equipment incorporated into the Work, according to the provisions of Section 00150.

(a) Latent Defects - The Contractor shall remain liable for all latent defects resulting from causes other than fraud or gross mistakes that amount to fraud until the expiration of all applicable statutes of limitation and ultimate repose, the Performance Bond, Warranty Bond, or Correction Period, whichever expires last. The Contractor shall remain liable for all latent defects resulting from fraud or gross mistakes that amount to fraud regardless of when those latent defects may be discovered, and regardless of whether such discovery occurs outside any applicable statutes of limitation or ultimate repose or any applicable Performance Bond, Warranty Bond, or Correction Period.

(b) Correction Period Warranty for Agency Projects: - The Contractor shall warrant all Work and workmanship, including Changed Work, Additional Work, Incidental Work, On-Site Work, and Extra Work, and Materials and Equipment incorporated in the Work, for one year from the date of Second Notification (Correction Period), except that manufacturers' warranties and extended warranties according to 00170.85(c) shall not be abridged. The Correction Period warranty described herein shall include extension of the Performance Bond for a period of one year from the date of Second Notification.

The Contractor shall be responsible for meeting the technical and performance Specifications required, making good the Work, and for all repairs of damage to the Work and other improvements, natural and artificial structures, systems, equipment, and vegetation caused by, or resulting in whole or in part from occurrences beginning during the Correction Period and are the result of defects in Materials, Equipment, and workmanship. The Contractor shall be responsible for all costs associated with completing the repair of the defects and for associated Work including but not limited to permitting, mobilization, traffic control, erosion control, surface restoration, site cleanup and

remediation caused by, or resulting in whole or in part from, defects in Materials, Equipment, or workmanship, and other Work determined by the Engineer to be necessary to complete the repair of the defects.

Within 10 Calendar Days of the Agency's written notice of defects, the Contractor, or the Contractor's Surety, shall vigorously and continuously correct and repair the defects and all related damage. If the Contractor or the Contractor's Surety fails to correct and repair the defects, the Agency may have the correction and repair done by others. The Contractor or Contractor's Surety shall promptly reimburse the Agency for all expenses incurred to correct and repair the defects.

In the event of an emergency, where delay could result in serious loss or damage, the Agency may make emergency corrections and repairs, without written notice. The Contractor or Contractor's Surety shall promptly reimburse the Agency for all expenses incurred to correct and repair the defects.

Corrections, repairs, replacements or changes shall be warranted for an additional one year period beginning on the date of the Agency's acceptance of the corrections, repairs, replacements or changes.

Without limiting the general applicability of other survival clauses under the Contract, this warranty provision shall survive expiration or termination of the Contract.

(c) Manufacturer, Installer or Supplier Warranties and Guarantees:

(1) Manufacturer, Installer or Supplier Warranties - For those Specification Sections referencing this 00170.85(c-1) Subsection, the Contractor shall furnish Warranties from the Manufacturer, Installer or Supplier and signed by an authorized Representative.

The warranty period will be specified in the applicable Specification Section for which it applies.

The warranty period will begin on the date the Engineer issues Second Notification unless otherwise specified in the Contract.

Corrections, repairs, replacements or changes shall be warranted for an additional Warranty period beginning on the date of the Agency's acceptance of the corrections, repairs, replacements or changes.

When the Agency makes written notification to the Manufacturer of failure of an item covered by this warranty, the warranty period will stop for the effected item or the portion of the effected item that failed, as applicable, until the required repairs or replacements are made and accepted. All repaired or replaced items shall meet current specifications, unless otherwise specified in the Contract, and will be warranted for the remaining warranty period.

Warranty work shall be performed when weather permits. If, in the opinion of the Engineer, temporary repairs are necessary, the temporary repairs will be made by the Agency or an independent contractor at the Manufacturer's expense. The Manufacturer shall replace all temporary repairs at no additional cost to the Agency.

The Manufacturer shall provide all required traffic control during repair or replacement of failed items at no additional cost to the Agency.

(2) Trade Practice Guarantees - For those Items installed on the Project that have customary trade practice guarantees, the Contractor shall furnish the guarantees to the Engineer at the completion of the Contract.

00170.89 Protection of Utility, Fire-control, and Railroad Property and Services; Repair; Roadway Restoration:

(a) Protection of Utility, Fire-Control, and Railroad Property and Services; Coordination - The Contractor shall avoid damaging the properties of Utilities, Railroads, railways, and fire-control authorities during performance of the Work. The Contractor shall cooperate with and facilitate the relocation or repair of all Utilities and Utility services, as required under 00150.50, and of Railroad and fire-control property and railways.

The Contractor shall conduct no activities of any kind around fire hydrants until the local fire-control authority has approved provisions for continued service.

The Contractor shall immediately notify any Utility, Railroad, or fire-control authority whose facilities have been damaged.

If an Entity has a valid permit from the proper authority to construct, reconstruct, or repair Utility, Railroad, or fire-control service in the Roadway, the Contractor shall allow the permit holder to perform the work.

(b) Restoration of Roadway after Repair Work - The Contractor shall restore the Roadway to a condition at least equal to that which existed before the repair work addressed under this Subsection was performed, as directed by the Engineer. All restoration work required as a result of Contractor's failure to protect Utilities, Railroads, railways and fire-control facilities shall be at the Contractor's expense. Restoration which constitutes Extra Work will be paid as Extra Work.

00170.92 Fencing, Protecting Stock, and Safeguarding Excavations - The Contractor shall be responsible for loss, injury, or damage that results from its failure to restrain stock and persons.

(a) At the Contractor's Expense - The Contractor shall restrain stock to lands on which they are confined using temporary fences or other adequate means. The Contractor shall provide adequate temporary fences or other protection around excavations to prevent animals and unauthorized persons from entering.

The Contractor shall repair, at Contractor's expense and to the Engineer's satisfaction, fences damaged by the Contractor's operations and the operations of the Contractor's agents, employees and Subcontractors.

(b) At the Agency's Expense - The Contractor shall construct fences, or move and reconstruct fences, as shown on the Contract Documents or as directed by the Engineer. The Contractor shall tear down and remove fencing within the Right-of-Way when no longer needed, as part of the removal Work described in and paid for according to Section 00310.

00170.93 Trespass - The Contractor shall be responsible for its own, its agents' and employees', and its Subcontractors' trespass or encroachment upon, or damage to, property during performance of the Contract.

00170.94 Use of Explosives - The Contractor shall comply with all Laws pertaining to the use of explosives. The Contractor shall notify anyone having facilities near the Contractor's operations of Contractor's intended use or storage of explosives. The Contractor shall be responsible for all damage resulting from its own, its agents' and employees', and its Subcontractors' use of explosives. (see 00330.41(e) and Section 00335)

00170.95 Unlawful Discrimination Policy - Agency – It is the policy of the City of Warrenton that no person shall be denied the benefits of or be subjected to discrimination in any City program, service, or activity on the grounds of age, disability, race, religion, color, national origin, sex, sexual orientation, gender identity and expression. The City of Warrenton also requires its contractors and grantees to comply with this policy.

Section 00180 - Prosecution and Progress

00180.00 Scope - This Section consists of requirements for assignment of the Contract, subcontracting, time for performance, Contract responsibility, suspensions, terminations, and related provisions.

00180.05 Assignment/Delegation of Contract - Unless the Agency gives prior written consent, the Contractor shall not assign, delegate, sell, or transfer to any Entity, or otherwise dispose of any Contract rights or obligations, including without limitation:

- The power to execute or perform the Contract; or
- Any of its right, title or interest in the Contract.

Any attempted assignment, delegation, or disposition without prior Agency consent shall be void.

Such Agency consent will not normally be given except for the assignment of funds due under the Contract, as provided in 00180.06.

If written Agency consent is given to assign, delegate, or otherwise dispose of any Contract rights or obligations, it shall not relieve the Contractor or its Surety of any part of their responsibility under the Contract.

00180.06 Assignment of Funds Due under the Contract - Assignment of funds due or to become due under the Contract to the Contractor will not be permitted unless:

- The assignment request is made on the form acceptable to the Agency;
- The Contractor secures the written consent of the Contractor's Surety to the assignment; and
- The Engineer approves the assignment.

00180.10 Responsibility for Contract - The Contractor shall direct and coordinate the operations of its employees, Subcontractors and agents performing Work, and see that the Engineer's orders are carried out promptly. The Contractor's failure to direct, supervise and control its employees, Subcontractors and agents performing Work will result in one or more of the following actions, or other actions as the Engineer deems appropriate:

- Suspension of the Work;
- Withholding of Contract payments, as necessary to protect the Agency;
- Ordering removal of individuals from the Project Site; or
- Termination of the Contract.

Action by the Agency under this provision will not prejudice any other remedy it may have.

00180.15 Agency's Right to Do Work at Contractor's Expense - Except as otherwise provided in 00150.75 and 00220.60, if the Contractor neglects to prosecute the Work properly or fails to perform any provision of the Contract, the Agency may, after 2 Calendar Days' written notice, correct the deficiencies at the Contractor's expense. In situations where the Engineer reasonably believes there is danger to life or property, the Agency may immediately and without notice correct the deficiencies at the Contractor's expense.

Action by the Agency under this provision will not prejudice any other remedy it may have.

00180.20 Subcontracting Limitations:

(a) General - The Contractor's own organization shall perform Work amounting to at least the percentage of the original Contract Amount as indicated in the Special Provisions. The value of subcontracted Work is the full compensation to be paid to the Subcontractor(s) for all pay items in the Subcontract(s).

(b) Own Organization - The term "own organization", as used in Section 00180, includes only employees of the Contractor, Equipment owned or rented by the Contractor, Incidental rental of operated Equipment, and Materials and Equipment to be incorporated into the Work purchased or produced by the Contractor.

(c) Rental of Operated Equipment - For projects funded by FWHA, the Agency will not allow a Disadvantaged Business Enterprise (DBE) firm to provide services without a subcontract covering all Work to be performed by the DBE firm. For non-DBE firms, the use of Equipment rented with operators will be allowed without a subcontract only when the following requirements are met:

(1) Written Request - The Contractor has submitted to the Engineer a written request describing the service to be provided, its estimated cost, and the estimated duration. The Engineer must approve the request before the service is provided.

(2) Limitations - The use of Equipment rented with operators is limited to the following services:

- Truck hauling of Materials (If the trucking is by an owner/operator, in addition to the requirements of 00170.65(e), each truck shall have the name of the owner/operator clearly displayed on the side of the truck); or
- Performing minor, Incidental, short-duration work under the direct supervision of the Contractor or Subcontractor, with Equipment not customarily owned, leased, or operated by a Contractor, or with Equipment that is temporarily unavailable to the Contractor.

(3) Submittals - The Contractor shall provide the Engineer with a copy of the rental agreement or purchase order covering the service to be provided. For owner/operator trucking, attach copies of the data required under 00170.65(e). The Contractor shall make certain that the provider of approved services submits payrolls required under Section 00170 and complies with applicable Contract provisions, including without limitation 00170.07. The service provider will not be considered a Subcontractor under the Contract, but will be considered an agent of the Contractor in the performance of Work.

(4) Revocation of Approval - The Engineer may revoke approval for the services provided through rented, operated Equipment at any time the Engineer determines that the work is outside that authorized under 00180.20(c-2). Unless the Contractor promptly submits to the Engineer a subcontract agreement for consent under 00180.21, the service provider shall be immediately removed from the Project Site.

00180.21 Subcontracting:

(a) Substitution of Disclosed Subcontractors - The Contractor may only substitute a previously disclosed first-tier Subcontractor according to the provisions of ORS 279C.585. The Contractor shall provide the Engineer with a written notification that identifies the name of the proposed new Subcontractor and the reason for the substitution. Authorized reasons for substitution are limited to the following circumstances (see ORS 279C.585(1) through ORS 279C.585(10)):

- The disclosed Subcontractor fails or refuses to execute a written contract that is reasonably based either upon the Project Plans and Specifications, or the terms of the Subcontractor's written Bid, after having had a reasonable opportunity to do so;
- The disclosed Subcontractor becomes bankrupt or insolvent;
- The disclosed Subcontractor fails or refuses to perform the contract;
- The disclosed Subcontractor fails or refuses to meet the bond requirements of the prime Contractor that had been identified prior to the Bid submittal;
- The Contractor demonstrates to the Agency that the Subcontractor was disclosed as the result of an inadvertent clerical error;
- The disclosed Subcontractor does not hold a license from the Construction Contractors Board and is required to be licensed by the board;
- The Contractor determines that the Work performed by the disclosed Subcontractor is not in substantial compliance with the Plans and Specifications, or that the Subcontractor is substantially delaying or disrupting the progress of the Work;

- The disclosed Subcontractor is ineligible to work on a public improvement according to the applicable statutory provisions;
- The substitution is for "good cause" as defined by State Construction Contractors Board rule; or
- The substitution is reasonably based on the Contract alternates chosen by the Agency.

(b) Terms of Subcontracts - Subcontracts shall provide that work performed under the subcontract shall be conducted and performed according to the terms of the Contract. Compliance with 00170.07 is required. All subcontracts, including Contractor's with the first-tier Subcontractors and those of the first-tier Subcontractors with their subcontractors, and any other lower tier subcontracts shall contain a clause or condition that if the Contractor or a subcontractor fails, neglects, or refuses to make payment to an Entity furnishing labor or Materials in connection with the Contract, the Entity may file a complaint with the Construction Contractors Board, unless payment is subject to a good-faith dispute as defined in ORS 279C.580. Additionally, according to the provisions of ORS 279C.580, subcontracts shall include:

(1) A payment clause that obligates the Contractor to pay the first-tier Subcontractor for satisfactory performance under the subcontract within 10 Calendar Days out of amounts the Agency pays to the Contractor under the Contract.

(2) A clause that requires the Contractor to provide the first-tier Subcontractor with a standard form that the first-tier Subcontractor may use as an application for payment or as another method by which the Subcontractor may claim a payment due from the Contractor.

(3) A clause that requires the Contractor, except as otherwise provided in this subsection, to use the same form and regular administrative procedures for processing payments during the entire term of the subcontract. The Contractor may change the form or the regular administrative procedures the Contractor uses for processing payments if the Contractor:

- Notifies the Subcontractor in writing at least 45 Calendar days before the date on which the Contractor makes the change; and
- Includes with the written notice a copy of the new or changed form or a description of the new or changed procedure.

(4) An interest penalty clause that obligates the Contractor, if the Contractor does not pay the first-tier Subcontractor within 30 Calendar Days after receiving payment from the Agency, to pay the first-tier Subcontractor an interest penalty on amounts due in each payment the Contractor does not make in accordance with the payment clause included in the subcontract under 00180.21(d-1). The Contractor or first-tier Subcontractor is not obligated to pay an interest penalty if the only reason that the Contractor or first-tier Subcontractor did not make payment when payment was due is that the Contractor or first-tier Subcontractor did not receive payment from the Agency or the Contractor when payment was due. The interest penalty applies to the period that begins on the day after the required payment date and ends on the date on which the amount due is paid; and shall be computed at the rate specified in 00170.10(c).

(5) A clause that requires the Contractor's first-tier Subcontractor to include a payment clause and an interest penalty clause that conform to the standards of ORS 279C.580 (see 00180.21(d-1) and 00180.21(d-4)) in each of the first-tier Subcontractor's subcontracts and to require each of the first-tier Subcontractor's subcontractors to include such clauses in their subcontracts with each lower-tier subcontractor or Material supplier.

These payment clauses shall require the Contractor to return all retainage withheld from the Subcontractor, whether held by the Contractor or the Agency, as specified in 00195.50(d).

As required by ORS 279C.800 through ORS 279C.870, subcontracts shall include:

- A provision requiring the subcontractor to have a public works bond filed with the Construction Contractors Board before starting Work on the Project, unless exempt.
- A provision requiring that the workers shall be paid not less than the specified minimum hourly rate of wage.

(c) Contractor's Responsibilities - The Contractor shall remain solely responsible for administration of the subcontract, including but not limited to:

- Performance of subcontracted Work;
- Progress of subcontracted Work;
- Payments for accepted subcontracted Work; and
- Disputes and claims for additional compensation regarding subcontracted Work.

It shall be the direct responsibility of the Contractor to ensure that each and every subcontractor will not only be issued a complete and current set of Plans and Specifications, but also that these Plans and Specifications are on the project site and in use by the subcontractor when it is performing its portion of the project.

Subcontracted Work shall not create a contract between the Agency and the Subcontractor, will not convey to the Subcontractor any rights against the Agency, and will not relieve the Contractor or the Contractor's Surety of any of their responsibilities under the Contract.

(f) Failure to Comply - Failure to comply with 00180.21 will be cause for the Engineer to take action reasonably necessary to obtain compliance. This action may include, but is not limited to:

- Suspension of the Work;
- Withholding of Contract payments as necessary to protect the Agency; and
- Termination of the Contract.

00180.22 Payments to Subcontractors and Agents of the Contractor - To the extent practicable, the Contractor shall pay in the same units and on the same basis of measurement as listed in the Schedule of Items for subcontracted Work or other Work not done by the Contractor's own organization. In making payment to Subcontractors and to its other agents performing Work and furnishing Materials and Equipment to be incorporated into the Work, the Contractor shall assume all losses resulting from overpayment.

If requested in writing by a first-tier Subcontractor, the Contractor shall send to the Subcontractor, within 10 Calendar Days of receiving the request, a copy of that portion of any invoice or request for payment submitted to the Agency, or pay document provided by the Agency to the Contractor, specifically related to any labor, Equipment, or Materials supplied by the first-tier Subcontractor.

00180.30 Materials, Equipment, and Work Force - The Contractor shall furnish suitable and sufficient Materials, Equipment, and personnel to properly prosecute and complete the Work. The Contractor shall use only Equipment of adequate size and condition to meet the requirements of the Work and Specifications, and to produce a satisfactory quality of Work. Upon receipt of the Engineer's written order, the Contractor shall immediately remove, and not use again on the Project without the Engineer's prior written approval, Equipment that, in the Engineer's opinion, fails to meet Specifications or produce a satisfactory product or result.

The work force shall be trained and experienced for the Work to be performed. Upon receipt of the Engineer's written order, the Contractor shall immediately remove from the Project Site, and shall not employ again on the Project without the Engineer's prior written approval, any supervisor or employee of the Contractor or any subcontractor who, in the Engineer's opinion, does not perform satisfactory Work or whose conduct interferes with the progress of the Work.

If the Contractor fails to remove Equipment or persons as ordered, or fails to furnish suitable and sufficient Materials, Equipment and personnel for the proper prosecution of the Work, the Engineer may suspend the Work by written notice until such orders are complied with and such deficiencies are corrected, or the Engineer may terminate the Contract under the provisions of 00180.90(a).

00180.31 Required Materials, Equipment, and Methods - The Engineer's decisions under this Section are final.

(a) General - When the Equipment and methods to be used are not specified in the Contract, any Equipment or methods that accomplish the Work as required by the Contract will be permitted.

When the Contract specifies certain Equipment or methods, the Contractor shall use the Equipment or methods specified unless otherwise authorized by the Engineer in writing.

(b) Substitution of Materials and Equipment to be Incorporated into the Work - After execution of the Contract, the Engineer may approve substitution of Materials and Equipment to be incorporated into the Work as follows:

(1) Reasons for Substitution - The Engineer will consider substitution only if:

- In the judgement of the Engineer, the proposed Materials or Equipment are equal to or superior to the specified items in construction, efficiency and utility; or
- Due to reasons beyond the control of the Contractor, the specified Materials or Equipment cannot be delivered to the Project in sufficient time to complete the Work in proper sequence.

(2) Submittal of Request - The Contractor shall submit requests for substitution to the Engineer, including manufacturers' brochures and other information needed to verify equality of the proposed item(s).

(c) Substitution of Equipment Specified to Perform Work - The Agency encourages development of new or improved Equipment and innovative use of Equipment. When the Specifications require Equipment of a particular size or type to be used to perform certain portions of the Work, the Contractor may submit a request to the Engineer to use Equipment of a different size or type. The request will not be considered as a cost reduction proposal under 00140.70. The request shall:

- Be in writing and include a full description of the Equipment proposed and its intended use;
- Include the reasons for requesting the substitution; and
- Include evidence, obtained at the Contractor's expense and satisfactory to the Engineer, that the proposed Equipment is capable of functioning as well as or better than the specified Equipment.

The Engineer will consider the Contractor's request and will provide a written response to the Contractor, either permitting or denying use of the proposed Equipment.

Permission may be granted on a trial basis to test the quality of Work actually produced, subject to the following:

- There will be no cost to the Agency, either in Contract Amount or in Contract Time;
- The permission may be withdrawn by the Engineer at any time if, in the Engineer's opinion, the Equipment is not performing in all respects equivalent to the Equipment specified in the Contract;
- If permission is withdrawn, the Contractor shall perform the remaining Work with the originally-specified Equipment; and
- The Contractor shall remove and replace nonspecification Work resulting from the use of the Contractor's proposed Equipment, or otherwise correct it as the Engineer directs, at no additional compensation.

(d) Substitution of Methods - The Agency encourages development of new, improved, and innovative construction methods. When the Plans or Specifications require a certain construction method for a portion of the Work, the Contractor may submit a request for a change by following the provisions of 00140.70, "Cost Reduction Proposals".

00180.32 Alternative Materials, Equipment, and Methods - Whenever the Contract authorizes certain alternative Materials, Equipment, or methods of construction for the Contractor's use to perform portions of the Work, and leaves the selection to the Contractor, the Agency does not guarantee that all listed alternative Materials, Equipment, or methods of construction can be used successfully throughout all or any part of the Work.

The Contractor shall employ only those alternatives that can be used to satisfactorily perform the Work. No additional compensation will be paid for corrective work necessitated by the Contractor's use of an inappropriate alternative.

00180.40 Limitation of Operations:

(a) In General - The Contractor shall comply with all Contract provisions and shall:

- Conduct the Work at all times so as to cause the least interference with traffic, and
- Not begin Work that may allow damage to Work already started.

(b) On-Site Work - The Contractor shall not begin On-Site Work until the Contractor has:

- Received Notice to Proceed;
- Filed with the Construction Contractors Board the public works bond as required in 00170.20;
- An approved Project Work schedule;
- An approved Traffic Control Plan;
- An approved Spill Prevention Control and Countermeasure Plan, if required;
- An approved Pollution Control Plan;
- An approved Erosion and Sediment Control Plan;
- Met with the Engineer at the required preconstruction conference; and

Assembled all Materials, Equipment, and labor on the Project Site, or has reasonably assured that they will arrive on the Project Site, so the Work can proceed according to the Project Work schedule.

00180.41 Project Work Schedules - The Contractor shall submit a Project Work schedule meeting the requirements of this Subsection to the Engineer. The Project Work schedule is intended to identify the sequencing of activities and time required for prosecution of the Work. The schedule is used to plan, coordinate, and control the progress of construction. Therefore, the Project Work schedule shall provide for orderly, timely, and efficient prosecution of the Work, and shall contain sufficient detail to enable both the Contractor and the Engineer to plan, coordinate, analyze, document, and control their respective Contract responsibilities.

The Contractor shall submit a schedule or plan for each activity that is behind schedule showing, in sufficient detail, the proposed corrective action to complete Contract Work within the Contract Time. Sufficient detail shall include all required double shifts, overtime work, or combination of both.

Contractor's activity related to developing, furnishing, monitoring, and updating these required schedules is Incidental.

The Contractor shall submit a supplemental "look ahead" Project Work schedule to the Engineer prior to or at each Progress Meeting. The "look ahead" Project Work schedule is supplemental to the Type A, B, or C schedule specified below. The supplemental "look ahead" Project Work schedule shall:

- Identify the sequencing of activities and time required for prosecution of the Work.
- Provide for orderly, timely, and efficient prosecution of the Work.
- Contain sufficient detail to enable both the Contractor and the Engineer to plan, coordinate, analyze, document, and control their respective Contract responsibilities.

The supplemental "look ahead" Project Work schedule shall be written in common terminology and show the planned Work activities broken down into logical, separate activities by area, stage, and size and include the following information:

- The resources the Contractor, subcontractors, or services will use.
- The locations of each activity that will be done including the limits of the work by mile posts, stations, or other indicators.
- The time frames of each activity by Calendar Days, shifts, and hours.
- All anticipated shoulder, lane, and road closures.

At a minimum, the Contractor shall prepare a bar chart that:

- Shows at least 3 weeks of activity including the week the bar chart is issued.
- Uses a largest time scale unit of 1 Calendar Day. Smaller time scale units may be used if needed.
- Is appropriate to the activities.
- Identifies each Calendar Day by month and day.

Include the Contract name, Contract number, Contractor's name, and date of issue on each page of the bar chart.

The Contractor shall submit the supplemental "look ahead" Project Work schedule starting at First Notification and continuing each week until Second Notification has been issued and all punch list items and final trimming and clean-up has been completed. The Contractor shall meet with the Engineer each week to review the supplemental "look ahead" Project Work schedule. If the Engineer or the Contractor determines that the current supplemental "look ahead" Project

Work schedule requires changes or additions, either notations can be made on the current schedule or the Engineer may require the submittal of a revised supplemental "look ahead" Project Work schedule. Review of the current and subsequent supplemental "look ahead" Project Work schedules does not relieve the Contractor of responsibility for timely and efficient execution of the Contract.

One of the following Type "A", "B", or "C" schedules will be required under the Contract. The type of schedule will be identified in the Special Provisions.

(a) Type "A" Schedule - When a Type "A" schedule is required, the Contractor shall do the following:

(1) Schedule -At the preconstruction conference, the Contractor shall provide to the Engineer four copies of a Project Work schedule, including a time-scaled bar chart and narrative, showing:

- Expected beginning and completion dates of each activity, including all staging; and
- Elements of the Traffic Control Plan as required under 00225.05.

The schedule shall show detailed Work activities as follows:

- Construction activities;
- The time needed for completion of the utility relocation work;
- Submittal and approval of Materials samples and shop drawings;
- Fabrication, installation, and testing of special Materials and Equipment; and
- Duration of Work, including completion times of all stages and their sub phases.

For each activity, the Project Work schedule shall list the following information:

- A description in common terminology;
- The quantity of Work, where appropriate, in common units of measure;
- The activity duration in Calendar Days; and
- Scheduled start, completion, and time frame shown graphically using a time-scaled bar chart.

The schedule shall show the Work broken down into logical, separate activities by area, stage, or size. The duration of each activity shall be verifiable by manpower and Equipment allocation, in common units of measure, or by delivery dates.

The bar chart shall be prepared as follows:

- The length of bar shall represent the number of workdays scheduled.
- The time scale shall be appropriate for the duration of the Contract.
- The time scale shall be in Calendar Days.
- The smallest unit shown shall be 1 Calendar Day.
- The first day and midpoint of each month shall be identified by date.
- Distinct symbols shall be used to denote multiple shift, holiday, and weekend Work.

Each page of the bar chart shall include a title block showing the Contract name and number, Contractor's name, date of original schedule, and all update dates; and a legend containing the symbols used, their definitions, and the time scale, shown graphically. To ensure readability the bar chart shall be drawn on a reasonable size of paper up to a maximum of 36 inch by 36 inch, using multiple sheets when needed.

Within 7 Calendar Days after the preconstruction conference, the Engineer and the Contractor shall meet to review the Project Work schedule as submitted. The Engineer will review the schedule for compliance with all Contract Time limitations and other restraints. Review of this and subsequent schedules by the Engineer shall not relieve the Contractor of responsibility for timely and efficient execution of the Contract. Within 10 Calendar Days of this meeting, the Contractor shall resubmit to the Engineer four copies of the Project Work schedule, including required revisions.

(2) Review by the Engineer - The Project Work schedule may need revision as the Work progresses. Therefore, the Contractor shall periodically review the Project Work schedule and progress of the Work with the Engineer. If the Engineer or the Contractor determines that the Project Work schedule no longer represents the Contractor's own plans or expected time for the Work, a meeting shall be held between the Engineer and the Contractor. At this meeting, the Contractor and the Engineer shall review Project events and any changes for their effect on the Project Work schedule.

The Contractor shall compile an updated Project Work schedule incorporating any changes to the Project completion time(s). The bar chart shall reflect the updated information. The Contractor shall submit four copies of the updated Project Work schedule to the Engineer within 7 Calendar Days after the meeting. The report shall include without limitation the following:

- Sufficient narrative to describe the past progress, anticipated activities, and stage Work;
- A description of any current and expected changes or delaying factors and their effect on the construction schedule; and
- Proposed corrective actions.

(b) Type "B" Schedule - When a Type "B" Schedule is required, the Contractor shall do the following:

(1) Initial Schedule - 5 Calendar Days prior to the preconstruction conference, the Contractor shall provide to the Engineer four copies of a time-scaled bar chart Project Work schedule showing:

- Expected beginning and completion date of each activity, including all staging; and
- Elements of the Traffic Control Plan as required under 00225.05.

The initial schedule shall show all Work intended for the first 60 Days of the Contract to the level of detail described in (2) below, and shall show the priority and interdependence (sequencing and network logic) of all major segments of the remainder of the Work.

(2) Detailed Schedule - In addition to the above requirements, and within 30 Calendar Days after the Notice to Proceed, the Contractor shall provide the Engineer one digital copy and four paper copies of a detailed time-scaled bar chart Project Work schedule indicating the critical course of the Work. The digital copy shall be compatible with MS Project 2003, Primavera P3, SureTrak Project Manager 3.0, or another scheduling program approved by the Engineer.

Detailed work schedule activities shall include the following:

- Construction activities;
- The time needed for completion of the utility relocation work;
- Submittal and approval of Material samples and shop drawings;
- Procurement of critical Materials;
- Fabrication, installation, and testing of special Material and Equipment; and
- Duration of Work, including completion times of all stages and their sub phases.

For each activity, the Project Work schedule shall list the following information:

- A description in common terminology;
- The quantity of Work, where appropriate, in common units of measure;
- The activity duration in normal workdays; and
- Scheduled start, completion, and time frame shown graphically using a time-scaled bar chart.

The schedule shall show the Work broken down into logical, separate activities by area, stage, or size. The duration of each activity shall be verifiable by manpower and Equipment allocation, in common units of measure, or by delivery dates.

The bar chart shall be prepared as follows:

- The length of bar shall represent the number of normal workdays scheduled.
- The time scale shall be appropriate for the duration of the Contract.
- The time scale shall be in normal workdays (every day except Saturday, Sunday, and legal holidays).
- The smallest unit shown shall be 1 Calendar Day.
- The first day and midpoint of each month shall be identified by date.
- Distinct symbols shall be used to denote multiple shift, holiday, and weekend Work.

The bar chart drawing(s) shall include a title block showing the Contract name and number, Contractor's name, date of original schedule, and all update dates; and a legend containing the symbols used, their definitions, and the time scale, shown graphically. To ensure readability the bar chart shall be drawn on a reasonable size of paper up to a maximum of 36 inch x 36 inch, using multiple sheets when needed.

Within 10 Calendar Days after submission of the Project schedule the Engineer and the Contractor shall meet to review the Project schedule as submitted. Within 10 Days of the review meeting, the Contractor shall resubmit to the Engineer one digital and four paper copies of the Project schedule, including required revisions.

The accepted Project schedule shall represent all Work, as well as the planned sequence and time for the Work. Review of this and subsequent schedules by the Engineer shall not relieve the Contractor of responsibility for timely and efficient execution of the Contract.

(3) Review and Reporting - The Project Work schedule may require revision as the Work progresses. Therefore, the Contractor shall monitor and when necessary revise the Project Work schedule as follows:

a. Review with the Engineer - The Contractor shall perform ongoing review of the Project Work schedule and progress of the Work with the Engineer. If the Engineer or the Contractor determines that the Project Work schedule no longer represents the Contractor's own plans or expected time for the Work, a meeting shall be held between the Engineer and the Contractor. At this meeting, the Contractor and the Engineer shall review Project events and any changes for their effect on the Project Work schedule. After any necessary action has been agreed upon, the Contractor shall make required changes to the Project Work schedule.

The Contractor shall collect information on all activities worked on or scheduled to be worked on during the previous report period, including shop drawings, Material procurement, and Contract Change Orders that have been issued. Information shall include commencement and completion dates on activities started or completed, or if still in progress, the remaining time duration.

The Contractor shall develop detailed sub-networks to incorporate changes, Additional Work, and Extra Work into the Project Work schedule. Detailed sub-networks shall include all necessary activities and logic connectors to describe the Work and all restrictions on it. The restraints shall include those activities from the Project Work schedule that initiated the sub-network as well as those restrained by it.

The Contractor shall evaluate this information and compare it with the Contractor's project schedule. If necessary, the Contractor shall make an updated bar chart schedule to incorporate the effect changes may have on the Project completion time(s). For any activity that has started, the Contractor shall add a symbol to show the actual date the activity started and the number of normal workdays remaining until completion. For activities that are finished, a symbol shall be added to show the actual date. The Contractor shall submit one digital and four paper copies of the updated bar chart to the Engineer within 7 Days after the progress meeting, along with a progress report as required by "b." below.

b. Progress Report - The Contractor shall submit a progress report to the Engineer each month. The report shall include the following:

- Sufficient narrative to describe the past progress, anticipated activities, and stage Work;
- A description of any current and expected changes or delaying factors and their effect on the construction schedule; and
- Proposed corrective actions.

(c) Type "C" Schedule - When a Type "C" Schedule is required, the Contractor shall do the following:

(1) Initial Schedule - 10 Calendar Days prior to the preconstruction conference, the Contractor shall provide to the Engineer one digital copy and four paper copies of a time-scaled bar chart Project Work schedule. The digital copy shall be compatible with MS Project 2003, Primavera P3, SureTrak Project Manager 3.0, or another scheduling program approved by the Engineer. The initial schedule shall show:

- The expected beginning and completion date of each activity, including all stages and phases;
- The time needed for completion of the utility relocation work; and
- The elements of the traffic control plan as required under 00225.05.

A logic diagram and a time-scaled bar chart will be acceptable in lieu of a time-scaled logic diagram.

The initial schedule shall show all Work intended for the first 60 Days of the Contract to the level of detail described in (2) below, and shall show the priority and interdependence (sequencing and network logic) of all major segments of the remainder of the Work.

(2) Detailed Project Work Schedule - In addition to the above requirements, and within 30 Calendar Days after First Notification, the Contractor shall provide the Engineer one digital copy and four paper copies of a detailed time-scaled critical path method (CPM) network Project Work schedule and computer analysis printout, both clearly indicating the critical path. The digital copy shall be compatible with MS Project 2003, Primavera P3, SureTrak Project Manager 3.0, or another scheduling program approved by the Engineer. The first submitted detailed time-scaled critical path method (CPM) network Project Work schedule shall also contain a listing of the quantity of Work for each activity, when appropriate, in common units of measure.

Detailed work schedule activities shall include the following:

- Construction activities;
- Any limitations of operation specified in 00180.40;
- The time needed for completion of the utility relocation work;
- Implementation of TCP for each stage and phase;
- Submittal and approval of Material samples, mix designs, and shop drawings;
- Agency timeframes to process and return Contractor submitted plans, working drawings, equipment lists and other submittals;
- Procurement of critical Materials;
- Fabrication, installation, and testing of special Material and Equipment;
- Duration of Work, including completion times of all stages and their sub-phases; and
- Specified cure times for all concrete elements.

The activities shall be separately identifiable by coding or use of sub-networks or both. The duration of each activity shall be verifiable and consistent with the description in the Project narrative required in (3) below.

Detailed sub-networks shall include all necessary activities and logic connectors to describe the Work and all restrictions on it. In the restraints, include those activities from any Project Work schedule that initiated the sub-network as well as those restrained by it.

The time scale used on the Contractor's detailed time-scaled critical path method (CPM) network Project Work schedule shall be appropriate for the duration of the activities and the Project duration. The time scale shall be in normal workdays, defined as every day except Saturday, Sunday and legal holidays, with calendar dates identified no less than the first and midpoint of each calendar month. The smallest unit shown shall be 1 Day. The network shall show the length of the activity or part scaled to accurately represent the number of normal workdays scheduled. Distinct symbols or graphics shall be used to show multiple shift, holiday, or weekend work.

The schedule network drawing(s) shall include a title block showing the Contract name and number, Contractor's name, date of original schedule, and all update dates; and a legend containing the symbols used, their definitions, and the time scale, shown graphically. To ensure readability the drawings shall be on a reasonable size of paper up to a maximum of 36 inch x 36 inch, using multiple sheets when needed.

The Contractor shall include a tabulation of each activity in the computer mathematical analysis of the network diagram. The following information represents the minimum required for each activity:

- Event (node) number(s) for each activity;
- Maintain event (node) numbers throughout the Project;
- Activity description;
- Original duration of activities (in normal workdays);
- Estimated remaining duration of activities (in normal workdays);
- Earliest start date and actual start date (by calendar date);
- Earliest finish date and actual finish date (by calendar date);
- Latest start date (by calendar date);
- Latest finish date (by calendar date); and
- Slack or float time (in workdays).

Computer print-outs shall consist of at least a node sort and an "early start/total-float" sort.

Within 14 Calendar Days after submission of the detailed time-scaled critical path method (CPM) network Project Work schedule, the Engineer and the Contractor shall meet to review the detailed time-scaled critical path method (CPM) network Project Work schedule as submitted. Within 7 Calendar Days of the meeting, the Contractor shall resubmit to the Engineer one digital and four paper copies of the detailed time-scaled critical path method (CPM) network Project Work schedule, including required revisions.

This first accepted detailed time-scaled critical path method (CPM) network Project Work schedule, also called the accepted Project Work schedule, shall represent all Work, as well as the planned sequence and time for the Work. Review and acceptance of any Project Work schedules and Project narratives by the Engineer shall not relieve the Contractor of responsibility for timely and efficient execution of the Contract.

(3) Project Narrative - In addition to the above requirements, and within 30 Calendar Days after First Notification, the Contractor shall provide to the Engineer a final written Project narrative that discusses the planning, coordinating, scheduling and resourcing of the Work. The Project narrative shall include the following written description:

- Plans for staging the project.
- All critical activities.
- All near critical activities defined as those with less than 30 Days of float.
- All subcontractor activities that are critical, near critical, and those that are greater than two weeks in duration.
- Labor resourcing, by stage and phase, to include the number of crews, average crew size and planned night/weekend shifts including that of subcontractors.
- Equipment allocation, by stage and phase to include mobilization, demobilization and planned activities including that of subcontractors.
- Notifications required under the Contract during each stage and phase which may include but is not limited to road closures, lanes closures, night work, cold plane pavement removal, and pile driving.
- Provide discussion on addressing reasonably predictable weather conditions and their impact on all weather sensitive activities. Also, provide discussion on other weather limitations that may affect the project schedule.
- Submittal and approval of material samples, mix designs, and shop drawings.
- Procurement of critical materials.
- Plans for dealing with "unique" construction items.
- Coordination of utilities and any immediate concerns for impacts/delays.
- Constructability issues.
- Cost Reduction Proposals and/or immediate requests for changes to the specifications.
- Concerns/issues that need to be addressed within the first 90 Days following First Notification.

The accepted Project narrative shall represent all critical and near critical Work, as well as the planned sequence and time for the Work.

(4) Review and Reporting - The Project Work schedule may require revision as the Work progresses. Therefore, the Contractor shall monitor and when necessary revise the Project Work schedule as follows:

a. Review with the Engineer - The Contractor shall perform ongoing review of the accepted Project Work schedule and progress of the Work with the Engineer. If the Engineer or the Contractor determines that the accepted Project Work schedule no longer represents the Contractor's own plans or expected time for the Work, a meeting shall be held between the Engineer and the Contractor. At this meeting, the Contractor and the Engineer shall review Project events and any changes for their effect on the accepted Project Work schedule. After any necessary action has been agreed upon, the Contractor shall make required changes to the accepted Project Work schedule and associated Project narrative. Upon acceptance by the Engineer, this will become the new accepted Project Work schedule and associated Project narrative.

The Contractor shall collect information on all activities worked on or scheduled to be worked on during the previous report period, including shop drawings, Material procurement, and Contract Change Orders that have been issued. Information shall include actual start and completion dates on activities started or completed, or if still in progress, the remaining time duration.

The Contractor shall develop detailed sub-networks to incorporate changes, Additional Work, and Extra Work into the Project Work schedule. Detailed sub-networks shall include all necessary activities and logic connectors to describe the Work and all restrictions on it. The restraints shall include those activities from the Project Work schedule that initiated the sub-network as well as those restrained by it. The procedure for acceptance of the revised or updated Project Work schedule as the new accepted Project Work schedule will be as provided above.

The Contractor shall evaluate this information each month and compare it with the accepted Project Work schedule. The Contractor shall make an updated bar chart schedule to incorporate the effect changes may have on the Project completion time(s). For any activity that has started, the Contractor shall add a symbol to show the actual date the activity started and the number of normal workdays remaining until completion. For activities that are finished, a symbol shall be added to show the actual date. The Contractor shall submit, digitally and in paper, copies of the updated bar chart to the Engineer within 7 Days after the progress meeting, along with a progress report as required by "b." below.

b. Progress Report - Each month the Contractor shall submit a progress report and an update of the Project Work schedule to the Engineer. The report and updated schedule shall be submitted both digitally and in paper copy and shall include the following:

- A sufficient description, in narrative form, to describe the past progress, anticipated activities, and stage Work;
- A description of any current and expected changes or delaying factors and their effect on the construction schedule;
- Proposed corrective actions;
- Proposals to keep the Project on schedule in the event of a delay; and
- Any changes to the logic as compared to the accepted Project Work schedule.

(d) Substitution of Schedules - When a Type "A" schedule is required, a Type "B" or Type "C" schedule may be substituted for the Type "A" schedule.

When a Type "B" schedule is required, a Type "C" schedule may be substituted for the Type "B" schedule.

(e) Specified Contract Time Not Superseded by Schedule Revisions - The completion dates in any Project Work schedule and any revised or updated Project Work schedules shall be within the Contract Time(s) specified for the Project, or within adjusted Contract Times approved according to 00180.80(c). Acceptance of any Project Work schedule or any revised or updated Project Work schedules shall not constitute approval of any completion dates that exceed such Contract Time(s). If the Contractor believes that additional Contract Time is due, the Contractor shall submit, with a revised Project Work schedule, a request for adjustment of Contract Time according to 00180.80(c). A request for an adjustment of Contract Time will be evaluated using the most recently accepted Project Work schedule.

(f) Float Time - Float time shown on the Project Work schedule, including any time between a Contractor's scheduled completion date and the specified Contract Time(s), does not exist for the exclusive use of either party to the Contract and belongs to the Project.

(g) Schedules Do Not Constitute Notice - Submittal of a Project Work schedule, with supporting Project narrative, does not constitute or substitute for any notice the Contractor is required under the terms of the Contract to give the Agency.

(h) Failure to Provide Schedule - The Project Work schedule is essential to the Agency. The Contractor's failure to provide the schedule, schedule information, progress reports, Project narratives, or schedule updates when required will be cause to suspend the Work, or to withhold Contract payments as necessary to protect the Agency, until the Contractor provides the required information to the Engineer.

00180.42 Preconstruction Conference - Unless otherwise approved in writing by the Engineer, before any Work is performed and within 7 Calendar Days of the Notice to Proceed, the Contractor shall meet with the Engineer for a preconstruction conference at a time mutually agreed upon.

00180.43 Commencement and Performance of Work - From the time of commencement of the Work to the time of Final Acceptance the Contractor shall:

- Provide adequate Materials, Equipment, labor, and supervision to perform and complete the Work;
- Perform the Work as vigorously and as continuously as conditions permit, and according to a Project Work schedule that ensures completion within the Contract Time or the adjusted Contract Time;
- Not voluntarily suspend or slow down operations without prior written approval from the Engineer; and
- Not resume suspended Work without the Engineer's written authorization.

00180.44 Project Meetings – The Contractor shall participate in conferences and meetings for the purposes of addressing issues related to the Work, reviewing and coordinating progress of the Work and other matters of common interest to the Contractor, Engineer and Agency.

- (a) Meeting Participants** - Representatives of entities participating in meetings shall be qualified and authorized to act on behalf of entity each represents.
- (b)** Meet in Agency's meeting room facility, or in a location otherwise agreed to by Agency and Contractor.
- (c)** Engineer will distribute to each anticipated participant written notice and agenda of each meeting at least 4 days before meeting.
- (d)** Require attendance of Contractor's superintendent and project manager, and subcontractors who are or are proximate to be actively involved in the Work, or who are necessary to agenda.
- (e)** Engineer will invite agencies, utility companies or others when the Work affects their interests, and others necessary to agenda.
- (f)** Engineer will record minutes of meeting and distribute copies of minutes within 7 days of meeting to participants and interested parties.

(g) Progress Meetings

- (1) Purpose of Progress Meetings: To expedite work of subcontractors or other organizations that are not meeting scheduled progress, resolve conflicts, and coordinate and expedite execution of the Work.
- (2) Attend regularly scheduled bi-weekly progress meetings conducted by Engineer.
- (3) Review progress of the Work, Progress Schedule, 3-week look-ahead schedule, narrative report, Application for Payment, record documents, and additional items of current interest that are pertinent to execution of the Work.

- (4) Verify:
- Actual start and finish dates of completed activities since last progress meeting.
 - Durations and progress of activities not completed.
 - Reason, time, and cost data for Change Order Work that will be incorporated into Progress Schedule and Application for Payment.
 - Percentage completion of items on Application for Payment.
 - Reasons for required revisions to Progress Schedule and their effect on Contract Time and Contract Amount.
- (5) Review status of Requests for Clarification/Information and Submittals review.
- (6) Discuss Project safety and security.
- (7) Discuss traffic control.
- (8) Discuss potential problems which may impede scheduled progress and corrective measures.

(h) Coordination Meetings

- (1) Purpose of Coordination Meetings: To coordinate the Work of this Contract with the work of the Agency and with work of other contractors.

(i) Pre-Event Meetings

- (1) Prior to start of critical activities, the Contractor shall schedule a meeting with Engineer review applicable specifications and drawings, coordination of inspection requirements and other key activities.

(j) Pre-Survey Conference

- (1) The Contractor, applicable subcontractors, Contractor's surveyor, Agency and Agency's surveyor shall meet with the Engineer two weeks prior to beginning survey work. The purpose of the meeting is to discuss methods and practices of accomplishing the survey work.

(k) Other Meetings

- (1) The Contractor shall prepare for and attend other meetings as identified elsewhere in the Contract Documents.

00180.50 Contract Time to Complete Work:

(a) General - The time allowed to complete the Work or Pay Item is stipulated in the Solicitation Documents, and will be known as the "Contract Time". (see 00110.20)

(b) Kinds of Contract Time - The Contract Time will be expressed in one or more of the following ways:

- (1) Fixed Date Calculation** - The calendar date on which the Work or Pay Item shall be completed; or
- (2) Calendar Day Calculation** - The number of Calendar Days from a specified beginning point in which the Work or Pay Item shall be completed.
- (3) Work Day Calculation** - The number of Work Days from a specified beginning point in which the Work or Pay item shall be completed.

(c) Beginning of Contract Time - When the Contract Time is stated in Calendar Days, counting of Contract Calendar Days will begin at the date of the Notice to Proceed. When the Contract Time is stated in Work Days, counting of Contract Work Days will begin at the date of the Notice to Proceed.

(d) Recording Contract Time - All Contract Time will be recorded and charged to the nearest one-half Day.

Contract Times may be extended because of delays in the completion of the Work due to abnormal weather conditions provided that the Contractor shall, within 10 days of the beginning of such delay, notify Engineer in writing of the cause of the delay and request an extension of time. Such requests shall be accompanied with supporting documentation referenced to the NOAA INDEX weather in the Project vicinity. Engineer will make recommendations to Agency to extend the Contract Times for completing the Work when, in Engineer's judgement, the findings of facts and extent of delay justify such an extension. Contractor shall not be entitled to any additional compensation of any kind arising out of or relating to abnormal weather conditions.

On Contracts with Calendar Day or Work Day counts, the Engineer will furnish the Contractor a weekly statement of Contract Time charges. The statement will show the number of Calendar Days counted for the preceding week and the number of Calendar Days remaining prior to the established completion date.

For Contracts with fixed completion dates, or fixed completion dates for Pay Items or fixed milestone dates, the Engineer will furnish the Contractor a weekly statement of Contract Time charges only after expiration of the Contract Time. The statement will show the number of Calendar Days of liquidated damages that have been assessed, if any.

These statements will include any exclusions from, or adjustments to, Contract Time.

(e) Exclusions from Contract Time - Regardless of the way Contract Time is expressed in the Contract, certain Calendar Days will not be charged against Contract Time. These exclusions will be allowed when the Contractor is prevented from performing Work due to one of the following reasons, resulting in delay:

- Acts of God or Nature;
- Court orders enjoining prosecution of the Work;
- Strikes, labor disputes or freight embargoes that, despite the Contractor's reasonable efforts to avoid them, cause a shutdown of the entire Project or one or more major operations. "Strike" and "labor dispute" may include union action against the Contractor, a Subcontractor, a Materials supplier, or the Agency; or
- Suspension of the Work by written order of the Engineer for reasons other than the Contractor's failure or neglect.

(f) Time Calculation Protest - In the event the Contractor disputes the accuracy of the statement of Contract Time charges, it shall immediately contact the Engineer and attempt to resolve the dispute. If the dispute cannot be resolved informally, the Contractor shall submit a formal written protest to the Engineer within 7 Calendar Days of the date the Engineer mailed or delivered the statement. Failure to submit a formal written protest within the 7 Calendar Day period constitutes the Contractor's approval of the time charges, or adjusted time charges, itemized in the statement.

(g) End of Contract Time - When the Engineer determines that the On-Site Work has been completed, except for the items listed below, the Engineer will issue a Second Notification.

The Second Notification will list:

- The date the time charges stopped;
- Final trimming and cleanup tasks (see 00140.90);
- Equipment to be removed from the Project Site;
- Minor corrective work not involving additional payment to be completed; and
- Submittals, including without limitation all required certifications, bills, forms, warranties, certificate of insurance coverage (00170.70(b)), and other documents, required to be provided to the Engineer before Third Notification will issue.

The Contractor shall complete all tasks listed in the Second Notification in an expeditious manner within the time frame proposed by the Contractor and accepted by the Engineer. Unless otherwise agreed by the Agency, failure of the Contractor to complete all tasks listed in the Second Notification within the time frame accepted, will result in the Agency rescinding the Second Notification. Counting of time charges will resume upon expiration of the accepted time frame.

00180.60 Notice of Delay - The Contractor shall notify the Engineer of any delay that will likely prevent completion of the Work or a Pay Item by the date specified in the Project Work schedule. The notice shall be in writing and shall be submitted within 7 Calendar Days of when the Contractor knew or should have known of the delay. The notice shall include, to the extent available, the following:

- The reasons or causes for the delay;
- The estimated duration of the delay and the estimated resulting cumulative delay in Contract completion;
- Except for 00180.50(e) and 00180.65 delays, whether or not the Contractor expects to request an adjustment of Contract Time due to the delay;
- Whether or not the Contractor expects to accelerate due to the delay; and
- Whether or not the Contractor expects to request additional compensation due to the delay. Except for 00180.50(e) and 00180.65 delays, failure to include this information will constitute waiver of the Contractor's right to later make such a request.
- If Contractor is delayed and has stopped Contract Item work for less than 60 minutes, neither additional Contract Time nor additional compensation will be considered.

00180.65 Right-of-Way and Access Delays - Right-of-Way and access delays will be taken into consideration in adjusting Contract Time, and in approving additional compensation if the performance of the Work is delayed because of the Agency's failure to make available to the Contractor:

- Necessary Rights-of-Way;
- Agency-owned or Agency-controlled Materials sources that are offered in the Contract for the Contractor's use; or
- Access to, or rights of occupancy of, buildings and other properties the Contractor is required to enter or to disturb according to Contract requirements.

If the ending date of an anticipated delay is stated in the Special Provisions, only the delay occurring after that date will be considered for adjusting Contract Time or providing additional compensation.

00180.70 Suspension of Work:

(a) General - The Engineer has authority to suspend the Work, or part of the Work, for any of the following causes:

- Failure of the Contractor to correct unsafe conditions;
- Failure of the Contractor to carry out any provision of the Contract;
- Failure of the Contractor to carry out orders issued by the Engineer, the Agency, or any regulatory authority;
- Existence of conditions unsuitable to proper or safe performance of the Work; or
- Any reason considered by the Agency to be in the public interest.

When Work has been suspended for any reason, the Contractor shall not resume Work without the Engineer's written authorization.

(b) Contractor's Responsibilities during and after Suspension - During periods of suspension of the Work, the Contractor shall continue to be responsible for protecting and repairing the Work according to 00170.80, and for ensuring that a single designated representative responsible for the Project remains available according to 00150.40(b).

When Work is resumed after suspension, unless otherwise specified in the Contract, the Contractor shall perform the following at no additional compensation:

- Replace or repair any Work, Materials, and Equipment to be incorporated into the Work that was lost or damaged because of the temporary use of the Project Site by the public; and

- Remove Materials, Equipment, and temporary construction necessitated by temporary maintenance during the suspension, as directed by the Engineer.

(c) Compensation and Allowances for Suspension - Compensation and allowance of additional Contract Time due to suspension of any portion of the Work will be authorized only for Agency-initiated suspensions for reasons other than the Contractor's failure or neglect. (refer to 00180.50(e), 00180.65, and 00195.40)

00180.80 Adjustment of Contract Time:

(a) General - Contract Time established for the Work will be subject to adjustment, either by increase or decrease, for causes beyond the control of the Contractor, according to the terms of this Subsection. After adjustment, the Contract Time will become, and be designated as, the "Adjusted Contract Time". Except as provided in 00180.65 and 00195.40, an adjustment of Contract Time shall be the Contractor's only remedy for any delay arising from causes beyond the control of the Contractor.

(b) Contractor's Request Not Required - The Engineer may increase or decrease the Contract Time or the Adjusted Contract Time if Change Orders or Extra Work orders issued actually increase or decrease the amount of time required to perform the Work. The Engineer may also increase Contract Time in the event of Right-of-Way and Access delays (see 00180.65), and those delays due to causes beyond the Contractor's control specified in 00180.50(e). The Engineer will promptly inform the Contractor of adjustments made to Contract Time according to this Subsection, and will include the reasons for adjustment.

If the Agency anticipates delay during performance of the Contract, and specifies its expected duration in the Special Provisions, the Engineer will only consider additional delay beyond the stipulated duration in determining whether to adjust Contract Time.

(c) Contractor's Request Required - In the event the Contractor believes that additional Contract Time is due, the Contractor shall submit to the Engineer a timely request for adjustment of Contract Time. The Engineer will not consider untimely requests. The Agency regards as timely only those requests for adjustment of Contract Time that:

- Accompany a proposed revised Project Work schedule submitted according to 00180.41, for comparison with the last revision of the Project Work schedule; or
- Are not otherwise deemed waived and are submitted within 15 Days after the date of Second Notification, if Second Notification has been issued.

The Engineer will not grant an adjustment of Contract Time for events that occurred prior to the date of the last revision of the Project Work schedule. The Engineer will not authorize, nor the Agency pay, acceleration costs incurred by the Contractor prior to its submittal of a request for adjustment of Contract Time to which the acceleration costs relate.

The Contractor's request for adjustment of Contract Time shall be submitted to the Engineer on a form provided by, or in a format acceptable to, the Engineer, and shall include a copy of the written notice required under 00180.60. The request shall include without limitation:

- Consent of the Contractor's Surety if the request totals more than 30 Calendar Days of additional Contract Time;
- Sufficient detail for the Engineer to evaluate the asserted justification for the amount of additional Contract Time requested;
- The cause of each delay for which additional Contract Time is requested, together with supporting analysis and data;
- Reference to the Contract provision allowing Contract Time adjustment for each cause of delay;
- The actual or expected duration of delay resulting from each cause of delay, expressed in Calendar Days; and
- A schedule analysis based on the current approved Project Work schedule for each cause of delay, indicating which activities are involved and their impact on Contract completion.

(d) Basis for Adjustment of Contract Time - In the adjustment of Contract Time, the Engineer will consider causes that include, but are not limited to:

- Failure of the Agency to submit the Contract and bond forms to the Contractor for execution within the time stated in 00130.50, or to submit the Notice to Proceed within the time stated in 00130.90;
- Errors, changes, or omissions in the Supplemental Drawings, quantities, or Specifications;
- Performance of Extra Work;
- Failure of the Agency or Entities acting for the Agency to act promptly in carrying out Contract duties and obligations;
- Acts or omissions of the Agency or Entities acting for the Agency that result in unreasonable delay referenced in 00195.40;
- Causes cited in 00180.50(e); and
- Right-of-way and access delays referenced in 00180.65.

The Engineer will not consider requests for adjustment of Contract Time based on any of the following:

- Contentions that insufficient Contract Time was originally specified in the Contract;
- Delays that do not affect the specified or Adjusted Contract Time;
- Delays that affect the Contractor's planned early completion, but that do not affect the specified or adjusted Contract Time;
- Shortage or inadequacy of Materials, Equipment or labor;
- Work stoppage required by the Engineer to determine the extent of Work defects
- Time for the Contractor to correct the Work defects from date of notification of the defects until the correction work is completed and has been approved by the Engineer.
- Late delivery of Materials and Equipment to be incorporated into the Work, except under those conditions referenced in 00180.50(e);
- Different area of Material source in 00160.40(a);
- Substitution of Equipment in 00180.31(c);
- Reasonably predictable weather conditions; or
- Other matters within the Contractor's control or Contract responsibility.

(e) Consideration and Response by Agency - The Engineer will only consider a Contractor's request for Contract Time adjustment submitted according to the requirements of 00180.80(c). The Engineer may elect not to consider claimed delays that do not affect the specified or adjusted Contract Time required to complete the Work.

The Engineer may adjust Contract Time for causes not specifically identified by the Contractor in its request.

The Engineer will review a properly submitted request for Contract Time adjustment, and within a reasonable time will advise the Contractor of the Engineer's findings. If the Contractor disagrees with the Engineer's findings, the Contractor may request review according to the procedure specified in 00199.40.

00180.85 Failure to Complete on Time; Liquidated Damages:

(a) Time is of the Essence - Time is of the essence in the Contractor's performance of the Contract. Delays in the Contractor's performance of the Work may inconvenience the traveling public, interfere with business and commerce, and increase cost to the Agency. It is essential and in the public interest that the Contractor prosecute the Work vigorously to Contract completion.

The Agency does not waive any rights under the Contract by permitting the Contractor to continue to perform the Contract, or any part of it, after the Contract Time or adjusted Contract Time has expired.

(b) Liquidated Damages - The Agency will sustain damage if the Work is not completed within the specified Contract Time. However, in certain Agency projects it may be unduly burdensome and difficult to demonstrate the exact dollar value of such damages. The Agency will identify such projects in the Special Provisions related to them.

In these projects, the Contractor agrees to pay to the Agency, not as a penalty but as liquidated damages, the amount specified in the Special Provisions for each Calendar Day the Contractor expends performing the Contract in excess of the Contract Time or adjusted Contract Time.

Payment by the Contractor of liquidated damages does not release the Contractor from its obligation to fully and timely perform the Contract according to its terms. Nor does acceptance of liquidated damages by the Agency constitute a waiver of the Agency's right to collect any additional damages it may sustain by reason of the Contractor's failure to fully perform the Contract according to its terms. The liquidated damages shall constitute payment in full only of damages incurred by the Agency due to the Contractor's failure to complete the Work on time.

If the Contract is terminated according to 00180.90(a), and if the Work has not been completed by other means on or before the expiration of Contract Time or adjusted Contract Time, liquidated damages will be assessed against the Contractor for the duration of time reasonably required to complete the Work.

00180.90 Termination of Contract and Substituted Performance:

(a) Termination for Default - Termination of the Contract for default may result if the Contractor:

- Fails to comply with the requirements for records;
- Violates any material provision of the Contract;
- Disregards applicable laws and regulations or the Engineer's instructions;
- Refuses or fails to supply enough Materials, Equipment or skilled workers for prosecution of the Work in compliance with the Contract;
- Fails to make prompt payment to Subcontractors;
- Makes an unauthorized general assignment for the benefit of the Contractor's creditors;
- Has a receiver appointed because of the Contractor's insolvency;
- Is adjudged bankrupt and the court consents to the Contract termination; or
- Otherwise fails or refuses to faithfully perform the Contract according to its terms and conditions.

If the Contract is terminated by the Agency, upon demand the Contractor and the Contractor's Surety shall provide the Engineer with immediate and peaceful possession of the Project Site, and of all Materials and Equipment to be incorporated into the Work, whether located on and off the Project Site, for which the Contractor received progress payments under 00195.50.

If the Contract is terminated for default, neither the Contractor nor its Surety shall be:

- Relieved of liability for damages or losses suffered by the Agency because of the Contractor's breach of Contract; or
- Entitled to receive any further progress payments until the Work is completed. However, progress payments for completed Work that remain due and owing at the time of Contract termination may be made according to the terms of 00195.50, except that the Engineer will be entitled to withhold sufficient funds to cover costs incurred by the Agency as a result of the termination. Final payment to the Contractor will be made according to the provisions of Section 00195.

If a termination under this provision is determined by a court of competent jurisdiction to be unjustified, the termination shall be deemed a termination for public convenience.

(b) Substituted Performance - According to the Agency's procedures, and upon the Engineer's recommendation that sufficient cause exists, the Agency, without prejudice to any of its other rights or remedies and after giving the Contractor and the Contractor's Surety 10 Calendar Days' written notice, may:

- Terminate the Contract;
- Substitute the Contractor with another Entity to complete the Contract;
- Take possession of the Project Site;
- Take possession of Materials on the Project Site;

- Take possession of Materials not on the Project Site, for which the Contractor received progress payments under 00195.50;
- Take possession of Equipment on the Project Site that is to be incorporated into the Work;
- Take possession of Equipment not on the Project Site that is to be incorporated into the Work, and for which the Contractor received progress payments under 00195.50; and
- Finish the Work by whatever method the Agency deems expedient.

If, within the 10 Calendar Day notice period provided above, the Contractor and/or its Surety corrects the basis for declaration of default to the satisfaction of the Engineer, or if the Contractor's Surety submits a proposal for correction that is acceptable to the Engineer, the Contract will not be terminated.

(c) Termination for Public Convenience - The Engineer may terminate the Contract for convenience in whole or in part whenever the Engineer determines that termination of the Contract is in the best interest of the public.

The Engineer will provide the Contractor and the Contractor's Surety 7 Calendar Days' written notice of termination for public convenience. After such notice, the Contractor and the Contractor's Surety shall provide the Engineer with immediate and peaceful possession of the Project Site, and of Materials and Equipment to be incorporated into the Work, whether located on and off the Project Site, for which the Contractor received progress payments under 00195.50.

If the Contract is terminated for public convenience, neither the Contractor nor its Surety shall be relieved of liability for damages or losses suffered by the Agency as a result of defective, unacceptable or unauthorized Work completed or performed.

Compensation for Work terminated by the Engineer under this provision will be determined according to the provisions of 00195.70(b).

00180.95 Project Closeout

(a) Description of Requirements – Project Closeout is defined to include general requirements near the end of the Contract Time, in preparation for Substantial Completion, Final Completion, final payment, normal termination of Contract, occupancy by Agency and similar actions evidencing completion of the Work. Specific requirements for individual units of Work are specified in various technical specification sections.

(b) Prerequisites To Substantial Completion

(1) Prior to requesting Engineer's inspection for certification of Substantial Completion for the entire work, complete the following and list known exceptions in request:

- In progress payment request, coincide with or first following date claimed, show either 100% completion for portion of work claimed as "substantially complete", or list incomplete items, value of incompleteness, and reasons for being incomplete. Include supporting documentation for completion as indicated in these Contract Documents.
- Submit specific warranties, workmanship/maintenance bonds, maintenance agreements, final certifications and similar documents.
- Obtain and submit releases enabling Agency's full and unrestricted use of the Work and access to services and utilities.
- Deliver tools, spare parts, extra stocks of materials, and similar physical items to Agency.
- Where applicable, make final change-over of locks and transmit keys to Agency and advise Agency's personnel of change-over in security provisions.

- Complete start-up testing of systems, and instructions of Agency's operating/maintenance personnel. Discontinue (or change-over) and remove from Project site temporary facilities and services, along with construction tools and facilities, mock-ups, and similar elements.
 - Touch-up and otherwise repair and restore marred exposed finishes.
- (2) Upon receipt of Contractor's request, Engineer will either proceed with inspection or advise Contractor of prerequisites not fulfilled. Following initial inspection, Engineer will either prepare Certificate of Substantial Completion, or advise Contractor of Work which must be performed prior to issuance of certificate; a repeat inspection will be performed when requested and assured by the Contractor that Work has been substantially completed. Results of completed inspection will form initial "punch-list" requirements for Final Completion. If more than two visits are required to complete the final inspection for Substantial Completion then the Contractor shall pay the Agency for the Engineer's time, for all categories of labor required to complete the inspection for Substantial Completion at the Engineer's standard billing rates at the time of inspection. This time shall include time for travel and time to prepare inspection reports. Contractor shall also pay the Engineer's expenses at cost plus 10% and \$0.55 per mile for travel to and from the site.

(c) Prerequisites To Final Completion

- (1) Prior to requesting Engineer's final inspection for final payment and acceptance, complete the following and list known exceptions (if any) in request:
- Submit final payment request with final releases and supporting documentation which have not previously been submitted and accepted. Include certificates of insurance for products and completed operations where required.
 - Submit updated final statement, accounting for additional (final) changes to Contract Amount.
 - Submit certified copy of Engineer's final punch-list of itemized work to be completed or corrected, stating that each item has been completed or otherwise resolved for acceptance, endorsed and dated by Engineer.
 - Submit final meter readings for utilities, measured record of stored fuel, and similar data as of time of Substantial Completion or when Agency took possession of and responsibility for corresponding elements of the work.
 - Submit consent of surety.
 - Submit final liquidated damages settlement statement, acceptable to Agency.
 - Submit record drawings, maintenance manuals, and similar final record information.
- (2) Re-inspection Procedure: Upon receipt of Contractor's notice that the Work has been completed, including punch-list items resulting from earlier inspections, and accepting incomplete items delayed because of acceptable circumstances, Engineer will re-inspect the work. Upon completion of re-inspection, Engineer will either make recommendation for final payment and acceptance by the Agency or advise Contractor of work not completed or obligations not fulfilled as required for final payment. If necessary, procedure will be repeated. If more than two visits are required to complete the final inspection for final payment then the Contractor shall pay the Agency for the Engineer's time, for all categories of labor required to complete the inspection for final acceptance at the Engineer's standard billing rates at the time of inspection. This time shall include time to travel and time to prepare inspection reports. Contractor shall also pay the Engineer's expenses at cost plus 10% and \$0.55 per mile for travel to and from the site.

(d) Closeout Documents

(1) Submit following Closeout Submittals after receipt of Second Notification and at least seven (7) days prior to Application for Final Payment:

- Evidence of Compliance with Requirements of Governing Authorities.
- Project Record Documents.
- Operation and Maintenance Manuals.
- Warranties and Bonds.
- Keys and Keying Schedule.
- Evidence of Payment and Release of Liens as outlined in Conditions of the Contract.
- City of Warrenton Certificate of Compliance provided at the end of this Section



CERTIFICATE OF COMPLIANCE

City of Warrenton
225 S Main Ave
Warrenton, OR 97146

ATTN: Public Works Director

PROJECT NAME: _____

PROJECT LOCATION: _____

I hereby certify that:

- A. All work on the above referenced contract has been performed and materials supplied in accordance with the plans, specifications and contract documents for the above work;
- B. There have been no substitutions of Subcontractors without prior notification to the City in accordance with ORS279C.585;
- C. Contractor and subcontractors performing work under this contract were registered with the Construction Contractors Board in accordance with ORS 701.035 to 701.138 before commencing work under the contract;
- D. All payments due to all persons supplying labor or material for the performance of the work provided for in this contract have been made;
- E. All contributions or amounts due the Industrial Accident Fund from the contractor or subcontractors incurred in the performance of the contract have been paid;
- F. All sums withheld from employees under ORS 316.167 have been paid to the Department of Revenue.

Authorized Signature _____

Date _____

Section 00190 - Measurement of Pay Quantities

Description

00190.00 Scope - The Engineer will measure pay quantities for accepted Work according to the United States standard measure unless otherwise provided in the Contract. Unless otherwise specified in the Contract, the Engineer will round off all quantity computations using the following convention:

- The final significant digit will not be changed when the succeeding digit is less than 5.
- The final significant digit will be increased by one when the succeeding digit is 5 or greater.

The measurement provisions contained in the Specifications for each Pay Item will supplement or modify the above convention by:

- Imposing measurement limitations
- Describing measurement or computation procedures
- Giving conversion factors or adjustment conditions
- Providing for determination of reasonably accurate and representative Pay Item quantities

Measurements required or allowed to be made by the Contractor will be subject to the Engineer's verification. The Engineer's decision about measurement is final.

00190.10 Measurement Guidelines - Measurement of quantities will be made on the following bases, unless otherwise specified in the Contract:

(a) Unit Basis - Unit will be each, unless otherwise specified in the Contract and will be determined by actual count of units in place.

(b) Length Basis - Length will be feet or mile, unless otherwise specified in the Contract and will be determined by measuring the length at least to the nearest 0.1 foot or at least to the nearest 0.1 mile, as applicable, unless otherwise specified in the Contract. Measurements will be limited to the dimensions shown or specified, or as directed by the Engineer.

(c) Area Basis - Area will be square foot, square yard, or acre, unless otherwise specified in the Contract and will be determined by measuring the width and the length (or height) at least to the nearest 0.1 foot and computed at least to the nearest 0.1 square foot, nearest 0.1 square yard, or nearest 0.1 acre, as applicable, unless otherwise specified in the Contract.

(d) Weight Basis - Weight will be pound or ton, unless otherwise specified in the Contract and will be determined as follows:

(1) Pound - Pound weight will be determined by the net weight identified on the manufacturer's packaged labels, subject to periodic check weighing. Weight by pound will be measured at least to the nearest 1.0 pound unless otherwise specified in the Contract.

Provide a certificate with each shipment together with a certified copy of the weight of each delivery. If the check weight is less than the manufacturer weight by more than 0.4%, the discrepancy will be resolved by the Engineer.

(2) Ton - Ton weight will be determined on Contractor-provided scales as required under 00190.20 unless otherwise allowed by the Specifications. Weight by ton will be measured at least to the nearest 0.01 ton unless otherwise specified in the Contract.

If bituminous materials, portland cement, lime, and similar bulk Materials are shipped by truck or rail, the supplier's shipping invoice with net scale weights, or volumes converted to weights, may be used for Pay Item quantity determination in place of weights determined on the Contractor-provided vehicle scales.

Shipping invoice weights of the supplier's truck or transport shall be subject to periodic check weighing on the Contractor's vehicle scales, or other scales designated, according to 00190.20. If the check weight is less than the supplier weight by more than 0.4%, the discrepancy will be resolved by the Engineer.

No payment will be made:

- For quantities in excess of the supplier weight
- When Materials have been lost, wasted, or otherwise not incorporated into the Work
- For additional hauling costs resulting from the check weighing

(e) Volume Basis - Volume will be cubic yard truck measure or in-place measure, gallons, foot board measure (FBM), or thousand foot board measure (MFBM), unless otherwise specified in the Contract and will be measured at least to the nearest 0.1 cubic yard, nearest 1.0 gallon, nearest 0.1 FBM, or nearest 0.1 MFBM, as applicable, unless otherwise specified in the Contract.

Truck measure will be the measured and calculated maximum "water level" capacity of the vehicle. Quantities will be determined at the point of delivery, with no allowance for settlement of Material during transit. When required to facilitate measurement, the vehicle load shall be leveled at the point of delivery. Payment will not be made for Material in excess of the maximum "water level" capacity. Deductions will be made for loads below the maximum "water level" capacity.

When bituminous materials are measured by volume, the volume will be measured at 60 °F or will be corrected to the volume at 60 °F using the correction factors found in the MFTP (ODOT TM 321).

(f) Time Basis - Time will be hour, Day, or year, unless otherwise specified in the Contract, and will be measured to at least the nearest 0.5 hour, nearest 1.0 Day, or nearest 1.0 year, as applicable, unless otherwise specified in the Contract.

(g) Standard Manufactured Items - If standard manufactured items, such as fence, wire, plates, rolled shapes, pipe, conduit and other similar items are specified in the Contract by properties such as gauge, unit weight, or section dimensions, the manufacturing tolerances established by the industry involved will be accepted unless more stringent tolerances are cited in the Contract.

(h) Lump Sum Basis - Lump sum, when used, means the Work described shall be completed and accepted without measurement unless changes are ordered in writing by the Engineer. If estimated quantities of the Work to be performed are listed in the Special Provisions, they provide only a basis for adjusting payment amounts. Estimated quantities are approximate only, and are made from a reasonable interpretation of the Contract Documents. Computations based on the details and dimensions shown on the Contract Documents are not guaranteed to equal estimated quantities.

If the Agency issues no Change Order, the Agency will make no pay adjustment for quantities based on the Contractor's computations that overrun or underrun the estimated quantities.

If the Agency issues Change Orders for changes in the Work, the Engineer will measure such changes according to the standards set by 00195.20 to determine adjustment of payment.

00190.20 Contractor to Provide Vehicle Weigh Scales:

(a) General - If the Specifications require measurement by weighing on vehicle weigh scales, the Contractor shall provide vehicle weigh scales and shall transport Materials to the scales. Subject to the Engineer's approval, weights may be determined by plant or hopper scales according to 00190.30.

Contractor-provided scales shall be furnished, installed and maintained by the Contractor or its supplier, or, subject to the Engineer's approval, may be commercial scales located in the vicinity of the Project.

Unless otherwise provided in the Contract, Pay Items to be measured by weight shall include all Contractor costs for providing, maintaining, inspecting, and testing scales; for furnishing appropriate weigh tickets; for self-printing scales; and for transporting Materials to the scales or to check weighing.

(b) Requirements - The scales shall conform to ORS 618, or the laws of the state in which they are located, and NIST Handbook 44, and shall be:

- Licensed by the Oregon Department of Agriculture, or by the analogous regulatory body for scales located outside the State;
- Technically suitable for weighing the Materials;
- Properly installed and maintained; and
- Accurate to the required tolerances.

The weight of any Materials weighed by anyone other than the Engineer will be subject to check weighing as the Engineer directs.

(c) Approaches - Vehicle scale approaches shall be:

- At each end of the scale platform;
- Straight and in line with the platform; and
- Long enough to accommodate combination vehicles longer than the scale platform so that they are level and allow release of brakes before weighing.

(d) Inspections - Contractor shall have all scales certified, that is inspected and their accuracy tested, by the Oregon Department of Agriculture, an analogous regulatory body for scales located outside the State, or a scale service company as follows:

- Before use if installed at a new site;
- 60 Calendar Days after initial inspection;
- Every 6 months thereafter; and
- When the Engineer directs additional inspections.

No Materials weighed on scales without current certifications according to this Subsection will be accepted. The Contractor shall provide a copy of all required certifications to the Engineer.

Testing by a scale service company within the State of Oregon shall comply with ORS 618.

If additional inspections directed by the Engineer confirm that the scale accuracy is within the required tolerances, the Agency will pay the cost for inspecting and testing the scales. If the scale accuracy is not within these tolerances, the Contractor shall pay the cost for inspecting and testing the scales.

(e) Inspection Results - If an inspection indicates the scales have been under-weighing (indicating less than the true weight), the Agency will make no additional payment to the Contractor for Materials previously weighed.

If an inspection indicates the scales have been over-weighing (indicating more than the true weight), the weights will be reduced for Materials received after the time the Engineer determines the overweighing began or, if that is not possible, after the last acceptable certification of the scales. The reduction will be the amount of error in excess of the 0.2% maintenance tolerance allowed in the Contract.

(f) Contractor-Provided Weigh Technician - The Contractor shall provide a technician to operate Contractor-provided vehicle weigh scales. The Agency may observe procedures and require check weighing according to the following:

(1) Scale with Automatic Printer - If the scales have an automatic weigh memo printer that does not require manual entry of gross weight information, the Agency may periodically have a representative at the scales to observe the weighing procedures. In addition, the Engineer may periodically check the weight for a load of Materials by directing the haul vehicle to reweigh on a different scale that has been inspected and certified according to 00190.20(b) and 00190.20(d).

If a different scale is not available within a 30 mile round trip from the regular haul route the Agency will allow check weighing on an approved alternate basis. Check weights within 0.4% of the Contractor-provided weight are acceptable.

The Engineer will resolve discrepancies found by check weighing. Agency employee costs will be paid by the Agency. The Contractor shall pay all other costs resulting from the check weighings, including without limitation the use of other scales.

If more than 50 tons per Day of all types of Materials are received from a scale, the Contractor shall make random check weighings at least every tenth Day on which more than 50 tons is received or at each interval that 10,000 tons has been weighed, whichever occurs first, or as directed by the Engineer. The Contractor shall make at least one check weighing on projects where more than 2,000 tons of all types of Materials are received from a scale. The Contractor shall provide the Engineer with the results of the check weighing.

(2) Scale Without Automatic Printer - If the scales require manual entry of gross weight information, the Agency may periodically have a representative weigh witness at the scales to observe the weighing procedures. The Contractor shall inform the Engineer of his intent to use a scale without an automatic printer at least 3 working Days before weighing begins or before the Contractor changes to a scale that does not have an automatic printer. The Contractor shall pay costs for the weigh witness. The hourly cost of the weigh witness will be as stated in the Special Provisions. In addition, the Engineer may periodically check the weight for a load of Materials by directing the haul vehicle to reweigh on a different scale that has been inspected and certified according to 00190.20(b) and 00190.20(d).

If a different scale is not available within a 30 mile round trip from the regular haul route the Agency will allow check weighing on an approved alternate basis. Check weights within 0.4% of the Contractor-provided weight are acceptable.

The Engineer will resolve discrepancies found by check weighing. Agency employee costs for check weighings will be paid by the Agency. The Contractor shall pay all other costs resulting from the check weighings, including without limitation the use of other scales.

If more than 50 tons per Day of all types of Materials are received from a scale, the Contractor shall make random check weighings at least every tenth day on which more than 50 tons is received or at each interval that 10,000 tons has been weighed, whichever occurs first, or as directed by the Engineer. The Contractor shall make at least one check weighing on all projects where materials are received from a scale without an automatic printer. The Contractor shall provide the Engineer with the results of the check weighing.

(3) Duties of Weigh Technician - The Contractor's weigh technician shall:

- Determine twice a Day, or as otherwise directed by the Engineer, the empty haul weights (tare weights) of hauling vehicles, unless vehicles are tared before each load;
- Furnish daily a listing of the tare weights if 10 or more loads are hauled during that Day;
- Furnish a note listing the net weight for each consecutive ten loads with the following load;
- Furnish a daily listing of the net weights and total weight for each type of Material hauled during that Day; and
- Furnish a legible, serially numbered weigh memo for each load of Materials to the Agency's Materials receiver at the point of delivery, or as directed by the Engineer. The memo shall identify the Project, the Materials, the date, net weight (gross and tare as appropriate), and identification of vehicle, driver and weigh technician.

(g) Agency-Provided Weigh Technician - If the Contractor provides vehicle weigh scales without a weigh technician meeting the requirements of this Subsection, the Agency will provide a weigh technician at the Contractor's expense. The Contractor shall provide a weighhouse for the weigh technician according to Section 00205. The Agency's weigh technician will:

- Determine tare weights;
- Prepare weigh memos for each load;
- Compile the weigh records; and
- Not participate in the production of Materials or the loading of haul vehicles.

00190.30 Plant Scales - The Contractor, with the Engineer's written approval, may weigh plant-mixed Materials on scales that have either:

- An automatic weight batching and mixing control printer system; or
- A weigh hopper printer system.

Any additional costs resulting from the use of these scales shall be borne by the Contractor. Check weighing will be done according to 00190.20(f).

Except for 00190.20(c) regarding approaches, the Contractor's use of plant scales shall comply with all provisions of 00190.20.

The Engineer's approval for the Contractor's use of plant scales to determine pay weights will be rescinded if check weighing or scale inspections indicate the scales do not consistently determine weights within the tolerances allowed by state law.

Section 00195 - Payment

Description

00195.00 Scope and Limit:

(a) General - The Agency will pay only for measured Pay Item quantities incorporated into the Work or performed according to the terms of the Contract. The Contractor understands and agrees that Pay Item quantities listed in the Schedule of Items do not govern payment.

Payment constitutes full compensation to the Contractor for furnishing all Materials, Equipment, labor, and Incidentals necessary to complete the Work; and for risk, loss, damage, and expense arising from the nature or prosecution of the Work or from the action of the elements, subject to the provisions of 00170.80. The Contractor shall include the costs of bonds and insurance for the Project in the unit price for each Pay Item of Work to be performed.

(b) Essential or Incidental Materials or Work - When the Specifications state that the unit price for a Pay Item is compensation for certain Materials or Work essential or Incidental to the Pay Item, the same Materials or Work will not be measured or paid under any other Pay Item.

Provisions and Requirements

00195.10 Payment For Changes in Materials Costs - On certain projects, as identified in the Special Provisions, an escalation/de-escalation clause with respect to certain materials will be in effect during the life of the Contract.

00195.13 Asphalt Cement Material Price Escalation/De-Escalation Clause - Subsections 00195.13, 00195.13(a), 00195.13(b), 00195.13(c), and 00195.13(d) contain the price escalation/de-escalation clause relating to asphalt cement materials (as defined in 00195.13(d)).

(a) Monthly Asphalt Cement Material Price (MACMP) - The Monthly Asphalt Cement Material Price (MACMP) will be established by ODOT each month. For information regarding the calculation of the MACMP, and for the actual MACMP, go to the ODOT website at:

http://www.oregon.gov/ODOT/HWY/ESTIMATING/asphalt_fuel.shtm

If the ODOT selected index ceases to be available for any reason, the Agency in its discretion will select and begin using a substitute price source or index to establish the MACMP each month. The MACMP will apply to all asphalt cement including but not limited to paving grade, polymer modified, and emulsified asphalts, and recycling agents. The Agency does not guarantee that asphalt cement will be available at the MACMP

(b) Base Asphalt Cement Material Price (Base) - The Base price for this Project is the MACMP published on the ODOT website for the month immediately preceding the bid opening date.

(c) Monthly Asphalt Cement Adjustment Factor - The Monthly Asphalt Cement Adjustment Factor will be determined each month as follows:

- If the MACMP is within $\pm 5\%$ of the Base, there will be no adjustment.
- If the MACMP is more than 105% of the Base, then:

$$\text{Adjustment Factor (\%)} = ((\text{MACMP})/(\text{Base})) \times (100) - 5$$

- If the MACMP is less than 95% of the Base, then:

$$\text{Adjustment Factor (\%)} = ((\text{MACMP})/(\text{Base})) \times (100) + 5$$

(d) Asphalt Cement Price Adjustment - If specified in the Special Provisions, an asphalt cement escalation/de-escalation clause will be in effect during the life of the Contract. A price adjustment will be made for each pay item in the bid schedule containing asphalt cement. The price adjustment as calculated in 00195.13(c)

above will use the MACMP for the month the asphalt is incorporated into the Project. The price adjustment per a ton of HMAC incorporated that month will be the difference between the cost submitted by the Contractor in the bid schedule for "Asphalt Cement in HMAC Per Ton of HMAC Complete" and the adjusted cost found by multiplying the "Asphalt Cement in HMAC Per Ton Of HMAC Complete" by the Adjustment Factor. The Agency reserves all of its rights under the Contract, including, but not limited to, its rights for suspension of the Work under 00180.70 and its rights for termination of the Contract under 00180.90, and this escalation/de-escalation provision shall not limit those rights.

00195.20 Changes to Plans or Character of Work:

(a) Insignificant Changed Work - If the changes made under 00140.30 do not significantly change the character or unit cost of the Work to be performed under the Contract, the Agency will pay for such work at the Pay Item price.

If the Work involved in the change is measured on a lump sum basis and its character is not significantly changed, payment for the Changed Work will be determined:

- As described in the applicable Section of the Specifications;
- If not described there, on a theoretical unit price determined by dividing the Contractor's lump sum price by the estimated quantity of the Pay Item listed in the Special Provisions; or
- If neither of the above apply, the Engineer will make an equitable adjustment.

(b) Significant Changed Work - If the changes made under 00140.30 significantly alter the character, quantity, unit cost, or lump sum cost of the Work, the Agency will adjust the Contract. The Contractor shall not be entitled to compensation for any loss in profits resulting from elimination of, reduction of, or other change to, a part of the Work.

Any such adjustments may be less than, but will not be more than the amount justified by the Engineer on the basis of the established procedures set out in Section 00197 for determining rates for Extra Work, but those procedures shall account for the decrease or elimination of Work as well as for increases in the Work. This does not limit the application of Section 00199.

The term "Significant Changed Work" shall apply only to that circumstance in which the character of the Work, as changed, differs materially in kind, nature, or unit cost from that involved or included in the originally proposed construction.

For purposes of this Section, "Significant" is defined as:

- a) An increase or decrease of more than 25 percent of the total cost of the Work calculated from the original proposal quantities and the unit contract prices; or,
- b) An increase or decrease of more than 25 percent in the quantity of any one major contract item.

For condition b) above, a major item is defined as any item that amounts to 10 percent or more of the original total contract price.

00195.30 Differing Site Conditions - Upon written notification, as required in 00140.40, the Engineer will investigate the identified conditions. If the Engineer determines that the conditions are differing Project Site conditions under 00140.40 and cause an increase or decrease in the cost or time required to perform any Work under the Contract, an adjustment in the Contract Amount or Contract Time, excluding loss of anticipated profits, will be made, and the Contract modified accordingly, in writing. The Engineer will notify the Contractor as to whether or not an adjustment of the Contract is warranted.

No Contract adjustment which benefits the Contractor will be allowed unless the Contractor has provided the required written notice. Any such adjustments will be made according to 00195.20.

00195.40 Unreasonable Delay by the Agency - If the Contractor believes that performance of all or any portion of the Work is suspended, delayed, or interrupted for an unreasonable period of time in excess of that originally anticipated or customary in the construction industry, due to acts or omissions of the Agency, or persons acting for the Agency, and that additional compensation, Contract Time, or both, are due the Contractor because of the suspension, delay or interruption, the Contractor shall immediately file a written notice of delay according to 00180.60. The Contractor shall

then promptly submit a properly supported request for any additional compensation, Contract Time, or both, according to the applicable provisions in 00180.60 through 00180.80 and Section 00199.

The Engineer will promptly evaluate a properly submitted request for additional compensation. If the Engineer determines that the delay was unreasonable, and that the cost required for the Contractor to perform the Contract has increased as a result of the unreasonable suspension, delay or interruption, the Engineer will make an equitable adjustment, excluding profit, and modify the Contract in writing accordingly. The Engineer will notify the Contractor of the determination and whether an adjustment to the Contract is warranted.

Under this provision, no Contract adjustment will be allowed:

- Unless the Contractor has provided the written notice required by 00180.60;
- For costs incurred more than 10 Calendar Days before the Engineer receives the Contractor's properly submitted written request;
- For any portion of a delay that the Engineer deems to be a reasonable delay, or for which an adjustment is provided for or excluded under other terms of the Contract; or
- To the extent that performance would nevertheless have been suspended, delayed or interrupted by causes other than those described in this Subsection.

00195.50 Progress Payments and Retained Amounts:

- (a) Progress Payments** - The Agency's payment of progress payments, or determination of satisfactory completion of Pay Items or Work or release of retainage under 00195.50(d), shall not be construed as Final Acceptance or approval of any part of the Work, and shall not relieve the Contractor of responsibility for defective Materials or workmanship or for latent defects and warranty obligations.

The estimates upon which progress payments are based are not represented to be accurate estimates. All estimated quantities are subject to correction in the final estimate. If the Contractor uses these estimates as a basis for making payments to Subcontractors, the Contractor assumes all risk and bears any losses that result.

- (1) Progress Estimates** - At the same time each month, the Engineer will make an estimate of the amount and value of Pay Item Work completed. The amount of Work completed will be the sum of the estimated number of units completed for unit price Pay Items plus the estimated percentage completed of lump sum Pay Items.

The estimated value of the Work completed will then be determined by using the Contract unit price for unit price Pay Items, and by using one of the following methods to determine the value of the lump sum Pay Items:

- The "theoretical unit price", when the Special Provisions contain an estimated number of units;
- A Contractor-submitted, Engineer-approved Schedule of Values, when there is no theoretical unit price available; or
- Engineer's determination, when there is neither an available theoretical unit price, nor an approved, Contractor-submitted Schedule of Values.

The amounts to be allowed for lump sum Pay Items in progress payments will not exceed the reasonable value of the Work performed, as determined by the Engineer.

Incidentals such as formwork, falsework, shoring, and cribbing shall be included in the unit prices for the various Pay Items requiring their use, unless specified as a separate Pay Item. No payment will be made for Pay Items that include Incidentals until units or portions of such Pay Item Work are in place and completed. The costs of Incidentals will be paid in proportion to the percentage of Pay Item Work completed.

- (2) Value of Materials on Hand** - The Engineer will also make an estimate of the amount and value of acceptable Materials on hand, i.e., already delivered and stored according to 00195.60(a), to be incorporated into the Work.

- (3) Value of Work Accomplished** - The sum of the values in (1) and (2) above will be collectively referred to in this Subsection as the "value of Work accomplished", subject to (4) below.

- (4) Limitations on Value of Work Accomplished** - In determining the "value of Work accomplished", the Engineer's estimate will be based on the unit prices for the various Pay Items. Any amounts not included in

progress payments due to substantial mathematical unbalancing of Pay Item prices will be included in the final payment issued according to 00195.90(b).

(5) Reductions to Progress Payments - With each progress payment, the Contractor will receive a Contract payment voucher and summary setting forth the value of Work accomplished reduced by the following:

- Amounts previously paid;
- Amounts deductible or owed to the Agency for any cause specified in the Contract;
- Additional amounts retained to protect the Agency's interests according to Subsection (e) below.

(b) Retainage - The amount to be retained from progress payments will be 5% of the value of Work accomplished, and will be retained in one of the forms specified in Subsection (c) below.

As provided in 00170.65(a) additional retainage of 25% of amounts earned will be withheld and released according to ORS 279C.845 when the Contractor fails to file the certified statements required in ORS 279C.845, FHWA Form 1273, and 00170.65.

(c) Forms of Retainage - Moneys retained by the Agency under ORS 279C.570(7) shall be retained in a fund by the Agency and paid to the Contractor in accordance with ORS 279C.570. Upon written request from the Contractor, other forms of acceptable retainage are specified below in Subsections (1) and (2). "Cash, Alternate A" is the Agency-preferred form of retainage. If the Agency incurs additional costs as a result of the Contractor's election to use a form of retainage other than Cash, Alternate A, the Agency may recover such costs from the Contractor by a reduction of the final payment.

(1) Cash, Alternate A - Retainage will be deducted from progress payments and held by the Agency until final payment is made according to 00195.90, unless otherwise specified in the Contract.

The Agency will deposit the cash retainage withheld in an interest-bearing account in a bank, trust company, or savings association for the benefit of the Agency, as provided by ORS 279C.560(5). Interest earned on the account shall accrue to the Contractor. Amounts retained and interest earned will be included in the final payment made according to 00195.90.

Any retainage withheld on Work performed by a Subcontractor will be released to the Contractor according to 00195.50(d).

2) Bonds, Securities, and Other Instruments - In accordance with ORS 279C.560, unless the Agency finds in writing that accepting a bond, security or other instrument poses an extraordinary risk that is not typically associated with the bond, security or other instrument, the Agency will approve the Contractor's written request to deposit bonds, securities or other instruments with the Agency or in a custodial account or other account satisfactory to the Agency with an approved bank or trust company, to be held instead of cash retainage for the benefit of the Agency. In such event, the Agency will reduce the cash retainage by an amount equal to the value of the bonds, securities and other instruments. Interest or earnings on the bonds, securities and other instruments shall accrue to the Contractor.

Bonds, securities and other instruments deposited instead of cash retainage shall be assigned to or made payable to the Agency and shall be of a kind approved by the Director of the Oregon Department of Administrative Services, including but not limited to:

- Bills, certificates, notes or bonds of the United States;
- Other obligations of the United States or agencies of the United States;
- Obligations of a corporation wholly owned by the federal government;
- Indebtedness of the Federal National Mortgage Association;
- General obligation bonds of the State of Oregon or a political subdivision of the State of Oregon;
- Irrevocable letters of credit issued by an insured institution, as defined in ORS 706.008.

The Contractor shall execute and provide such documentation and instructions respecting the bonds, securities and other instruments as the Agency may require to protect its interests. When the Engineer determines that all requirements for the protection of the Agency's interest have been fulfilled, the bonds and securities deposited instead of cash retainage will be released to the Contractor.

(d) Reduction of Retainage - As the Work progresses, the amounts to be retained under (b) of this Subsection are subject to reduction in the Engineer's sole discretion. Retainage reductions will be considered only as follows:

- When the Work is 97.5% or more completed, the Engineer may, without application by the Contractor, reduce the retained amount to 100% of the value of the Work remaining.
- For a project funded by the FHWA, when a subcontractor has satisfactorily completed all of its Work, it may request release of retainage for that Work from the Contractor. The Contractor shall request reduction of retainage in the amount withheld for the subcontractor's Work after certifying to the Agency that the subcontractor's Work is complete, and that all contractual requirements pertaining to the subcontractor's Work have been satisfied. Within 60 Calendar Days of the end of the month in which the Agency receives the Contractor's certification regarding the subcontractor's Work, the Agency will either notify the Contractor of any deficiencies which require completion before release of retainage, or verify that the subcontractor's Work complies with the Contract and release all retainage for that Work with the next scheduled progress payment. Within 10 Calendar Days of receipt of retainage, the Contractor shall pay to the subcontractor all such retainage released except for latent defects or warranty.
- The Agency will only release retainage for satisfactorily completed portions of the Work represented by Pay Items in the Schedule of Items, or by Pay Items added by Change Order. Work not represented by a Pay Item, but which constitutes part of an uncompleted Pay Item, will not be regarded as satisfactorily completed Work for the purposes of this Subsection.

If retainage has been reduced or eliminated, the Agency reserves the right to protect its interests by retaining amounts from further progress payments at the rates provided in 00195.50(b).

(e) Withholding Payments - In addition to any other rights the Agency may have to withhold payments under other provisions of the Contract, the Engineer may withhold such amounts from progress payments or final payment as may reasonably protect the Agency's interests until the Contractor has:

- Complied with all orders issued by the Engineer according to the Specifications; and
- Satisfied all legal actions filed against the Agency, the Agency's governing body and its members, and Agency employees that the Contractor is obliged to defend. (see 00170.72)

Notwithstanding ORS 279C.555 or ORS 279C.570 or 00195.50(d), if a Contractor is required to file statements on the prevailing rate of wages, but fails to do so, the Agency will retain 25% of any amount earned as required in 00170.65.

(f) Prompt Payment Policy - Payments shall be made promptly according to ORS 279C.570.

00195.60 Advance Allowance for Materials on Hand:

(a) General - If the total value of Materials on hand is at least \$1,000 or the total value of a single class of Materials on hand is at least \$500, the Engineer may authorize an advance allowance for the Materials in the progress payments. The Agency will not make advance allowances on the Materials unless the following three conditions are satisfied:

(1) Request for Advance Allowance - If Materials on hand meet the requirement of (2) below, an advance allowance will be made if:

- A written request for advance allowance for Materials on hand has been received by the Engineer at least 5 Calendar Days before the pay period cutoff date; and
- The request is accompanied by written consent of the Contractor's Surety, if required by the Agency.

(2) Stored or Stockpiled Conditions - The Materials shall have been delivered and/or acceptably stored or stockpiled according to the Specifications and as follows:

- At the Project Site;
- On Agency-owned property;
- On property in the State of Oregon on which the property owner has authorized storage in writing. The written authorization must allow the Agency to enter upon the property and remove Materials for at least

6 months after completion of the Project. The Contractor shall furnish a copy of the written permission to the Agency; or

- On property outside the State of Oregon on which the property owner has authorized storage in writing, provided that such storage location is allowed by the Special Provisions or authorized in writing by the Engineer. The permit must allow the Agency to enter upon the property and remove Materials for at least 6 months after completion of the Project. The Contractor shall furnish a copy of the written permission to the Agency.

To be eligible for advance allowance, the Materials shall:

- Meet Specification requirements;
- Have the required Materials conformance and quality compliance documents on file with the Engineer (see Section 00165);
- Be in a form ready for incorporation into the Work; and
- Be clearly marked and identified as being specifically fabricated, or produced, and reserved for use on the Project.

(3) Responsibility for Protection - The Contractor has full control and responsibility for the protection of Materials on hand from the elements and against damage, loss, theft, or other impairment until the entire Project has been completed and accepted by the Agency.

If Materials are damaged, lost, stolen, or otherwise impaired while stored, the monetary value advanced for them, if any, will be deducted from the next progress payment.

If these conditions in 00195.60(a-1) through 00195.60(a-3) have been satisfied, the amount of advance allowance, less the retainage described in 00195.50, will be determined by one of the following methods as elected by the Engineer:

- Net cost to the Contractor of the Materials, f.o.b. the Project Site or other approved site; or
- Price (or portion of it attributable to the Materials), less the cost of incorporating the Materials into the Project, as estimated by the Engineer.

(b) Proof of Payment - The Contractor shall provide the Engineer with proof of payment to the Materials suppliers for purchased Materials within 30 Calendar Days of the date of the progress payment that includes the advance allowance.

If proof of payment is not provided, sums advanced will be deducted from future progress payments, and the Engineer will not approve further prepayment advance allowance requests.

(c) Terminated Contract - If the Contract is terminated, the Contractor shall provide the Agency immediate possession of all Materials for which advance allowances have been received, as provided above. If, for any reason, immediate possession of the Materials cannot be provided, the Contractor shall immediately refund to the Agency the total amount advanced for the Materials. The Agency may deduct any amount not so refunded from final payment.

00195.70 Payment under Terminated Contract - Payment for Work performed under a Contract that is terminated according to the provisions of 00180.90 will be determined under (a) or (b) of this Subsection.

(a) Termination for Default - Upon termination of the Contract for the Contractor's default, the Agency will make no further payment until the Project has been completed. The Agency will make progress payments to the party to whom the Contract is assigned, but may withhold an amount sufficient to cover anticipated Agency costs, as determined by the Engineer, to complete the Project.

Upon completion of the Project, the Engineer will determine the total amount that the defaulting Contractor would have been entitled to receive for the Work, under the terms of the Contract, had the Contractor completed the Work (the "cost of the Work").

If the cost of the Work, less the sum of all amounts previously paid to the Contractor, exceeds the expense incurred by the Agency in completing the Work, including without limitation expense for additional managerial and

administrative services, the Agency will pay the excess to the Contractor, subject to the consent of the Contractor's Surety.

If the expense incurred by the Agency in completing the Work exceeds the Contract Amount, the Contractor or the Contractor's Surety shall pay to the Agency the amount of the excess expense.

The Engineer will determine the expense incurred by the Agency and the total amount of Agency damage resulting from the Contractor's default. That determination will be final as provided in 00150.00.

If a termination for default is determined by a court of competent jurisdiction to be unjustified, it shall be deemed a termination for public convenience, and payment to the Contractor will be made as provided in Subsection (b) below.

(b) Termination for Public Convenience:

(1) General - Full or partial termination of the Contract shall not relieve the Contractor of responsibility for completed or performed Work, or relieve the Contractor's Surety of the obligation for any just claims arising from the completed or performed Work.

(2) Mobilization - If mobilization is not a separate Pay Item, and payment is not otherwise provided for under the Contract, the Agency may pay the Contractor for mobilization expenses, including moving Equipment to and from the Project Site. If allowed, payment of mobilization expenses will be based on cost documentation submitted by the Contractor to the Engineer.

(3) All Other Work - The Agency shall pay the Contractor at the unit price for the number of Pay Item units of completed, accepted Work. For units of Pay Items partially completed, payment will be as mutually agreed, or, if not agreed, as the Engineer determines to be fair and equitable. No claim for loss of anticipated profits will be allowed. The Agency will purchase Materials left on hand according to 00195.80.

00195.80 Allowance for Materials Left on Hand:

(a) Purchase of Unused Materials - If Materials are delivered to the Project Site, or otherwise acceptably stored at the order of the Engineer, but not incorporated into the Work due to complete or partial elimination of Pay Items, changes in Plans, or termination of the Contract for public convenience according to 00180.90, and it is not commercially feasible for the Contractor to return them for credit or otherwise dispose of them on the open market; the Agency will purchase them according to the formula and conditions specified in Subsection (b) below.

(b) Purchase Formula and Conditions:

(1) Formula - The Agency will apply the following formula in determining the Contractor's allowance for Materials left on hand:

Contractor's Actual Cost, plus 5% Overhead Allowance, minus Advance Allowances under 00195.60, but no markup or profit.

(2) Conditions - The Agency will not purchase the Contractor's Materials left on hand unless the Contractor satisfies the following conditions:

- Requests the Agency's purchase of unused Materials;
- Shows acquisition of the Materials according to 00160.10;
- Shows that the Materials meet Specifications;
- Provides receipts, bills and other records of actual cost of Materials delivered to the designated delivery points; and
- Demonstrates to the satisfaction of the Engineer that the materials cannot be returned for credit or otherwise disposed of on the open market.

00195.90 Final Payment:

(a) Final Estimate - As soon as practicable after Final Inspection of the Project, as provided in 00150.90, the Engineer will prepare a final estimate of the quantities of the Pay Items completed. With this estimate of quantities as a base, the total amount due the Contractor will be determined according to the terms of the Contract including without limitation any amounts due for Extra Work performed.

(b) Final Payment - The amount of final payment will be the difference between the total amount due the Contractor and the sum of all payments previously made. All prior partial estimates and payments shall be subject to correction in the final estimate and payment.

After computation of the final amount due, and after the Engineer's issuance of Third Notification, final payment will be mailed to the Contractor's last known address as shown in the records of the Agency.

(c) No Waiver of Right to Make Adjustment - The fact that the Agency has made any measurement, estimate, determination or certification either before or after completion of the Project, Final Acceptance, Agency assumption of possession of the Project Site, determination of satisfactory completion of Pay Items or Work or release of retainage under 00195.50(d) or payment for any part of the Work, shall not prevent either party from:

- Showing the true amount and character of the Work;
- Showing that any measurement, estimate, determination or certification is incorrect;
- Recovering from the other party damages that may have been suffered because the other party failed to comply with the Contract.

00195.95 Error in Final Quantities and Amounts:

(a) Request for Correction of Compensation - If the Contractor believes the quantities and amounts detailed in the final Contract payment voucher, prepared by the Engineer according to 00195.90, to be incorrect, the Contractor shall submit an itemized statement to the Engineer detailing all proposed corrections.

This statement must be submitted to the Engineer within 90 Calendar Days from the date the voucher was mailed to the Contractor, according to 00195.90(b). Any request for compensation not submitted and supported by an itemized statement within the 90 Calendar Day period will not be paid by the Agency. This does not limit the application of Section 00199.

(b) Acceptance or Rejection of Request:

(1) Consideration of Request - The Engineer will consider and investigate the Contractor's request for correction of compensation submitted according to 00195.95(a), and will promptly advise the Contractor of acceptance or rejection of the request in full or in part.

(2) Acceptance of Request - If the Engineer accepts the Contractor's request(s) in full or in part, the Engineer will prepare a post-final Contract payment voucher, including all accepted corrections, and will forward it to the Contractor.

(3) Rejection of Request - If the Engineer rejects the request(s) in full, the Engineer will issue a written notice of rejection and mail it to the Contractor.

(4) Contractor Objection to Revised Voucher or Notice of Rejection - If the Contractor disagrees with the revised voucher or notice of rejection, the Contractor may seek review and resolution according to the procedure specified in 00199.40. If the Contractor fails to submit a request for 00199.40 review within 30 Calendar Days after the Engineer mails a post-final Contract payment voucher or notice of rejection, the Contractor waives all rights to a claim based on errors in quantities and amounts.

Section 00196 - Payment for Extra Work

Description

00196.00 General - Only work not included in the Contract as awarded but deemed by the Engineer to be necessary to complete the Project (see 00140.60) will be paid as Extra Work. Regardless of alterations and changes, any item of Work provided for in the Contract will not constitute Extra Work. Payment for alterations and changes to Work will be made according to 00195.20.

Compensation for Extra Work will be paid only for Work authorized in writing by the Engineer and performed as specified. Work performed before issuance of the Engineer's written authorization shall be at the Contractor's risk. Extra Work will be paid as determined by the Engineer, according to 00196.10 and 00196.20.

Provisions and Requirements

00196.10 Negotiated Price - If the Engineer can reasonably determine a price estimate for Extra Work, the Engineer may then give written authorization to the Contractor to begin the Extra Work. As soon as practicable, but within 10 Calendar Days after that authorization, the Contractor shall respond in writing to the Engineer's Extra Work price estimate by submitting to the Engineer an Extra Work price quote. The price quote shall detail the following items related to the Extra Work:

- Types and amounts of Materials
- Hours of Equipment use and hours of labor
- Travel
- Overhead and profit
- Other costs associated with the proposed Extra Work

Pending approval of the price quote, the Engineer will maintain force account records of the Extra Work. As soon as practicable, but within 10 Calendar Days of receipt of a properly supported price quote, the Engineer will review the price quote and advise the Contractor if it is accepted or rejected. The Engineer will not accept a price quote that cannot be justified on a Force Account basis. If the Contractor's price is accepted, the Engineer will issue a Change Order, and the Extra Work will be paid at the accepted price.

00196.20 Force Account - If the Engineer and the Contractor cannot agree on a price for the Extra Work, the Engineer may issue a Force Account Work order requiring the Extra Work to be paid as Force Account Work. Force Account Work records and payment will be made according to Section 00197.

Section 00197 - Payment for Force Account Work

00197.00 Scope - The Materials, Equipment and labor rates and procedures established in this Section apply only to Extra Work ordered by the Engineer to be performed as Force Account Work.

00197.01 General - Before ordering Force Account Work, the Engineer will discuss the proposed work with the Contractor, and will seek the Contractor's comments and advice concerning the formulation of Force Account Work specifications. The Engineer is not bound by the Contractor's comments and advice, and has final authority to:

- Determine and direct the Materials, Equipment and Labor to be used on the approved Force Account Work; and
- Determine the time of the Contractor's performance of the ordered Force Account Work.

Force account work performed by subcontractors will be measured and paid for on the same basis and in the same manner as force account work performed directly by the Contractor.

If the Engineer orders the performance of Extra Work as Force Account Work, the Engineer will record, on a daily basis, the Materials, Equipment and Labor used for the Force Account Work during that day. Engineer and the Contractor shall sign the record daily to indicate agreement on the Materials, Equipment and Labor used for the Force Account Work performed on that day.

The following shall be reflected on the daily record:

- Materials used in the Force Account Work as directed by the Engineer, except those furnished and paid under rental rates for use of Equipment;
- Equipment which the Engineer considers necessary to perform the Force Account Work. Equipment hours will be recorded to the nearest quarter hour;
- Labor costs, including that of Equipment operators and supervisors in direct charge of the specific operations while engaged in the Force Account Work; and
- The Engineer's and Contractor's signatures confirming its accuracy.

00197.10 Materials:

(a) General - The Contractor will be paid for Materials actually used in the Force Account Work as directed by the Engineer, except for those furnished and paid for under rental rates included with the use of Equipment. Payments will be at actual cost, including transportation costs to the specified location, from the supplier to the purchaser, whether the purchaser is the Contractor, a Subcontractor, or other forces. All costs are subject to the provisions of this Subsection.

(b) Trade Discount - If a commercial trade discount is offered or available to the purchaser, it shall be credited to the Agency, even though the discount may not have actually been taken. The Agency will not take any discounts for prompt or early payment, whether or not offered or taken.

(c) Not Directly Purchased From Supplier - If Materials cannot be obtained by direct purchase from and direct billing by the supplier, the cost shall be considered to be the price billed to the purchaser less commercial trade discounts, as determined by the Engineer, but not more than the purchaser paid for the Materials. No markup other than actual handling costs will be permitted.

(d) Purchaser-Owned Source - If Materials are obtained from a supply or source wholly or partly owned by the purchaser, the cost shall not exceed the price paid by the purchaser for similar Materials furnished from that source on Pay Items, or the current wholesale price for the Materials delivered to the Project Site, whichever is lower.

00197.20 Equipment:

(a) General - Equipment approved by the Engineer to perform the Force Account Work will be eligible for payment at the established rates only during the hours it is operated or on standby if so ordered by the Engineer. Equipment hours will be recorded on the daily record to the nearest quarter hour.

Except as modified by these provisions, Equipment use approved by the Engineer will be paid at the rental rates given in the most current edition of the Rental Rate Blue Books for Construction Equipment ("Blue Book"), Volumes 1, 2, and 3, published by Penton Media, Inc., and available from EquipmentWatch (phone 1-800-669-3282).

(b) Equipment Description - On the billing form for Equipment costs, the Contractor shall submit to the Engineer sufficient information for each piece of Equipment and its attachments to enable the Engineer to determine the proper rental rate from the Blue Book.

(c) Rental Rates (without Operator):

(1) Rental Rate Formula - Rental rates for Equipment will be paid on an hourly basis for Equipment and for attachments according to the following formula:

$$\text{Hourly Rate} = \frac{\text{Monthly Base Rate} \times \text{Rate Adjustment Factor}}{176 \text{ hours/month}} + \text{Hourly Operating Rate}$$

Some attachments are considered "standard Equipment" and are already included in the monthly base rate for the Equipment. That information can be obtained from EquipmentWatch.

(2) Monthly Base Rate - The monthly base rate used above for the machinery and for attachments represents the major costs of Equipment ownership, such as depreciation, interest, taxes, insurance, storage, and major repairs.

(3) Rate Adjustment Factor - The rate adjustment factor used above will be determined as per page iii of each section of the Blue Book.

(4) Hourly Operating Rate - The hourly operating rate used above for the machinery and for attachments represents the major costs of Equipment operations, such as fuel and oil, lubrications, field repairs, tires or ground engaging components, and expendable parts.

(5) Limitations - The Blue Book "Regional Adjustment Factor" shall not apply.

If multiple attachments are included with the rental Equipment, and are not considered "standard Equipment", only the attachment having the higher rental rate will be eligible for payment, provided the attachment has been approved by the Engineer as necessary to the Force Account Work.

Rental will not be allowed for small tools that have a daily rental rate of less than \$5, or for unlisted Equipment that has a fair market value of \$400 or less.

The above rates apply to approved Equipment in good working condition. Equipment not in good working condition, or larger than required to efficiently perform the work, may be rejected by the Engineer or accepted and paid for at reduced rates.

(d) Moving Equipment - If it is necessary to transport Equipment located beyond the Project Site exclusively for Force Account Work, the actual cost to transport the Equipment to, and return it from, its On-Site Work location will be allowed as an additional item of expense. However, the return cost will not exceed the original delivery cost. These costs will not be allowed for Equipment that is brought to the Project Site for Force Account Work if the Equipment is also used on Pay Item or related Work.

If transportation of such Equipment is by common carrier, payment will be made in the amount paid for the freight. No markups will be allowed on common carrier transportation costs. If the Equipment is hauled with the Contractor's own forces, transportation costs will include the rental rate of the hauling unit and the hauling unit operator's wage. If Equipment is transferred under its own power, the rental rate allowed for transportation time will be 75% of the appropriate hourly rate for the Equipment, without attachments, plus the Equipment operator's wage.

(e) Standby Time - If ordered by the Engineer, standby time will be paid at 40% of the hourly rental rate calculated according to this Subsection, excluding the hourly operating rate. Rates for standby time that are calculated at less

than \$1 per hour will not be paid. Payment will be limited to not more than 8 hours in a 24-hour period or 40 hours in a 1 week period.

(f) Blue Book Omissions - If a rental rate has not been established in the Blue Book, the Contractor may:

- If approved by the Engineer, use the rate of the most similar model found in the Blue Book, considering such characteristics as manufacturer, capacity, horsepower, age and fuel type;
- Request EquipmentWatch to furnish a written response for a rental rate on the Equipment, which shall be presented to the Engineer for approval; or
- Request that the Engineer establish a rental rate.

(g) Outside Rental Equipment - If Contractor-owned or Subcontractor-owned Equipment is not available, and Equipment is rented from outside sources, payment will be based on the actual paid invoice. Approval of the Engineer to rent from outside sources must be obtained prior to renting the equipment.

If the invoice specifies that rental rate does not include fuel, lubricants, field repairs, and servicing, an amount equal to the Blue Book hourly operating cost may be added for those items that were excluded.

The Agency may reduce the payment when the invoice amount plus allowance is higher than the amount authorized under (c) through (f) of this Subsection.

The provisions of 00180.20(c) apply to owner-operated Equipment.

00197.30 Labor - The Contractor will be paid for all labor engaged directly on Force Account Work, including Equipment operators and supervisors in direct charge of the specific force account operations, as follows:

(a) Wages - The actual wages paid to laborers and supervisors, if those wages are paid at rates not more than those for comparable labor currently employed on the Project, or at the recognized, current, prevailing rates in the locality of the Project.

(b) Required Contributions - The actual cost of industrial accident insurance, unemployment compensation contributions, payroll transit district taxes, and social security for old age assistance contributions incurred or required under statutory law and these Specifications. The actual cost of industrial accident insurance is the National Council on Compensation Insurance (NCCI) rate for the assigned risk pool for the appropriate work class multiplied by the experience modification factor for the Contractor.

(c) Required Benefits - The actual amount paid to, or on behalf of, workers as per diem and travel allowances, health and welfare benefits, pension fund benefits, or other benefits when such other benefits are required by a collective bargaining agreement or other employment contract generally applicable to the classes of labor employed on the Project.

No overtime will be compensated unless authorized in advance of performing the work by the Engineer.

00197.80 Percentage Allowances - To the Contractor's actual costs incurred, as limited in this Section 00197, amounts equal to a percentage markup of such costs will be allowed and paid to the Contractor as follows:

Subsection	Percent
00197.10 Materials	17
00197.20 Equipment	17
00197.30 Labor	22

When a Subcontractor performs ordered Force Account Work, the Contractor will be allowed a supplemental markup of 8% on each Force Account Work order.

These allowances made to the Contractor will constitute complete compensation for bonds, insurance, overhead, general and administrative expense, profit, and all other Force Account Work costs that were incurred by the Contractor, or by other forces that the Contractor furnished. No other reimbursement, compensation, or payment will be made.

00197.90 Billings - Billings for Force Account Work by the Contractor shall be submitted for the Engineer's approval on forms provided by the Agency or approved by the Engineer. Billings for Materials (other than Incidental items out of the inventory of the Contractor or Subcontractors), rental Equipment from sources other than the Contractor or Subcontractors, and Special Services, shall be accompanied by copies of invoices for the goods and services. The invoices shall be fully itemized showing dates, quantities, unit prices, and complete descriptions of goods and services provided. Invoices for amounts of \$10 or less per invoice are not required, unless requested by the Engineer.

Costs included on the billings shall comply with 00197.01(a) and 00197.10 through 00197.40.

When a billing for Force Account Work has been paid at the Project level, no further corrections will be made because of further review if those corrections amount to less than \$10.

Section 00199 - Disagreements, Protests, and Claims

Description

00199.00 General - This Section details the process through which the parties agree to resolve any disagreement concerning additional compensation or concerning a combination of additional compensation and Contract Time. (See 00180.80 for disagreements and claims concerning additional Contract Time only, and 00195.95 for disagreements and claims concerning correction of final compensation.) The Agency will not consider direct disagreements, protests, or claims from subcontractors, Suppliers, or any other Entity not a party to the Contract.

Provisions and Requirements

00199.10 Procedure for Resolving Disagreements - When disagreements occur concerning additional compensation or a combination of additional compensation and Contract Time, the Contractor shall first pursue resolution through the Engineer of all issues in the dispute, including without limitation the items to be included in the written notice in 00199.20. If the discussion fails to provide satisfactory resolution of the disagreement, the Contractor shall follow the protest procedures outlined in 00199.20. If the Engineer denies all or part of the Contractor's protest, and the Contractor desires to further pursue the issues, the Contractor shall submit a claim for processing according to 00199.30.

00199.15 Inappropriate Protest or Claim - It shall be presumed that the Contractor submits a protest or claim for additional compensation in good faith, based upon facts which reasonably support the Contractor's position and with full knowledge and understanding of the injury done to the Agency when notice of differing Project Site conditions or claims for additional compensation are not submitted in a timely manner as required under the Contract. Accordingly, the submission of a protest or claim without the concurrent submission of evidence that reasonably supports the protest or claim, or the submission of a protest or claim in an untimely manner will constitute a waiver of the protest or claim.

00199.20 Protest Procedure - If the Contractor disagrees with anything required in a Change Order or other written or oral order from the Engineer, including any direction, instruction, interpretation, or determination, or if the Contractor asserts a disagreement or dispute on any other basis, except 0195.95, that, in the Contractor's opinion, entitles or would entitle the Contractor to additional compensation or a combination of compensation and Contract Time, the Contractor shall do all of the following in order to pursue a protest and preserve its claim:

(a) Oral Notice - Give oral notice of protest to the Engineer and outline the areas of disagreement before starting or continuing the protested Work.

(b) Written Confirmation of Oral Notice – Not later than the end of the next business day following the day that oral notice of protest is given, deliver written documentation to the Engineer of the oral notice that includes the notice of protest and the areas of disagreement.

(c) Written Notice - File a proper written notice of protest with the Engineer within 7 Calendar Days after receiving the protested order. In the notice the Contractor shall:

- Describe the acts or omissions of the Agency or its agents that allegedly caused or may cause damage to the Contractor or to the Project, citing specific facts, persons, dates and Work involved;
- Describe the Contractor's proposed alternative to the Work ordered, if any, which will avoid damage to Contractor or to the Project;
- Describe the nature of the damages;
- Cite the specific Contract provision(s), if any, that support the protest;
- Include the estimated dollar cost, if any, of the protested Work, and furnish a list of estimated Materials, Equipment and labor for which the Contractor might request additional compensation; and
- If additional compensation is estimated to be due, include the estimated amount of additional time required, if any.

FAILURE TO COMPLY WITH THIS NOTICE REQUIREMENT RENDERS THE NOTICE IMPROPER AND SHALL CONSTITUTE A WAIVER OF ANY CLAIM FOR ADDITIONAL COMPENSATION OR A COMBINATION OF ADDITIONAL COMPENSATION AND CONTRACT TIME FOR ANY PART OF THE PROTESTED WORK.

(d) Engineer's Record and Response – The Engineer will file a copy of each written notice of protest in the Project records and will issue a written response to the protest within seven (7) work days of receipt of a timely filed written notice of protest. The Engineer has no responsibility to evaluate the protest unless the Contractor has timely filed a proper notice submitting all of the above information.

(e) Final Documentation of Claim - Within 60 Calendar Days following completion of the protested work, Contractor shall provide the Engineer with complete documentation of protested work, listing exact materials, equipment and labor used for the work and the dollar amount requested for each. If the claim is accepted, no additional compensation will be awarded based on documentation submitted after this deadline. If the claim is denied or if the Contractor is not satisfied with the decision by the Engineer, the amount claimed by the Contractor in any subsequent Step or proceeding may not exceed the dollar amount requested under this subsection.

(f) Records - Keep complete records of all costs and time incurred throughout the protested Work, and allow the Engineer access to those and other supporting records. Provide daily records of protested Work, on a weekly basis, on a schedule to be set by agreement with the Engineer.

(g) Comparison of Records - Provide the Engineer adequate facilities for keeping cost and time records of the protested Work. The Contractor and the Engineer will compare records and either bring them into agreement at the end of each day, or record and attempt to explain any differences.

(h) Work to Proceed - In spite of any protest, proceed promptly with the Work ordered by the Engineer.

(i) Evaluation of Protest - The Engineer has no responsibility for evaluating a protest that is not timely filed, or for which adequate supporting documentation has not been made available to the Engineer. Provided the procedures above are followed, the Engineer will promptly evaluate all protests, after the Contractor has fully complied with the requirements described in 00199.20(c), Written Notice. If the protest is denied, the Engineer will notify the Contractor in writing of the reasons for full or partial denial. If a protest is found to be valid, the Engineer will, within a reasonable time, make an equitable adjustment of the Contract. Adjustment of time will be evaluated according to 00180.80.

The Engineer has no responsibility for evaluating and may reject a protest that does not comply with 00199.20(b). If the protest is rejected, the Engineer will notify the Contractor in writing of the reasons for rejection.

(j) Protest Evaluation by Third Party Neutral - If the Engineer agrees that the Contractor has fully complied with the requirements described in 00199.20(b), and if the Engineer fully or partially denies, in writing, the Contractor's protest according to 00199.20(f), the Contractor may request that a mutually selected Third Party Neutral review the protest. Procedures for selecting, using, and paying for the cost of the Third Party Neutral will be specified by Change Order.

If the Contractor does not accept the Engineer's evaluation of the protest, or either the Contractor or Engineer disagrees with the resolution recommended by the Third Party Neutral, the Contractor may pursue a claim as described in 00199.30.

00199.30 Claims Procedure:

(a) General - If the Contractor believes that additional compensation is due, or a combination of additional compensation and Contract Time, and has pursued and exhausted all the procedures provided in 00199.10 and 00199.20 to resolve a disagreement and protest, the Contractor may file a claim.

The Agency's Contract is with the Contractor. There is no contractual relationship between the Agency and any subcontractors, Suppliers or any Entity other than the Contractor. It is the Contractor's responsibility to fully evaluate any claim before presenting it to the Agency. In addition, when a claim includes Work done or costs incurred by any subcontractors, Suppliers, or any Entity other than the Contractor, the Contractor remains solely responsible for presenting the claim to the Agency.

Claims that include Work done or costs incurred by subcontractors, Suppliers, or any Entity other than the Contractor will not be considered by the Agency unless the Contractor has:

- Completed and provided its own written evaluation of the claim;
- Verified by its own independent review and evaluation of the amount of compensation sought; and
- Certified the claim in accordance with 00199.30(b) (Part 10).

(b) Claims Requirements - At any time during the progress of the Work, but not later than 45 Calendar Days following the date of the Second Notification, the Contractor shall submit to the Engineer in writing, claims for additional compensation or a combination of additional compensation and Contract Time additional to that specified in the Contract. For a claim not submitted within the 45 day limit, that has not met the requirements of 00199.20, or is not filed as provided in 00199.30, the Contractor waives any claim for additional compensation or for additional compensation and Contract Time, and the Agency may reject the claim.

Written claims to the Engineer or the Agency by the Contractor shall be delivered to the Agency address shown in the Special Provisions, unless a different address is agreed to by the Engineer, and shall be delivered:

- By U.S. Postal Service first class mail or priority mail (which at the sender's option may include certified or registered mail return receipt requested); or
- By overnight delivery service of a private industry courier.

Claims will be considered as having been received by the Agency:

- At the time of actual receipt or 7 Calendar Days after the postmarked date when deposited for delivery by first class or priority mail, whichever is earlier; or
- At the time of actual receipt or 3 Calendar Days after deposit with a private industry courier for overnight delivery service, whichever is earlier.

The Agency reserves the right at any time and at any step in the claim decision or review process to request additional information, records or documentation related to the claim or the Contract either directly or through agents working toward resolution of the disputed or claimed events and issues.

Claims shall be made in writing, and shall include all information, records and documentation necessary for the Agency to properly and completely evaluate the claim.

To be considered, claims for additional compensation, or for additional compensation and Contract Time, shall be completed according to 00199.30 and shall be submitted with the required information and in the format below and labeled as required below for each claimed issue:

(Part 1) Summary (label page 1.1 through page 1.X) - In the summary, include a detailed, factual statement of the claim for additional compensation and Contract Time, if any, with necessary dates and locations of Work involved in the claim and the dates of when the event arose. Also include detailed facts supporting the Contractor's position relative to the Engineer's decision (see 00199.20(f));

(Part 2) Proof of notice (label page 2.1 through page 2.X) - Submit a copy of the written notice, with all attachments, that was given to the Agency. Include the date when that written notice and the date when oral notice was given:

(Part 3) Copies of the Contract Specifications that support the Contractor's claim (label page 3.1 through page 3.X);

(Part 4) Theory of entitlement supporting the claim (label page 4.1 through page 4.X) - Include a narrative of how or why the specific Contract Specifications support the claim and a statement of the reasons why such Specifications support the claim;

(Part 5) Itemized list of claimed amounts (label page 5.1 through page 5.X) - Claimed damages that resulted from the event with a narrative of the theories and records and documents used to arrive at the value of the damages;

(Part 6) Additional Contract Time requests (label page 6.1 through page 6.X) - If the claim is for a combination of additional compensation and Contract Time, submit a copy of the schedule that was in effect when the event occurred and a detailed narrative which explains how the event impacted Contract Time. In addition, if an Agency-caused delay is claimed:

- Include the specific days and dates under claim;
- Provide detailed facts about the specific acts or omissions of the Agency that allegedly caused the delay, and the specific reasons why the resulting delay was unreasonable; and

- Provide a schedule evaluation that accurately describes the impacts of the claimed delay.
- Also see 00180.80 for additional requirements regarding claims for Contract Time and causes that are eligible and ineligible for consideration;

(Part 7) Copies of actual expense records (label page 7.1 through page 7.X) - Include documents that contain the detailed records and which support and total to the exact amount of additional compensation sought. Include the information and calculations necessary to support that amount. That amount may be calculated on the basis of Section 00197, if applicable, or may be calculated using direct and indirect costs presented in the following categories:

- Direct Materials;
- Direct Equipment. The rate claimed for each piece of Equipment shall not exceed the actual cost. In the absence of actual Equipment costs, the Equipment rates shall not exceed 75 percent of those calculated under the provisions of 00197.20. For each piece of Equipment, the Contractor shall include a detailed description of the Equipment and attachments, specific days and dates of use or standby, and specific hours of use or standby;
- Direct labor;
- Job overhead;
- General and administrative overhead; and
- Other categories as specified by the Contractor or the Agency;

(Part 8) Supporting records and documents (label page 8.1 through page 8.X) - Include copies of, or excerpts from the following:

- Any documents that support the claim, such as manuals standard to the industry and used by the Contractor; and
- Any daily reports or diaries related to the event, photographs or media that help explain the issue or event (optional), or all other information the Contractor chooses to provide (optional);

(Part 9) Certification (label page 9.1 through 9.X) - A certified statement, signed by a person authorized to execute Change Orders, by the Contractor, subcontractor, Supplier, or Entity, originating the claim, as to the validity of facts and costs with the following certification:

Under penalty of law for perjury or falsification, the undersigned, (Name), (Title), (Company) certifies that this claim for additional compensation for Work on the Contract is a true statement of the actual costs incurred (in the amount of \$_____, exclusive of interest) and is fully documented and supported under the Contract between the parties.

Signature: _____

Date: _____, 20__

Subscribed and sworn before me this ____ day of _____, 20__

Notary Public

My commission expires _____.

(Part 10) Contractor evaluation of a lower tier claim (label page 10.1 through 10.X) - If the claim includes Work done or costs incurred by any subcontractors, Suppliers, or any Entity other than the Contractor, the following are required:

- Data required by the other Subsections of 00199.30(b);
- Copies of the Contractor's, subcontractor's, Supplier's and Entity's, at all tiers above the level of which the claim originates, separate evaluation of entitlement;
- Copies of the Contractor's, subcontractor's, Supplier's and Entity's, at all tiers above the level of which the claim originates, independent verification and evaluation of the amount of damages sought; and

- A person authorized to execute Change Orders on behalf of the Contractor, subcontractor, Supplier and Entity, at all tiers above the level of which the claim originates, must sign a statement with the following certification:

Under penalty of law for perjury or falsification, the undersigned, (Name) (Title), (Company) certifies that this claim originating from the subcontractor, Supplier or Entity (Company) for additional compensation for Work on the Contract is a reasonable statement, independently verified, of the costs incurred (in the amount of \$_____, exclusive of interest) and is fully documented and supported under the Contract between the parties.

Signature: _____

Date: _____, 20__

Subscribed and sworn before me this ____ day of _____, 20__

Notary Public

My commission expires _____.

If the Engineer determines that additional information, records or documentation is needed to allow proper evaluation of the claim submittal, the Engineer will request the information, records or documentation. The Contractor shall submit to the Engineer within 14 Calendar Days, or as otherwise agreed by the parties, the required additional information, records and documentation.

If the Engineer determines that the claim submittal with the additional information, records and documentation submitted is incomplete and not accepted as a claim, the Engineer will notify the Contractor in writing and the submittal will be rejected and will not be considered under 00199.40.

(c) Records Requirements - The Contractor shall comply with 00170.07.

(d) Compliance Required - Full compliance by the Contractor with the provisions of this Section is a condition precedent to the commencement of any lawsuit by the Contractor to enforce any claim.

00199.40 Claim Decision; Review; Exhaustion of Administrative Remedies - The Agency intends to resolve all claims at the lowest possible administrative level. The Engineer will also determine whether multiple claims should be advanced separately or together.

If the Engineer denies the claim for additional compensation or a combination of additional compensation and Contract Time, in full or in part, according to 00199.40(a), the Contractor may request review of the denial. The disputed claim for additional compensation or a combination of additional compensation and Contract Time may then be resolved, in full or in part, at any of the progressive steps of claim review procedure as specified in (b) through (c) of this Subsection.

If the Engineer has denied a claim, in full or in part, for Contract Time only according to 00180.80, or has denied a claim, in full or in part, for correction of final compensation according to 00195.95, those disputed claims may then be resolved, in full or in part, at either of the two progressive steps of claim review procedure as specified in (b) through (c) of this Subsection.

A person authorized by the Contractor to execute Change Orders on behalf of the Contractor must be present and attend all claim hearings. For all claims, all of the actions and review under each step of the review process shall occur before the review can be advanced to the next higher step.

If, at any step in the claim decision or review process, the Contractor fails to promptly submit requested information or documentation that the Agency deems necessary to analyze the claim, the Contractor is deemed to have waived its right to further review, and the claim will not be considered properly filed and preserved.

(a) Decision by the Engineer - The Engineer will, as soon as practicable, consider, investigate, and evaluate a Contractor's claim for additional compensation, or for a combination of additional compensation and Contract Time, if submitted as required by 00199.30.

Once the Engineer determines the Agency is in receipt of a properly submitted claim, the Engineer will arrange a meeting, within 21 Calendar Days or as otherwise agreed by the parties, with the Contractor in order to present the claim for formal review and discussion.

If the Engineer determines that the Contractor must furnish additional information, records or documentation to allow proper evaluation of the claim, the Engineer will schedule a second meeting, to be held within 14 Calendar Days or as otherwise agreed by the parties, at which the Contractor shall present the requested information, records and documentation.

The Engineer will provide a written decision to the Contractor within 30 Calendar Days of the last Engineer-level meeting.

If the Contractor does not accept the Engineer's decision, the Contractor may, within 10 Calendar Days of receipt of the written decision, request in writing that the Engineer arrange a review at Step 1 (see (b) below).

(b) Step 1: Public Works Director Level Review - The Contractor shall request that the Engineer arrange a meeting with the Public Works Director or the Public Works Director's designee, as determined by the Public Works Director, in order to present the denied or partially denied claim for formal review and discussion. The meeting will take place within 21 Calendar Days of the Agency's receipt of the request, or as otherwise agreed by the parties.

If the Public Works Director (or designee) determines that the Contractor must furnish additional information, records or documentation to allow proper evaluation of the claim, the Public Works Director (or designee) will schedule a second meeting, to be held within 14 Calendar Days, or as otherwise agreed by the parties, at which the Contractor shall present the requested information, records and documentation.

The Public Works Director (or designee) will provide a written decision to the Contractor within 30 Calendar Days of the last meeting with the Public Works Director (or designee).

The claim is subject to 00199.60, if not all of the records requested by the Public Works Director (or designee) were furnished. If applicable, advancement of the claim is subject to the provisions of 00199.60 regarding waiver and dismissal of the claim or portions of the claim.

If the Contractor does not accept the decision, the Contractor may, within 180 Calendar Days from the date of receipt of the Public Works Director (or designee) written decision or within 90 Calendar Days of the date of Second Notification, whichever is later, initiate Step 2 as set forth in subsection (c) below.

(c) Step 2: Arbitration and Litigation - The Contractor must follow each step in order, and exhaust all available administrative remedies before resort to arbitration and litigation. Litigation of a claim that cannot be resolved in Step 1 shall be initiated by filing a complaint in the Circuit Court for the State of Oregon in the county where the Agency's main office is located that contains a stipulation to arbitration under ORS 36.410. The claim and all cross and counter-claims filed in response to the complaint shall be submitted to the Court Arbitration Program set forth in ORS 36.400 to 36.425, Chapter 13 of the Oregon Uniform Trial Court Rules and the Circuit Court supplemental local rules concerning arbitration. Either party may seek, and shall be entitled to, an order directing the other party to submit to arbitration as provided herein and to judgment for its costs, expenses and attorney fees in obtaining and enforcing the order.

In no event shall this Subsection be construed as a waiver by the Agency or by the State of Oregon on any form of defense or immunity, whether sovereign immunity, governmental immunity, immunity based on the Eleventh Amendment to the Constitution of the United States or otherwise, from any claim or from the jurisdiction of any court.

(d) Payment of Costs, Expenses and Attorney's Fees - The prevailing party shall be entitled to an award for reasonable costs and expenses incurred after the initiation of Step 2, including costs and expenses incurred for arbitration, trial de novo and on appeal. Costs and Expenses shall include, but shall not be limited to, reasonable attorney fees and expenses, arbitrator fees and expenses, and costs of discovery.

As used in this subsection 00199.40(d), "prevailing party" for an arbitration award means (1) a Contractor who has received an arbitration award, exclusive of interest, costs and expenses, that is more than the dollar amount claimed by the Contractor in its Final Documentation of Claim under 00199.20(d) or (2) the Agency if there is no arbitration award to the Contractor or if the arbitration award to the Contractor, exclusive of interest, costs and expenses, is less than the dollar amount of the award in the Step 1 decision. For all other arbitration awards, there shall not be a "prevailing party."

The award of costs and expenses after trial de novo shall be made as provided for in ORS 36.425. The award of costs and expenses after appeal from a judgment entered after trial de novo shall be to the prevailing party designated as such by the appeals court.

The Contractor shall comply with 00170.00.

00199.50 Mediation - Notwithstanding the formal claims procedure specified above, the parties may enter into nonbinding mediation by mutual agreement at any time, in which case the parties may also agree to suspend the time requirements in Section 00199 pending the outcome of the mediation process. The rules, time and place for mediation, as well as selection of the mediator, shall be established by mutual agreement. Costs shall be divided equally between the Contractor and the Agency. Either party may terminate mediation at any time upon 5 Calendar Days notice to the other, after which the time requirements of Section 00199 shall be automatically reinstated and shall resume from the point at which the time requirements were suspended.

00199.60 Review of Determination Regarding Records - If not all of the records requested by the Agency under 00199.40(c) Step 2 were provided, then the Agency will determine:

- If the records are of the type described in 00170.07; and
- If the records have not been maintained or the records, or access to the records, has not been provided to the Agency as required by 00170.07 and this Section; and
- If the records are material and necessary for proper evaluation of part or all of the claim; and
- The portions of the claim for which the records are material and necessary for proper evaluation.

If the Agency makes the foregoing determinations, then subject to the review process described below, all portions of the claim for which the Agency determined the records are material and necessary for proper evaluation are immediately waived and irrevocably dismissed.

Even if the records have not been maintained or the records, or access to the records, have not been provided to the Agency in a given instance, the Agency may determine that sufficient records have been provided for the Agency to properly evaluate the claim in that instance. If the Agency makes this determination, the claim or portions of the claim will not be waived or dismissed under this provision.

If the Contractor does not accept the Agency written determination that the records are material and necessary for proper evaluation of part or all of the claim, and the portions of the claim for which the records are material and necessary, the Contractor may, within 14 Calendar Days of receipt of the Agency determination, request, in writing, a review of such determination by the Public Works Director (or designee). If the Contractor does not request a review of the Agency determination, the Agency determination shall then become the Agency's final determination as of the expiration of the time limit to request review.

If the Contractor requests the review, the Public Works Director (or designee) will schedule a review meeting within 14 Calendar Days, or as otherwise agreed by the parties, of when the Public Works Director (or designee) receives the written review request. The Agency and the Contractor will each have an opportunity to explain their respective positions at the review meeting in a manner determined by the Public Works Director (or designee).

Within 10 Calendar Days of the review meeting, the Public Works Director (or designee) will issue a written proposed finding of whether the records not maintained or not provided to the Agency, or for which access was not provided to the Agency, are material and necessary for proper evaluation of part or all of the claim. If the Public Works Director (or designee) makes that finding, then the Public Works Director (or designee) will also make a proposed written finding as to what portions of the claim the records are material and necessary and, therefore, waived and irrevocably dismissed.

Even if the records have not been maintained or the records, or access to the records, have not been provided to the Agency in a given instance, the Public Works Director (or designee) may determine that sufficient records have been provided for the Agency to properly evaluate the claim in that instance. If the Public Works Director (or designee) makes this determination, then the claim or portions of the claim will not be waived or dismissed under this provision.

The Public Works Director's (or designee) findings will be submitted to the Contractor. The Public Works Director's (or designee) findings are the Agency's final determination.

If the Agency's final determination is that the records are material and necessary for proper evaluation of part or all of the claim, then the claim or that portion of the claim for which the records are material and necessary is waived and irrevocably dismissed, unless the Contractor provides the records, or access to the records, to the Agency within 5 Calendar Days of the Agency's final determination. If the Contractor provides the records, or access to the records, within this time limit, the Agency will schedule a meeting with the Contractor within 14 Calendar Days or as otherwise agreed by the parties, to discuss the records.

The Agency's final determination that records are material and necessary for proper evaluation of part or all of the claim, and the Agency's final determination of the portions of the claim for which the records are material and necessary, shall be final and binding.

If the entire claim is waived and irrevocably dismissed pursuant to the Agency's final determination there will be no further decision by the Agency on the claim or further review of the claim under 00199.40 and the claim will not be eligible for mediation under 00199.50. If only portions of the claim are waived and irrevocably dismissed pursuant to the Agency's final determination, the Agency will provide a written decision to the Contractor regarding the remaining portions of the claim within 30 Calendar Days of the final Step 2 meeting, or the Agency's final determination regarding the records, whichever is later. There will be no further decision by the Agency on or further review under 00199.40 of the portions of the claim waived and irrevocably dismissed pursuant to Agency's final determination and those portions will not be eligible for mediation under 00199.50.

SPECIAL PROVISIONS

**Construction Contract Requirements
for Recipients of Safe Drinking Water financing**

SAM Registration and DUNS number are required for all entities that enter into direct contracts with the recipients of Safe Drinking Water Revolving Loan funds

SAM Registration: http://www.sam.gov/portal/public/SAM/ NOTE: The SAM registration expires annually and must be kept active until the SDWRLF project is closed	DUNS Number http://www.dnb.com/get-a-duns-number.html
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Language to be included verbatim in construction contracts according to any accompanying instructions

Clauses required in all Contracts

- Termination for Cause and for Convenience & Breach of Contract** (language to be included in all construction contracts and subcontracts in excess of \$10,000:)

“Contractor shall address termination for cause and for convenience, including the manner by which it will be effected and the basis for settlement. In addition, contractor shall address administrative, contractual, or legal remedies in instances where contractors violate or breach contract terms, and provide for such sanctions and penalties as appropriate.”
- Equal Employment Opportunity** (language to be included in all construction contracts and subcontracts in excess of \$10,000:)

“Contractor shall comply with Executive Order 11246 of September 24, 1965, entitled “Equal Employment Opportunity,” as amended by Executive Order 11375 of October 13, 1967, and as supplemented in Department of Labor regulations (41 CFR chapter 60).”
- Procurement of Recovered Materials** (language to be included in all construction contracts and subcontracts in excess of \$10,000:)

“Contractor must comply with section 6002 of the Solid Waste Disposal Act, as amended by the Resource Conservation and Recovery Act, including procurement of recovered materials in a manner designated in guidelines of the Environmental Protection Agency (EPA) at 40 CFR part 247.”
- Whistleblower** (language to be included in all construction contracts and subcontracts)

“Contractor receiving SDWRLF funds shall under or through this contract to, post notice of the rights and remedies provided to whistleblowers under No Fear Act Pub. L. 107-174. 29 CFR § 1614.703 (d).”

- Source of Funds** (language to be included in all construction contracts and subcontracts)

“Work under this contract is funded by the federal Safe Drinking Water Revolving Loan Fund through Business Oregon and a partnership of Local and/or Private Funds. “

- Suspension and Debarment** (language to be included in all construction contracts and subcontracts)

“Contractor certifies that it is not debarred or suspended or is otherwise excluded from or ineligible for participation in federal assistance programs under Executive Order 12549, “Debarment and Suspension”, and shall not contract or permit any subcontract at any level with any party similarly excluded or ineligible. A list of excluded parties is available in the System for Award Management (SAM) at www.sam.gov, under “search records”.”

- Copeland “Anti-Kickback” Act** (language to be included in all construction contracts and subcontracts)

“Contractor shall comply with the Copeland “Anti-Kickback” Act (18 U.S.C. 847) as supplemented in Department of Labor regulations (29 CFR part 3).”

- Intellectual Property** (language to be included in all construction contracts and subcontracts:)

“Contractor hereby grants to the U.S. E.P.A. a royalty-free, nonexclusive, and irrevocable license to reproduce, publish or otherwise use, and to authorize others to use, for federal government purposes, any intellectual property developed under this contract. Contractor shall secure from third parties the same license in the name of the U.S. E.P.A. regarding any intellectual property developed by third parties as subcontractors under this contract, or developed under contract with the Contractor specifically to fulfill Contractor’s obligations related to this contract.”

- Inspections; Information** (language to be included in all construction contracts and subcontracts:)

“Contractor shall permit, and cause its subcontractors to allow *City of Warrenton*, the State of Oregon, the federal government and any party designated by them to:

- Examine, visit and inspect, at any and all reasonable times, the property, if any, constituting the Project.
- Inspect and make copies of any accounts, books and records, including, without limitation, its records regarding receipts, disbursement, contracts, and any other matters relating to the Project, and to its financial standing, and shall supply such reports and information as reasonably requested.
- Interview any officer or employee of the Contractor, or its subcontractors, regarding the Project.

Contractor shall retain all records related to the Project for three years after final payments are made and any pending matters are closed.

- Disadvantaged Business Enterprises** (language to be included in all construction contracts and subcontracts:)

Recipient will implement the good faith efforts for solicitation and contracting with Disadvantaged Business Enterprises (“DBE”) described in Section 4.1 of the Safe Drinking Water Handbook. This applies to all solicitation and contracting for construction, equipment, supplies, engineering or other services that constitute the Project financed by this Contract. Recipient will maintain documentation in a Project

file on Disadvantaged Business Enterprises. Recipient will maintain documentation in a Project file and submit required forms, as described in Section 4.1 of the Safe Drinking Water Handbook. Recipient will ensure that all prime contractors and subcontractors implement the good faith efforts for solicitation and contracting, and comply with all DBE procurement forms, statements, and reporting requirements. Recipient will ensure that each procurement contract (prime plus all subcontractor contracts) includes the following term and condition:

“The contractor shall not discriminate on the basis of race, color, national origin or sex in the performance of this contract. The contractor shall carry out applicable requirements of 40 CFR part 33 in the award and administration of contracts awarded under EPA financial assistance agreements. Failure by the contractor to carry out these requirements is a material breach of this contract which may result in the termination of this contract or other legally available remedies.”

Recipient will ensure that all prime contractors and subcontractors implement the good faith efforts for solicitation and contracting, and comply with all DBE procurement forms, statements, and reporting requirements.

(Include the following forms, found in the Business Oregon Preconstruction Packet:)

- *DBE Six Good Faith Efforts and Form*

American Iron Steel

(language to be included in all construction contracts and subcontracts:)

The Contractor acknowledges to and for the benefit of the *City of Warrenton* (“Purchaser”) and the State of Oregon (the “State”) that it understands the goods and services under this Agreement are being funded with monies made available by the Drinking Water State Revolving Fund that have statutory requirements commonly known as “American Iron and Steel;” that requires all of the iron and steel products used in the project to be produced in the United States (“American Iron and Steel Requirement”) including iron and steel products provided by the Contractor pursuant to this Agreement. The Contractor hereby represents and warrants to and for the benefit of the Purchaser and the State that (a) the Contractor has reviewed and understands the American Iron and Steel Requirement, (b) all of the iron and steel products used in the project will be and/or have been produced in the United States in a manner that complies with the American Iron and Steel Requirement, unless a waiver of the requirement is approved, and (c) the Contractor will provide any further verified information, certification or assurance of compliance with this paragraph, or information necessary to support a waiver of the American Iron and Steel Requirement, as may be requested by the Purchaser or the State. Notwithstanding any other provision of this Agreement, any failure to comply with this paragraph by the Contractor shall permit the Purchaser or State to recover as damages against the Contractor any loss, expense, or cost (including without limitation attorney’s fees) incurred by the Purchaser or State resulting from any such failure (including without limitation any impairment or loss of funding, whether in whole or in part, from the State or any damages owed to the State by the Purchaser). While the Contractor has no direct contractual privity with the State, as a lender to the Purchaser for the funding of its project, the Purchaser and the Contractor agree that the State is a third-party beneficiary and neither this paragraph (nor any other provision of this Agreement necessary to give this paragraph force or effect) shall be amended or waived without the prior written consent of the

HAMMOND WATERLINE

City of Warrenton

Special Provisions

State.

Federal Labor Standards

(language to be included in all construction contracts and subcontracts.)

NOTE: Oregon Bureau of Labor and Industries (BOLI) prevailing wage requirements apply to public entities for projects over \$50,000 and private entities for projects that utilize more than \$750,000 of public funds.

Prevailing Wage Requirements.

“Construction projects assisted in whole or in part with the Safe Drinking Water Revolving Loan Fund Program (SDWRLF) must be carried out in compliance with Federal Davis Bacon and Related Acts and the Oregon Bureau of Labor and Industries (BOLI) requirements. Contractor shall pay each worker employed in the performance of this contract not less than the higher of the wage rate for the type of work being performed as set forth in either the Oregon Prevailing Wage “Prevailing Wage Rate for Public Works Contracts in Oregon” (if applicable) or the applicable federal Davis-Bacon Wage Decision. Contractor shall download a U.S. Department of Labor Employee Fair Compensation Notice and post it at the work site along with a list of locally prevailing wage rates. Contractor shall prepare and submit weekly Certified Payroll Reports on forms to be supplied by Business Oregon. Contractor shall permit access to construction site in order to conduct on-site interviews with workers during working hours.”

(1) Minimum wages.

(i) All laborers and mechanics employed or working upon the site of the work will be paid unconditionally and not less often than once a week, and without subsequent deduction or rebate on any account (except such payroll deductions as are permitted by regulations issued by the Secretary of Labor under the Copeland Act (29 CFR part 3)), the full amount of wages and bona fide fringe benefits (or cash equivalents thereof) due at time of payment computed at rates not less than those contained in the wage determination of the Secretary of Labor which is attached hereto and made a part hereof, regardless of any contractual relationship which may be alleged to exist between the contractor and such laborers and mechanics.

Contributions made or costs reasonably anticipated for bona fide fringe benefits under section 1(b)(2) of the Davis-Bacon Act on behalf of laborers or mechanics are considered wages paid to such laborers or mechanics, subject to the provisions of paragraph (a)(1)(iv) of this section; also, regular contributions made or costs incurred for more than a weekly period (but not less often than quarterly) under plans, funds, or programs which cover the particular weekly period, are deemed to be constructively made or incurred during such weekly period. Such laborers and mechanics shall be paid the appropriate wage rate and fringe benefits on the wage determination for the classification of work actually performed, without regard to skill, except as provided in § 5.5(a)(4). Laborers or mechanics performing work in more than one classification may be compensated at the rate specified for each classification for the time actually worked therein: Provided that the employer's payroll records accurately set forth the time spent in each classification in which work is performed. The wage determination (including any additional classification and wage rates conformed under paragraph (a)(1)(ii) of this section) and the Davis-Bacon poster (WH-1321) shall be posted at all times by the contractor and its subcontractors at the site of the work in a prominent and accessible place where it can be easily seen by the workers.

Sub recipients may obtain wage determinations from the U.S. Department of Labor's web site, www.dol.gov.

(ii)(A) The sub recipient(s), on behalf of EPA, shall require that any class of laborers or mechanics, including helpers, which is not listed in the wage determination and which is to be employed under the contract shall be classified in conformance with the wage determination. The State award official shall approve a request for an additional classification and wage rate and fringe benefits therefore only when the following criteria have been met:

(1) The work to be performed by the classification requested is not performed by a classification in the wage determination; and

(2) The classification is utilized in the area by the construction industry; and

(3) The proposed wage rate, including any bona fide fringe benefits, bears a reasonable relationship to the wage rates contained in the wage determination.

(B) If the contractor and the laborers and mechanics to be employed in the classification (if known), or their representatives, and the sub recipient(s) agree on the classification and wage rate (including the amount designated for fringe benefits where appropriate), documentation of the action taken and the request, including the local wage determination shall be sent by the sub recipient (s) to the State award official. The State award official will transmit the request, to the Administrator of the Wage and Hour Division, Employment Standards Administration, U.S. Department of Labor, Washington, DC 20210 and to the EPA DB Regional Coordinator concurrently. The Administrator, or an authorized representative, will approve, modify, or disapprove every additional classification request within 30 days of receipt and so advise the State award official or will notify the State award official within the 30-day period that additional time is necessary.

(C) In the event the contractor, the laborers or mechanics to be employed in the classification or their representatives, and the sub recipient(s) do not agree on the proposed classification and wage rate (including the amount designated for fringe benefits, where appropriate), the award official shall refer the request and the local wage determination, including the views of all interested parties and the recommendation of the State award official, to the Administrator for determination. The request shall be sent to the EPA DB Regional Coordinator concurrently. The Administrator, or an authorized representative, will issue a determination within 30 days of receipt of the request and so advise the contracting officer or will notify the contracting officer within the 30-day period that additional time is necessary.

(D) The wage rate (including fringe benefits where appropriate) determined pursuant to paragraphs (a)(1)(ii)(B) or (C) of this section, shall be paid to all workers performing work in the classification under this contract from the first day on which work is performed in the classification.

(iii) Whenever the minimum wage rate prescribed in the contract for a class of laborers or mechanics includes a fringe benefit which is not expressed as an hourly rate, the contractor shall either pay the benefit as stated in the wage determination or shall pay another bona fide fringe benefit or an hourly cash equivalent thereof.

(iv) If the contractor does not make payments to a trustee or other third person, the contractor may consider as part of the wages of any laborer or mechanic the amount of any costs reasonably anticipated in providing bona fide fringe benefits under a plan or program, Provided, That the Secretary of Labor has found, upon the written request of the contractor, that the applicable standards of the Davis-Bacon Act have been met. The Secretary of Labor may require the contractor to set aside in a separate account assets for the meeting of obligations under the plan or program.

(2) Withholding. The sub recipient(s), shall upon written request of the EPA Award Official or an authorized representative of the Department of Labor, withhold or cause to be withheld from the contractor under this contract or any other Federal contract with the same prime contractor, or any other federally-assisted contract subject to Davis-Bacon prevailing wage requirements, which is held by the same prime contractor, so much of the accrued payments or advances as may be considered necessary to pay laborers and mechanics, including apprentices, trainees, and helpers, employed by the contractor or any subcontractor the full amount of wages required by the contract. In the event of failure to pay any laborer or mechanic, including any apprentice, trainee, or helper, employed or working on the site of the work, all or part of the wages required by the contract, the (Agency) may, after written notice to the contractor, sponsor, applicant, or owner, take such action as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds until such violations have ceased.

(3) Payrolls and basic records.

(i) Payrolls and basic records relating thereto shall be maintained by the contractor during the course of the work and preserved for a period of three years thereafter for all laborers and mechanics working at the site of the work. Such records shall contain the name, address, and social security number of each such worker, his or her correct classification, hourly rates of wages paid (including rates of contributions or costs anticipated for bona fide fringe benefits or cash equivalents thereof of the types described in section 1(b)(2)(B) of the Davis-Bacon Act), daily and weekly number of hours worked, deductions made and actual wages paid. Whenever the Secretary of Labor has found under 29 CFR 5.5(a)(1)(iv) that the wages of any laborer or mechanic include the amount of any costs reasonably anticipated in providing benefits under a plan or program described in section 1(b)(2)(B) of the Davis-Bacon Act, the contractor shall maintain records which show that the commitment to provide such benefits is enforceable, that the plan or program is financially responsible, and that the plan or program has been communicated in writing to the laborers or mechanics affected, and records which show the costs anticipated or the actual cost incurred in providing such benefits. Contractors employing apprentices or trainees under approved programs shall maintain written evidence of the registration of apprenticeship programs and certification of trainee programs, the registration of the apprentices and trainees, and the ratios and wage rates prescribed in the applicable programs.

(ii)(A) The contractor shall submit weekly, for each week in which any contract work is performed, a copy of all payrolls to the sub recipient, that is, the entity that receives the sub-grant or loan from the State capitalization grant recipient. Such documentation shall be available on request of the State recipient or EPA. As to each payroll copy received, the sub recipient shall provide written confirmation in a form satisfactory to the State indicating whether or not the project is in compliance with the requirements of 29 CFR 5.5(a)(1) based on the most recent payroll copies for the specified week. The payrolls shall set out accurately and completely all of the information required to be maintained under 29 CFR 5.5(a)(3)(i), except that full social security numbers and home addresses shall not be included on

the weekly payrolls. Instead the payrolls shall only need to include an individually identifying number for each employee (e.g., the last four digits of the employee's social security number). The required weekly payroll information may be submitted in any form desired. Optional Form WH-347 is available for this purpose from the Wage and Hour Division Web site at <http://www.dol.gov/whd/forms/wh347instr.htm> or its successor site. The prime contractor is responsible for the submission of copies of payrolls by all subcontractors. Contractors and subcontractors shall maintain the full social security number and current address of each covered worker, and shall provide them upon request to the sub recipient(s) for transmission to the State or EPA if requested by EPA, the State, the contractor, or the Wage and Hour Division of the Department of Labor for purposes of an investigation or audit of compliance with prevailing wage requirements. It is not a violation of this section for a prime contractor to require a subcontractor to provide addresses and social security numbers to the prime contractor for its own records, without weekly submission to the sub recipient(s).

(B) Each payroll submitted shall be accompanied by a "Statement of Compliance," signed by the contractor or subcontractor or his or her agent who pays or supervises the payment of the persons employed under the contract and shall certify the following:

(1) That the payroll for the payroll period contains the information required to be provided under § 5.5 (a)(3)(ii) of Regulations, 29 CFR part 5, the appropriate information is being maintained under § 5.5 (a)(3)(i) of Regulations, 29 CFR part 5, and that such information is correct and complete;

(2) That each laborer or mechanic (including each helper, apprentice, and trainee) employed on the contract during the payroll period has been paid the full weekly wages earned, without rebate, either directly or indirectly, and that no deductions have been made either directly or indirectly from the full wages earned, other than permissible deductions as set forth in Regulations, 29 CFR part 3;

(3) That each laborer or mechanic has been paid not less than the applicable wage rates and fringe benefits or cash equivalents for the classification of work performed, as specified in the applicable wage determination incorporated into the contract.

(C) The weekly submission of a properly executed certification set forth on the reverse side of Optional Form WH-347 shall satisfy the requirement for submission of the "Statement of Compliance" required by paragraph (a)(3)(ii)(B) of this section.

(D) The falsification of any of the above certifications may subject the contractor or subcontractor to civil or criminal prosecution under section 1001 of title 18 and section 231 of title 31 of the United States Code.

(iii) The contractor or subcontractor shall make the records required under paragraph (a)(3)(i) of this section available for inspection, copying, or transcription by authorized representatives of the State, EPA or the Department of Labor, and shall permit such representatives to interview employees during working hours on the job. If the contractor or subcontractor fails to submit the required records or to make them available, the Federal agency or State may, after written notice to the contractor, sponsor, applicant, or owner, take such action as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds. Furthermore, failure to submit the required records upon request or to make such records available may be grounds for debarment action pursuant to 29 CFR 5.12.

(4) Apprentices and trainees

(i) Apprentices. Apprentices will be permitted to work at less than the predetermined rate for the work they performed when they are employed pursuant to and individually registered in a bona fide apprenticeship program registered with the U.S. Department of Labor, Employment and Training Administration, Office of Apprenticeship Training, Employer and Labor Services, or with a State Apprenticeship Agency recognized by the Office, or if a person is employed in his or her first 90 days of probationary employment as an apprentice in such an apprenticeship program, who is not individually registered in the program, but who has been certified by the Office of Apprenticeship Training, Employer and Labor Services or a State Apprenticeship Agency (where appropriate) to be eligible for probationary employment as an apprentice. The allowable ratio of apprentices to journeymen on the job site in any craft classification shall not be greater than the ratio permitted to the contractor as to the entire work force under the registered program. Any worker listed on a payroll at an apprentice wage rate, who is not registered or otherwise employed as stated above, shall be paid not less than the applicable wage rate on the wage determination for the classification of work actually performed. In addition, any apprentice performing work on the job site in excess of the ratio permitted under the registered program shall be paid not less than the applicable wage rate on the wage determination for the work actually performed. Where a contractor is performing construction on a project in a locality other than that in which its program is registered, the ratios and wage rates (expressed in percentages of the journeyman's hourly rate) specified in the contractor's or subcontractor's registered program shall be observed. Every apprentice must be paid at not less than the rate specified in the registered program for the apprentice's level of progress, expressed as a percentage of the journeymen hourly rate specified in the applicable wage determination. Apprentices shall be paid fringe benefits in accordance with the provisions of the apprenticeship program. If the apprenticeship program does not specify fringe benefits, apprentices must be paid the full amount of fringe benefits listed on the wage determination for the applicable classification. If the Administrator determines that a different practice prevails for the applicable apprentice classification, fringes shall be paid in accordance with that determination. In the event the Office of Apprenticeship Training, Employer and Labor Services, or a State Apprenticeship Agency recognized by the Office, withdraws approval of an apprenticeship program, the contractor will no longer be permitted to utilize apprentices at less than the applicable predetermined rate for the work performed until an acceptable program is approved.

(ii) Trainees. Except as provided in 29 CFR 5.16, trainees will not be permitted to work at less than the predetermined rate for the work performed unless they are employed pursuant to and individually registered in a program which has received prior approval, evidenced by formal certification by the U.S. Department of Labor, Employment and Training Administration. The ratio of trainees to journeymen on the job site shall not be greater than permitted under the plan approved by the Employment and Training Administration. Every trainee must be paid at not less than the rate specified in the approved program for the trainee's level of progress, expressed as a percentage of the journeyman hourly rate specified in the applicable wage determination. Trainees shall be paid fringe benefits in accordance with the provisions of the trainee program. If the trainee program does not mention fringe benefits, trainees shall be paid the full amount of fringe benefits listed on the wage determination unless the Administrator of the Wage and Hour Division determines that there is an apprenticeship program associated with the corresponding journeyman wage rate on the wage determination which provides for less than full fringe benefits for apprentices. Any employee listed on the payroll at a trainee rate who is not registered and participating in a training plan approved by the Employment and Training

Administration shall be paid not less than the applicable wage rate on the wage determination for the classification of work actually performed. In addition, any trainee performing work on the job site in excess of the ratio permitted under the registered program shall be paid not less than the applicable wage rate on the wage determination for the work actually performed. In the event the Employment and Training Administration withdraws approval of a training program, the contractor will no longer be permitted to utilize trainees at less than the applicable predetermined rate for the work performed until an acceptable program is approved.

(iii) Equal employment opportunity. The utilization of apprentices, trainees and journeymen under this part shall be in conformity with the equal employment opportunity requirements of Executive Order 11246, as amended and 29 CFR part 30.

(5) Compliance with Copeland Act requirements. The contractor shall comply with the requirements of 29 CFR part 3, which are incorporated by reference in this contract.

(6) Subcontracts. The contractor or subcontractor shall insert in any subcontracts the clauses contained in 29 CFR 5.5(a)(1) through (10) and such other clauses as the EPA determines may be appropriate, and also a clause requiring the subcontractors to include these clauses in any lower tier subcontracts. The prime contractor shall be responsible for the compliance by any subcontractor or lower tier subcontractor with all the contract clauses in 29 CFR 5.5.

(7) Contract termination; debarment. A breach of the contract clauses in 29 CFR 5.5 may be grounds for termination of the contract, and for debarment as a contractor and a subcontractor as provided in 29 CFR 5.12.

(8) Compliance with Davis-Bacon and Related Act requirements. All rulings and interpretations of the Davis-Bacon and Related Acts contained in 29 CFR parts 1, 3, and 5 are herein incorporated by reference in this contract.

(9) Disputes concerning labor standards. Disputes arising out of the labor standards provisions of this contract shall not be subject to the general disputes clause of this contract. Such disputes shall be resolved in accordance with the procedures of the Department of Labor set forth in 29 CFR parts 5, 6, and 7. Disputes within the meaning of this clause include disputes between the contractor (or any of its subcontractors) and sub recipient(s), State, EPA, the U.S. Department of Labor, or the employees or their representatives.

(10) Certification of eligibility.

(i) By entering into this contract, the contractor certifies that neither it (nor he or she) nor any person or firm who has an interest in the contractor's firm is a person or firm ineligible to be awarded Government contracts by virtue of section 3(a) of the Davis-Bacon Act or 29 CFR 5.12(a)(1).

(ii) No part of this contract shall be subcontracted to any person or firm ineligible for award of a Government contract by virtue of section 3(a) of the Davis-Bacon Act or 29 CFR 5.12(a)(1).

(iii) The penalty for making false statements is prescribed in the U.S. Criminal Code, 18 U.S.C. 1001.

Additional Clauses for Contracts greater than 100,000

Construction contracts and subcontracts greater than 100,000 must include all clauses listed above in addition to the clauses listed below

Federal Labor Standards

4. Contract Provision for Contracts in Excess of \$100,000.

(a) Contract Work Hours and Safety Standards Act. The sub recipient shall insert the following clauses set forth in paragraphs (a)(1), (2), (3), and (4) of this section in full in any contract in an amount in excess of \$100,000 and subject to the overtime provisions of the Contract Work Hours and Safety Standards Act. These clauses shall be inserted in addition to the clauses required by Item 3, above or 29 CFR 4.6. As used in this paragraph, the terms laborers and mechanics include watchmen and guards.

(1) Overtime requirements. No contractor or subcontractor contracting for any part of the contract work which may require or involve the employment of laborers or mechanics shall require or permit any such laborer or mechanic in any workweek in which he or she is employed on such work to work in excess of forty hours in such workweek unless such laborer or mechanic receives compensation at a rate not less than one and one-half times the basic rate of pay for all hours worked in excess of forty hours in such workweek.

(2) Violation; liability for unpaid wages; liquidated damages. In the event of any violation of the clause set forth in paragraph (a)(1) of this section the contractor and any subcontractor responsible therefore shall be liable for the unpaid wages. In addition, such contractor and subcontractor shall be liable to the United States (in the case of work done under contract for the District of Columbia or a territory, to such District or to such territory), for liquidated damages. Such liquidated damages shall be computed with respect to each individual laborer or mechanic, including watchmen and guards, employed in violation of the clause set forth in paragraph (a)(1) of this section, in the sum of \$10 for each calendar day on which such individual was required or permitted to work in excess of the standard workweek of forty hours without payment of the overtime wages required by the clause set forth in paragraph (a)(1) of this section.

(3) Withholding for unpaid wages and liquidated damages. The sub recipient, upon written request of the EPA Award Official or an authorized representative of the Department of Labor, shall withhold or cause to be withheld, from any moneys payable on account of work performed by the contractor or subcontractor under any such contract or any other Federal contract with the same prime contractor, or any other federally-assisted contract subject to the Contract Work Hours and Safety Standards Act, which is held by the same prime contractor, such sums as may be determined to be necessary to satisfy any liabilities of such contractor or subcontractor for unpaid wages and liquidated damages as provided in the clause set forth in paragraph (b)(2) of this section.

(4) Subcontracts. The contractor or subcontractor shall insert in any subcontracts the clauses set forth in paragraph (a)(1) through (4) of this section and also a clause requiring the subcontractors to include

these clauses in any lower tier subcontracts. The prime contractor shall be responsible for compliance by any subcontractor or lower tier subcontractor with the clauses set forth in paragraphs (a)(1) through (4) of this section.

(b) In addition to the clauses contained in Item 3, above, in any contract subject only to the Contract Work Hours and Safety Standards Act and not to any of the other statutes cited in 29 CFR 5.1, the Sub recipient shall insert a clause requiring that the contractor or subcontractor shall maintain payrolls and basic payroll records during the course of the work and shall preserve them for a period of three years from the completion of the contract for all laborers and mechanics, including guards and watchmen, working on the contract. Such records shall contain the name and address of each such employee, social security number, correct classifications, hourly rates of wages paid, daily and weekly number of hours worked, deductions made, and actual wages paid. Further, the Sub recipient shall insert in any such contract a clause providing that the records to be maintained under this paragraph shall be made available by the contractor or subcontractor for inspection, copying, or transcription by authorized representatives of the (write the name of agency) and the Department of Labor, and the contractor or subcontractor will permit such representatives to interview employees during working hours on the job.

5. Compliance Verification

(a) The sub recipient shall periodically interview a sufficient number of employees entitled to DB prevailing wages (covered employees) to verify that contractors or subcontractors are paying the appropriate wage rates. As provided in 29 CFR 5.6(a)(6), all interviews must be conducted in confidence. The sub recipient must use Standard Form 1445 (SF 1445) or equivalent documentation to memorialize the interviews. Copies of the SF 1445 are available from EPA on request.

(b) The sub recipient shall establish and follow an interview schedule based on its assessment of the risks of noncompliance with DB posed by contractors or subcontractors and the duration of the contract or subcontract. Sub recipients must conduct more frequent interviews if the initial interviews or other information indicated that there is a risk that the contractor or subcontractor is not complying with DB.

Sub recipients shall immediately conduct interviews in response to an alleged violation of the prevailing wage requirements. All interviews shall be conducted in confidence."

(c) The sub recipient shall periodically conduct spot checks of a representative sample of weekly payroll data to verify that contractors or subcontractors are paying the appropriate wage rates. The sub recipient shall establish and follow a spot check schedule based on its assessment of the risks of noncompliance with DB posed by contractors or subcontractors and the duration of the contract or subcontract. At a minimum, if practicable, the sub recipient should spot check payroll data within two weeks of each contractor or subcontractor's submission of its initial payroll data and two weeks prior to the completion date the contract or subcontract. Sub recipients must conduct more frequent spot checks if the initial spot check or other information indicates that there is a risk that the contractor or subcontractor is not complying with DB. In addition, during the examinations the sub recipient shall

verify evidence of fringe benefit plans and payments there under by contractors and subcontractors who claim credit for fringe benefit contributions.

(d) The sub recipient shall periodically review contractors and subcontractor's use of apprentices and trainees to verify registration and certification with respect to apprenticeship and training programs approved by either the U.S Department of Labor or a state, as appropriate, and that contractors and subcontractors are not using disproportionate numbers of, laborers, trainees and apprentices. These reviews shall be conducted in accordance with the schedules for spot checks and interviews described in Item 5(b) and (c) above.

(e) Sub recipients must immediately report potential violations of the DB prevailing wage requirements to the EPA DB contact listed above and to the appropriate DOL Wage and Hour District Office listed at <http://www.dol.gov/whd/america2.htm>.

- Environmental and Natural Resource Laws** (include the following language in all construction contracts and subcontracts in excess of \$100,000:)

“Contractor shall comply with all applicable standards, orders, or requirements issued under section 306 of the Clean Air Act (42 U.S.C. 1857(h)), section 508 of the Clean Water Act (33 U.S.C. 1368), Executive Order 11738, and Environmental Protection Agency regulations (40 CFR part 15).

- Prohibition on the Use of Federal Funds for Lobbying** (Certification Regarding Lobbying form follows, for any contracts in excess of \$100,000)

Six Good-Faith Efforts

Any public water system receiving an award from the Safe Drinking Water Revolving Loan Fund and the Drinking Water Source Protection Fund must ensure good-faith implementation of the six good-faith efforts comprising the federal "Fair Share Program," for the solicitation of all contractors providing construction, equipment, supplies, engineering or other services that constitute the project financed by the award.

Documentation demonstrating that these six good faith efforts have been taken must be included and maintained in the water system's project files. Likewise, once a contractor has been selected by the water system, that contractor must adhere to the following six good-faith efforts in soliciting its subcontractors:

1. Ensure Disadvantaged Business Enterprises (DBEs) are made aware of contracting opportunities to the fullest extent practicable through outreach and recruitment activities. For Indian Tribal, state and local government recipients, this will include placing DBEs on solicitation lists and soliciting them whenever they are potential sources. (Note: The acronym DBE used throughout this document is a global term for Minority Business Enterprises (MBEs) and Women's Business Enterprises (WBEs).
2. Make information on forthcoming opportunities available to DBEs and arrange time frames for contracts and establish delivery schedules, where the requirements permit, in a way that encourages and facilitates participation by DBEs in the competitive process. This includes, whenever possible, posting solicitations for bids or proposals for a minimum of 30 calendar days before the bid or proposal closing date.
3. Consider in the contracting process whether firms competing for large contracts could subcontract with DBEs. For Indian Tribal, state and local government recipients, this will include dividing total requirements, when economically feasible, into smaller tasks or quantities to permit maximum participation by DBEs in the competitive process.
4. Encourage contracting with a consortium of DBEs when a contract is too large for one of these firms to handle individually.
5. Utilize the services of the Small Business Administration (SBA) and the Minority Business Development Agency of the Department of Commerce.
6. If the prime contractor awards subcontracts, require the prime contractor to take these six good-faith efforts in subcontracting with Disadvantaged Business Enterprises for any subcontract that they let.

Locating Disadvantaged Business Enterprises for Outreach

Applicable MBE / WBEs are certified by the Office of Minority, Women and Emerging Small Business (OMWESB), Small Business Administration, or by a federal agency.

The following sites may be of assistance for locating Minority or Women-Owned Business (MBE / WBE) firms and others may exist too:

- Office of Minority, Women and Emerging Small Business (OMWESB) Directory of Certified Firms at <http://www.oregon4biz.com/How-We-Can-Help/OMWESB/>
- Federal System for Award Management at <https://www.sam.gov>
- Minority Business Development Agency, US Dept. of Commerce at www.commerce.gov/os/ogc/minority-business-development-agency
- EPA's Office of Small Business Programs at www.epa.gov/osbp/
- Oregon Office of Economic & Business Equity at <https://dasapp.oregon.gov/statephonebook/display.asp?agency=12100&division=12103>
- U.S. Department of Transportation at www.dot.gov/osdbu/disadvantaged-business-enterprise

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- Minority Business Development Agency, US Dept. of Commerce at www.commerce.gov/os/ogc/minority-business-development-agency
- EPA's Office of Small Business Programs at www.epa.gov/osbp/
- Oregon Office of Economic & Business Equity at <https://dasapp.oregon.gov/statephonebook/display.asp?agency=12100&division=12103>
- U.S. Department of Transportation at www.dot.gov/osdbu/disadvantaged-business-enterprise

Certification Regarding Lobbying

(Awards to Contractors and Subcontractors in Excess of \$100,000)

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Signed

Title

Date

SPECIAL PROVISIONS

PART 00100 – GENERAL CONDITIONS

Section 00120 – Bidding Requirements and Procedures

00120.01 Receipt of Bids; Opening - Add the following:

Bids must be delivered to Collin Stelzig, Public Works Director, at the front desk of Warrenton City Hall, 225 South Main Street, Warrenton, OR. 97146, by **2:00 PM** local time on **xxxxxx, 2020**. Bids will be publicly opened and read by the Public Works Director at 2:00 PM, local time on April 16, 2017 in the Warrenton Fire Training Room. Bids may not be submitted by fax or electronic means.

00120.02 Prequalification of Bidders – Add the following:

00120.03 Request for Solicitation Documents – Add the following:

Solicitation Documents may be reviewed and/or obtained from the City Engineer's Office, Warrenton City Hall, 45 SW 2nd Street, Warrenton, Oregon, 503-861-0912. A non-refundable fee of \$50.00 will be charged for these documents. If full-size drawings are requested, there will be an additional \$80.00 non-refundable fee.]

00120.04 Pre-Bid Meeting – Add the following:

A voluntary pre-bid meeting will be held on **xxxxxx, 2020, at 10:00 AM**, local time, at Warrenton City Hall, P.O. Box 250, 225 South Main Street, Warrenton, OR. 97146.

00120.18 Use of Agency-Provided Land for Staging and Storage Areas – Staging/storage area has been secured by the Agency, as shown on design plans. The following conditions apply to the Contractor should it choose to utilize one or both of the staging/storage areas:

- Remove any Contractor installed rock and restore the area in accordance with Section 01030 – Seeding for Lawn Seeding (subsection 01030.13), Method C (subsection 01030.41). Seed mix shall be Kentucky bluegrass (blended varieties) plus perennial ryegrass with seeding rates of 2lb/1,000 ft².

The following conditions also apply to the Contractor's use of the staging/storage area(s):

Any costs for the Contractor use of the construction staging/storage area(s), including, but not limited to, grading, erosion control, fencing, aggregate base material and seeding, shall be included in the lump sum price for Bid Item No. 2 - Mobilization.

Section 00150 – Control of Work

00150.30 Deliver of Notices – Contractor written notice to Engineer or Agency shall be delivered to:

Collin Stelzig, Public Works Director
P.O. Box 250
Warrenton, OR 97146

00150.50 Cooperation with Utilities - This subsection is supplemented with the following:

The Contractor is required to coordinate construction activities with the gas company..

Section 00160 – Source of Materials

00160.20(d) Procurement of Recovered Materials - Contractor must comply with section 6002 of the Solid Waste Disposal Act, as amended by the Resource Conservation and Recovery Act, including procurement of recovered materials in a manner designated in guidelines of the Environmental Protection Agency (EPA) at 40 CFR part 247.

Section 00165 – Quality of Materials

00165.03 Testing by Agency - The Agency at its own cost shall retain the services of a testing laboratory to conduct field testing on the compaction of subgrade, engineered fill, aggregate base, asphaltic concrete, pipe bedding and trench backfill. Areas failing to meet the density requirements shall be re-compacted and tested again until passing.

Areas showing failing compaction results shall receive further attention without undue delay. Further attention may involve additional compaction efforts, other compaction methods, removal and recompaction of material or removal and replacement of material as required to obtain passing results.

No additional compensation will be made for down-time incurred as a result of testing or waiting for test results.

All additional Agency testing costs as a result of failing tests shall be borne entirely by the Contractor. All associated costs arising from any necessary additional work due to failing compaction test results, including removal and replacement of material, shall be borne by the Contractor.

Any subsequent settlement of any backfilled area during the Correction Period shall be considered to be the result of improper compaction and shall be promptly corrected by the Contractor at no cost to the Agency.

00165.04 Costs of Testing – Delete the second and third sentences of the first paragraph and add the following:

The Contractor shall conduct source-review tests of Aggregates for submittal to the Agency.

Section 00170 – Legal Relations and Responsibilities

00170.03 Furnishing Rights of Way and Permits - Prior to construction, the Contractor shall obtain from the City of Warrenton a Right-of-Way Permit. Permit fees shall be waived by the City.

00170.10(a) Commercial Automobile Liability – Combined single limit per occurrence shall be not less than \$1,000,000.

00170.62 In General – Add the following to subsection:

Contractor shall comply with Executive Order 11246 of September 24, 1965, entitled “Equal Employment Opportunity,” as amended by Executive Order 11375 of October 13, 1967, and as supplemented in Department of Labor regulations (41 CFR chapter 60).

00170.65(b)(1) Minimum Wage Rates – BOLI Prevailing Wage Rates (Effective January 1, 2019) title page is included at the end of these Special Provisions.

00170.70(a) Commercial General Liability – Combined single limit per occurrence shall not be less than \$1,000,000. Annual aggregate limit shall not be less than \$2,000,000.

00170.70(c) Additional Insured - The liability insurance coverages of 00170.70(a) shall include the Agency, the Agency's governing body, board, or Commission and its members, and the Agency's officers and employees as Additional Insureds, but only with respect to the Contractor's activities to be performed under the Contract. When federal transportation funding is involved, the liability coverages of 00170.70(a) shall also include the State of Oregon, the Oregon Transportation Commission and the Oregon Department of Transportation and their respective officers, members and employees as additional insureds, but only with respect to the Contractor's activities to be performed under the Contract. Coverage shall be primary and non-contributory with any other insurance and self-insurance. The liability coverages of 00170.70(a) that are permitted by the Agency to be obtained by an appropriate subcontractor shall

include all of the foregoing as Additional Insureds and shall also include Contractor and its officers and employees as Additional Insureds.

00170.96 Whistleblower - Contractor receiving SDWRLF funds shall under or through this contract to, post notice of the rights and remedies provided to whistleblowers under No Fear Act Pub. L. 107-174. 29 CFR § 1614.703 (d).

Section 00180 – Prosecution and Progress

00180.20(a) General – The Contractor’s own organization shall perform work amounting to at least 50% of the original Contract Amount.

00180.40(a) In General – Add the following to subsection:

Work hours shall be 7:00 a.m. to 6:00 p.m. unless otherwise approved by the Engineer.

00180.41 Project Work Schedules – A Type “A” Schedule shall be required for the Project.

00180.41(a) Type “A” Schedule – A Type “A” Schedule is required for this Project.

00180.85(b) Liquidated Damages – The amount of liquidated damages shall be \$3,600.00 per Calendar Day.

00180.90(a) In General – Add the following to subsection:

Contractor shall address termination for cause and for convenience, including the manner by which it will be effected and the basis for settlement. In addition, contractor shall address administrative, contractual, or legal remedies in instances where contractors violate or breach contract terms, and provide for such sanctions and penalties as appropriate.

00180.90(c) In General – Add the following to subsection:

Contractor shall address termination for cause and for convenience, including the manner by which it will be effected and the basis for settlement. In addition, contractor shall address administrative, contractual, or legal remedies in instances where contractors violate or breach contract terms, and provide for such sanctions and penalties as appropriate.

Section 00190 – Measurement of Pay Quantities

00190.00 Scope – This section is supplemented with Section 00190 – Measurement of Pay Quantities included after the Bid Schedule in the Bid Form section of the Contract Documents.

Section 00199 – Disagreements, Protests, and Claims

00199.30(b) Claims Requirements – Contractor written claims to Engineer or Agency shall be delivered to:

Public Works Director
P.O. Box 250
Warrenton, OR 97146

PART 00200 – TEMPORARY FEATURES AND APPURTENANCES

Section 00205 - Field Laboratory, Weighhouse, Etc.

Delete section in its entirety.

Section 00210 Mobilization

00210.00 Scope – Add the following to the subsection:

- Obtaining required bonds, insurance, permits, and licenses.
- Preparing and submitting shop drawings, “As-Built” drawings and other submittals.
- Removing equipment and extra materials from site upon completion of Work.
- Furnishing and installing wood posts, and mounting and installation of an OBDD-IFA supplied project sign.
- All other work not identified in a separate bid item.

Section 00220 – Accommodations for Public Traffic

00220.03(a) Over-Dimensional Vehicle Restrictions - Delete subsection in its entirety.

00220.40(d) Adjacent to Excavations - In the first “bulletized” item, delete the words “as shown on the standard drawings”.

00220.40(e)(1) Closed Lanes - Add the following to the subsection:

Unless otherwise authorized by the Engineer, the Contractor shall maintain two lanes of traffic on all streets affected by construction between the hours of 6:00 p.m. and 7:00 a.m. At least one lane of traffic shall be maintained through each work zone for all Streets during construction, except as indicated below.

Detours and closures will be allowed, but must be approved by the Engineer in advance. Partial one block closures (with local access and emergency services access) of Seventh Avenue and Sixth Avenue, during working hours will be allowed. During non-working hours and on the weekends. NW 13th Street will need at least one lane open to traffic during working hours and two lanes open during non-working hours and on the weekends to allow access to one residential home and Warrenton Fiber.

00220.70 Opening Sections to Traffic - In the second paragraph, delete the phrase “except watering ordered to protect the work or to alleviate dust will be paid as provided in Section 00340”.

Section 00225 – Work Zone Traffic Control

00225.00 Scope - Delete the phrase “according to the standard drawings, the traffic control plan (TCP) for the Project, these Specifications, or as directed” and replace with the phrase “traffic control plan (TCP) for the Project submitted by the Contractor and accepted by the Agency, these Specifications, or as directed”.

00225.05 Contractor Traffic Control Plan - Delete the subsection in its entirety and replace with the following:

The Contractor shall submit a proposed TCP prepared by an engineer currently licensed in Oregon for the Agency's review and acceptance at least 14 days prior to start of any construction. The proposed TCP shall address all operational aspects of the Contractor's work, and shall include provisions for areas used by the Contractor for staging and storage of materials and equipment. The proposed TCP shall include order and duration of the TCP, all TCMs, TCDs, lane and street closures, and detours. If additional modifications are proposed by the Contractor to the

HAMMOND WATERLINE

City of Warrenton

Special Provisions

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Contractor's TCP as accepted by the Agency, submit modifications prepared by an engineer currently licensed in Oregon at least 7 days before beginning the construction activities that require the TCP changes.

The following parameters shall apply to the Contractor's Traffic Control Plan:

- Unless otherwise authorized by the Engineer, the Contractor shall maintain two lanes of traffic on all streets affected by construction between the hours of 6:00 p.m. and 7:00 .am.
- Plan shall meet the requirements of the attached ODOT permit and be approved by ODOT.
- Constraints, restrictions and coordination requirements as per 00220(e)(1), 00220(e)(2)(b) and 00220.40(f).
- Traffic control shall be designed to move traffic past the area smoothly, with proper and adequate advance signing.
- Wherever the existing roadway surface is disturbed by construction and except where temporary paving is required, the Contractor shall regularly grade and maintain a smooth gravel surface for vehicular traffic traveling through and within the project area until the project is complete.
- Access for Emergency vehicles shall be accommodated at all times.
- The Contractor shall have the responsibility of coordinating the needs of the abutting residents and businesses for parking and access. Temporary on-street parking changes during construction may be allowed, but must be approved by the Engineer.
- The Contractor shall coordinate its schedule of Work, operations and traffic control with the following organizations and agencies:

Warrenton School Buses, Cindy Kirby, Director	503-861-2278
Sunset Empire Transit, Jeff Hazen, Director	503-325-7433
Warrenton Police, Mathew J. Workman, Chief	503-861-2235
Warrenton Fire, Tim Demers, Chief	503-861-2494
Recology, Scott Law, Dispatcher	503-861-0578
Medix Ambulance	503-861-1990

- The Contractor shall retain a Traffic Control Supervisor for the project, with responsibility and authority to continuously monitor and direct traffic control operations at all times on the project. The Traffic Control Supervisor shall have specific training in temporary traffic control for construction. The Contractor shall provide the Traffic Control Supervisor's name and phone number and training credential documentation to the Agency at the pre-construction conference. The Traffic Control Supervisor shall not be changed by the Contractor without prior notification to the Agency and providing the same information for the new Traffic Control Supervisor and receiving Agency acceptance of the change.

Section 00280 – Erosion and Sediment Control:

00280.04 Erosion and Sediment Control Plan on Agency Controlled Lands - In the last sentence of the first paragraph, delete "before" and replace with "after".

00280.05 Erosion and Sediment Control Plan on Non-Agency Controlled Lands - In the last sentence of the first paragraph, delete "before" and replace with "after".

In the second paragraph, delete "10 days before" and replace with "at".

Section 00290 – Environmental Protection

00290.20(b) Fuel Storage – Delete the second sentence of the second paragraph.

00290.30(b) Pollution Control Plan: In the first paragraph, delete the phrase “for approval 10 calendar days before the preconstruction conference”.

Delete the last paragraph which begins “A Pollution Control Plan Contractor Packet...”

00290.32 Noise Control – Delete the first “bullet” and replace with the following:

The Contractor shall comply with all requirements regarding noise control, as in accordance with Chapter 9.04, Offenses, in the Warrenton Code.

00290.52(a) Archaeological Monitoring and Inadvertent Discovery Plan Implementation Delays – Add this subsection:

The Contractor shall be responsible for a total of up to eight (8) hours of labor and equipment delay as the result of Archaeological Monitoring and Inadvertent Discovery Plan implementation during construction through the Project site. The total 8 hours of delay shall be an accumulation of delay time regardless of the number of delays. No increase in Contract Price or extension of Contract Time shall be allowed for the 8 hours of delay. Compensation for labor and materials for delays beyond 8 hours shall be in accordance with Sections 00180, 00195, 00196 and 00197 of the General Conditions and their related Special Provisions.

PART 00300 – ROADWORK

Section 00310 – Removal of Structures and Obstructions

Section 00330 – Earthwork

00330.41(a)(9)c Unstable Subgrade Material - Add the following to subsection:

Where unsuitable foundation is encountered and over-excavation is required, the Contractor shall excavate to a depth as directed by the Engineer and replace the resultant void with 2-1/2" – 0" aggregate base material.

PART 00400 – DRAINAGE AND SEWERS

Section 00405 – Trench Excavation, Bedding, and Backfill

00405.02 Definitions

Pipe Bedding: Add the following:

The total bedding depth shall be a minimum of 6 inches below the bottom outside surface of the barrel of the pipe for the full width of the trench.

Pipe Zone: Delete “8 inches” and replace with “12 inches”.

Trench Excavation: Add the following:

Trench excavation and backfill shall include potholing for determination of existing utilities where shown on the Drawings.

Trench excavation shall be classified as common excavation/with the exception of rock excavation.

Trench Backfill: Add the following:

Imported Trench Backfill shall be imported crushed aggregate materials free from wood waste, organic material, and other extraneous or objectionable materials.

Lateral Restraint Shoring System: Add the following:

Lateral Restraint Shoring System is defined as a shoring system with full face braced shields tightly against the trench sidewalls throughout trench excavation and pipe installation to maintain lateral stability.

00405.11 Trench Foundation - Delete the four “bulleted” items and replace with the following: 2-1/2”-0 base aggregate in conformance with 02630.10.

00405.12 Bedding – Add the following to subsection:

Pipe Bedding material shall be ¾” – 0 base aggregate material in conformance with 02630.10.

00405.13 Pipe Zone Material – Add the following to subsection:

Pipe Zone Material shall be ¾” – 0 base aggregate material in conformance with 02630.10.

00405.14 Trench Backfill - Add the following to subsection:

Trench Backfill shall be Class B Backfill.

00405.16 Pipe Zone Locate Wire – Add this subsection:

The Contractor shall install 12 gauge locate wire 6 inches above all non-ferrous pipe. Sanitary Sewer wire shall be green, water wire blue, storm drain wire white. Run wires into valve, cleanout and meter boxes, manholes and other structures to a point accessible from the cover. Locate wires to not hinder access into manholes.

00405.17 Submittals

This is a new section added to the specifications:

The following submittals for lateral restraint shoring system are required.

1. Imported material acceptance certification and test results
 - (a) Certification and test results shall be provided for all sources of imported materials.
2. Trench shield (trench box) certification if employed:
 - (a) Specific to Project conditions.
 - (b) Re-certified if members become distressed.
 - (c) Certification by currently registered Oregon professional engineer.

3. Lateral Restraint Shoring System certification if employed:
 - (a) Specific to Project conditions.
 - (b) Certification by currently registered Oregon professional engineer.
4. Trench Safety Plan and/or trench shoring drawing:
 - (a) Trench Safety Plan and/or trench shoring drawings submittal is required only as evidence that plans and drawings have been prepared if required by authorities having jurisdiction.
5. Design of dewatering systems, cofferdams, surface water diversion systems, creek crossings, restoration, and protective works shall be by a currently registered Oregon professional engineer:

The Engineer's acceptance of the trench shield and shoring certifications and trench drawings/plans is for record purposes only. It shall not be construed as a detailed analysis for adequacy of the support system, nor shall any provisions of the foregoing requirements be construed as relieving the Contractor of its overall responsibility and liability for the work.

00405.30 Quality Control Personnel – Delete subsection in its entirety.

00405.41(c) Trench Width – Delete third and fourth sentences of subsection.

00405.41(f) Trench Excavation, Trench Protection - This section is supplemented with the following:

The Contractor shall be responsible for providing Trench Protection as follows:

1. The Contractor is solely responsible to design, install and maintain shoring, sheeting, bracing and sloping necessary to support the sides of the excavation and to prevent any movement that may trigger landslides, damage adjacent structures and facilities, endanger life and health, or pose a threat to the environment. Conform to the requirements of applicable governmental regulations and agencies.
2. All sheeting, bracing, shoring and trench shields shall be designed or certified by a currently registered Oregon professional engineer and meet the requirements of all applicable local, state, and federal safety codes. The designer shall comply with all applicable codes, ordinances and statutes, and bear sole responsibility for any and all penalties imposed for noncompliance.
3. Do not install sheeting and shoring using vibratory methods.
4. Make and maintain all excavations in a safe manner.
5. Carefully reconsolidate the bedding and side support outside a trench shield prior to placing backfill.
6. Leave in place those portions of sheeting extending below the crown elevation of the pipe, unless the bedding and side support can be reconsolidated to the satisfaction of the Engineer.
7. Where removal of sheeting would result in damage to adjacent utilities or other property, the Engineer may order all or a portion of sheeting to be cut off and left in place.
8. Do not use horizontal strutting below the barrel of a pipe.
9. Do not use the pipe as support for trench bracing.
10. Contractor shall restore all existing facilities damaged, destroyed, or altered by soil movements resulting from temporary shoring movements or nonperformance, at Contractor's own expense.
11. Provide Lateral Restraint Shoring System for in the areas defined in Section 00405.41(b) to provide lateral support to the trench walls and to prevent movement of the trench walls.
 - (a) Possible types of Lateral Restraint Shoring Systems that can be utilized for this project are trench shield with filling of excavation voids between the shield and trench walls, and some proprietary Lateral Restraint shoring systems (such as slide rails and shore tracks). A trench shield without filling of voids is not considered a Lateral Restraint Shoring System. The voids between the trench walls and the trench box shall be filled with $\frac{3}{4}$ " minus aggregate base materials immediately after trench box is placed to maintain tight contact to the sidewall soils. The voids should remain filled until the trench is backfilled.
 - (b) Shoring systems that only support parts of the trench walls (such as, space sheeting with screw jacks or hydraulic jacks) and/or require considerable excavation to be made prior to the installation of the shoring, are not considered to be Lateral Restraint Shoring System.

- (c) The Lateral Restraint Shoring System shall be installed, maintained constantly, and in full contact with the trench walls throughout excavation and shall not be removed until the appropriate trench material has been placed in accordance with these specifications.
 - (d) Any voids between the trench walls and the Lateral Restraint Shoring System recognized by the Contractor, Agency or Engineer shall immediately be filled with Pipe Bedding Material.
 - (e) The shoring system shall be designed for a lateral earth pressure, groundwater and perched water, plus any equipment, vehicle, materials, or other loads applied by the Contractor.
 - (f) Excavated material shall be removed from within the shoring system so no portion of the excavation is unsupported.
12. Detrimental Movements or Settlements: Work shall be stopped immediately and the causes of detrimental movements be ascertained if:
- (a) Damage is noted on adjacent structures.
 - (b) Total settlements of an adjacent structure are noted to be 1/4-inch or larger.
 - (c) Total horizontal movements of an adjacent structure are noted to be 1/4-inch or larger.
 - (d) Shoring systems horizontal movements are noted to be 1/4-inch or larger.
 - (e) Total ground settlements, when measured at settlement measurement points, are noted to be 1/2-inch or larger.
 - (f) There is a trend or a rate of change of any settlement or movement that indicates the maximum movements given above will be exceeded.
 - (g) Work is directed to stop by the Engineer due to other detrimental effects or factors.
13. The causes of the detrimental movements or excessive settlements must be identified by the Contractor. Corrective measures must be proposed to and approved by the Engineer before further Work.

00405.43 Dewatering - This section is supplemented as follows:

Dewatering equipment shall be provided to remove and dispose of all surface water and groundwater entering excavations, trenches, or other parts of the work.

1. The Contractor is solely responsible to design, furnish, install, maintain, and operate all necessary dewatering wells, sump/pumps and other devices for dewatering all excavations. The Contractor's plan for the dewatering system shall be submitted for review.
2. Dewatering is large part of this project. Groundwater elevations will be very close to the surface during construction with very porous native soils. The contractor shall only excavate the minimum trench length that their dewatering system can control. This means that the contractor may only be able to excavate their trench the minimum required to install one stick of pipe.
2. At all times have on the project sufficient dewatering devices for immediate use, including standby pumps in case other pumps become inoperable.
3. Provide a sufficient number of dewatering devices so as to hold the groundwater level at an elevation of not less than 1 foot below the lowest elevation of the pipe or other material to be placed. When groundwater is encountered, the Contractor shall assess the situation and develop a plan to accommodate dewatering. The Contractor shall be solely responsible for control of groundwater through dewatering and trench excavation control Plan. All costs for pumping, piping, settling and discharging of trench groundwater shall be considered incidental to the work for groundwater control pumping of up to 250 gpm. If conditions are such that additional pumps are required to remove water in excess of 250 gpm, the Contractor shall use the number and size of additional pumps, as required, to maintain a water-free trench.

If pumping in excess of 250 gpm is required, a change order will be negotiated to provide compensation to the Contractor shall be per 1-04.4 of the Standard Specifications.
4. The dewatering operation shall be continuous, so that the excavated areas shall be kept free from water during subgrade preparation, while pipes are installed, and until backfill has been placed to the extent that no damage from hydrostatic pressure, flotation, or other cause will result.

5. Continue dewatering during backfilling operations such that the groundwater is at least 1 foot below the level of the compaction effort at all times. No compaction of saturated materials will be allowed.
6. If pumping is required on a 24 hour basis, requiring engine drives, then engines shall be equipped in a manner to keep noise to a minimum.
7. Dewatering devices must be adequately filtered to prevent the removal of fines from the soil.
8. Settle and/or filter all dewatering system collected flow through Contractor provided settling tanks and systems in order to meet Water Quality Standards; Beneficial Uses, Policies, and Criteria for Oregon (OAR 340-041-0036) prior to discharging.
9. Dispose of water in such a manner as to cause no injury or nuisance to public or private property, or be a menace to the public health.
10. The Contractor shall be responsible for any damage to existing structures, pavement, utilities, or of the new works caused by Contractor's dewatering activities or failure of any part of the Contractor's dewatering activities.

The Contractor shall be responsible for furnishing temporary drainage facilities to convey and dispose of surface water falling on or passing over the site.

00405.44 Trench Foundation - Delete the third sentence of the second paragraph and replace with the following: Place the trench foundation material in no more than 12-inch layers and compact according to 00330.43.

00405.45 Pipe Bedding - Add the following paragraph to subsection:

The Contractor shall place subsequent lifts of not more than 6-inches in thickness up to one foot above the top of the pipe, bringing lifts up together on both sides of the pipe.

Bedding material shall be compacted to 95% of the Standard Proctor maximum dry density (ASTM D698, AASHTO T-99). For the case that bedding material is not density testable, procedures in accordance with 00405.46(c) (2) shall be followed. In this case, the bedding materials should be compacted using suitable compaction equipment until non-yielding.

Bedding disturbed by pipe movement, by removal of shoring, or by movement of a trench box or shield shall be recompacted prior to backfill. Special care shall be taken to provide adequate bedding support at connections and adjacent to other structures so as to avoid bending or shearing stresses at these critical points. The Contractor shall prevent pipe movement either horizontally or vertically during placement and compaction of pipe bedding material.

00405.46(a) General - Delete "Test for density according to 00330.43"

00405.46(b) Pipe Zone - Add the following to the subsection:

Pipe zone material shall be compacted to 95% of the Standard Proctor maximum dry density (ASTM D698, AASHTO T-99).

00405.46(c)(1) General - This subsection is modified as follows:

Delete second paragraph. Excavated material shall not be used for backfill material.

00405.46(c)(2) Class A, B, C, or D Backfill – Delete the second paragraph of the subsection and add the following:

Within rights of way and paved surfaces, trench backfill shall be compacted to 98% of the Standard Proctor maximum dry density within two feet of final pavement subgrade elevation and to 95% of the Standard Proctor maximum dry density below two feet of final pavement subgrade elevation. Water jetting is not acceptable as a method of compaction.

In-place compaction tests will be made by the Agency. Contractor shall remove and recompact material that does not meet specified requirements. The Agency will charge the Contractor for the time and expenses to re-test non-compliant trench backfill.

For materials where a compaction curve cannot be developed in accordance with ASTM D698 or AASHTO T-99, compaction and field verification procedures for non-testable soils in ODOT Section 00330.43(c) should be followed. Deflection testing is not required.

When the backfilling is complete, the Contractor shall finish the surface area as specified. In paved areas, the Contractor shall maintain the surface of the trench backfill level with the existing grade, until final pavement replacement is completed and accepted by the Agency.

HAMMOND WATERLINE

City of Warrenton

Special Provisions

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Add the following at the end of the subsection:

When crossing below an existing natural gas pipeline main or service of any size, the Contractor shall provide and install sand drainage material conforming to 00360.10 to provide a 12-inch envelope in all directions around the existing gas piping.

00405.48(c) Pavement, Curb and Sidewalk

Add the following to the first paragraph, after the second sentence:

Saw cut to nearest joint, panel or as indicated in the Supplemental Drawings.

In second paragraph, delete "6 inches" and replace with "12 inches" and add the following:

The Contractor is responsible for any damage to the sawcut trench edges between the time of excavation and paving. Trenches excavated for paving may not be left open for more than one day without steel plates or backfilling.

Section 00440 – Commercial Grade Concrete

00440.14(a) General - In last sentence, delete "a QCT" and replace with "Agency".

00440.30 Quality Control Personnel - Delete this subsection in its entirety.

PART 00600 – BASES

Section 00610 – Reconditioning Existing Roadway

00610.40 Removal and Replacement of Unsuitable Materials - Delete the last three sentences of the subsection and replace with the following:

Replace the removed materials with successive courses of 2-1/2"-0 base aggregate.

Section 00641 – Aggregate Subbase, Base, and Shoulders

00641.10(a) Base and Shoulder Aggregate - After the second sentence, add the following:

Base aggregate shall consist of both leveling rock and base rock as shown on the Supplemental Drawings. Leveling rock and base rock shall conform to Section 02630.10 for 3/4"-0 and 1-1/2"-0, respectively.

PART 00700 – WEARING SURFACES

Section 00744 – Asphalt Concrete Pavement

00744.12(a) Mix Type - Mix Type shall conform to 1/2" ACP.

00744.13 Job Mix Formula Requirements - JMF shall conform to Level 3.

00744.16 Sampling and Testing - Agency will provide sampling and testing including type and frequency of tests.

00744.42 Tack Coat - Delete the last sentence of the first paragraph and replace with the following:

Tack coat asphalt shall be approved by the Engineer prior to application. Hot tack is required prior to all applications of asphalt concrete pavement.

00744.43(c) Placing - In last paragraph of subsection, delete the third sentence and replace with the following:
Where 4-inches of ACP is required for trench pavement patching or street section, place in two separate 2-inch lifts.

00744.49 Compaction - Agency will conduct compaction testing including determination of testing frequency.

Section 00748 – Asphalt Concrete Pavement Repair

00748.10 Materials - Add the following to subsection:

Aggregate base shall consist of both leveling rock and base rock as shown on the Supplemental Drawings.

Leveling rock and base rock shall conform to Section 02630.10 for 3/4"-0 and 1-1/2"-0, respectively.

Asphaltic Concrete Pavement shall conform to Section 00744 as modified by these Special Provisions.

Emulsified Asphalt Concrete shall not be allowed.

PART 00800 – PERMANENT TRAFFIC SAFETY AND GUIDANCE DEVICES

Section 00850 – Common Provisions for Pavement Markings

00850.50 General – Add the following to subsection:

Temporary pavement markings shall be maintained in serviceable condition throughout the project until permanent pavement markings are installed. Temporary pavement markings that are damaged shall be repaired or replaced by the Contractor immediately at no additional cost to Agency.

00850.75 Manufacturer's Warranty – In the first sentence, delete "on Agency supplied warranty forms". Delete the last sentence.

Section 00867 – Transverse Pavement Markings – Legends and Bars

00867.45 Installation - Type B-HS: Preformed, Fused Thermoplastic Film High Skid material shall apply to this project.

PART 01100 – WATER SUPPLY SYSTEMS

Section 01140 – Potable Water Pipe and Fittings

01140.00 Scope – Add the following subsection:

01140.10 Materials – Modify this subsection as follows:

PVC waterlines shall meet the requirements of 02470.40.

All ductile iron pipe and fittings and all brass fittings shall be manufactured within the U.S.

01140.40(a) Dewatering Trenches – This section is supplemented with the following:

See subsection 00405.43 Dewatering for additional requirements.

HAMMOND WATERLINE

City of Warrenton

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01140.41(a) General – Add the following to subsection:

Pipe shall be installed with 30 inches minimum (42 inches in ODOT highway) and 42 inches maximum cover from the top of the pipe to finished grade unless otherwise shown on the Supplemental Drawings or as approved by the Engineer.

01140.41(c) Polyethylene Encasement – Delete this subsection in its entirety.

01140.43 Polyethylene Encasement – Delete this subsection in its entirety.

01140.44(b) Restrained Joints – Delete the first sentence and replace with the following:

In addition to concrete thrust blocks as required in subsection 01140.44(a), mechanically restrain all joints at bends, tees, dead ends and crosses.

01140.47(b) Permission – Delete and replace with the following:

The Agency will be responsible for shutting down the existing water system when necessary for connection of a new waterline. The Contractor shall notify the Agency at least 2 working days in advance of each requested shutdown. Shutdowns shall not be scheduled on Friday or the day before a holiday and shall be planned to take place early in the day. Shutdowns may also have to be scheduled during times other than normal working hours. To minimize the inconvenience to water customers, the Contractor shall plan carefully for the installation of the new pipe by exposing the existing pipe in advance and making sure to have all necessary fittings, tools, equipment and personnel to make the connection in as short a time period as possible. The Contractor shall notify the Agency of any changes to the schedule prior to customer notification. If the schedule is changed after Agency staff has spent time or resources preparing for the shutdown, the Contractor will reimburse the Agency for personnel and equipment time and other expenses. Reimbursement will not be required if circumstances beyond the control of the Contractor cause the schedule change.

01140.51 Hydrostatic Testing – Delete and replace with the following:

Test Pressure - In accordance with AWWA C600, the line shall be subjected to a hydrostatic pressure of at least 1.5 times the working pressure. The test pressure shall be based on the lowest elevation (maximum static pressure) of the section of line to be tested. It is also necessary to carefully choose test sections in lines with large elevation differences to insure compliance with AWWA C600 where it says “the test pressure shall not be less than 1.25 times the working pressure at the highest point along the test section” wherever possible. Water service testing shall be done after all taps and service lines are completed.

For example, a section of line has a working pressure at the low end of 110 psi and 70 psi at the high end. The test pressure at the low end should be 1.5 x 110 psi or 165 psi. This will result in a pressure of 125 psi at the high end which easily exceeds the 1.25 times the working pressure requirement of 87.5 psi.

Required Length of Test - 2 hours

Pass/Fail Determination - If there is any pressure drop during the 2-hour test period, the determination of whether the line can be accepted will be made on the amount of leakage that occurred. The allowable leakage is based on the length of line, diameter and test pressure as shown in Section 4.16 of AWWA C600. The leakage may be measured by pumping the line back to test pressure from a vessel which will permit the determination of the volume of makeup water pumped. Leakage may also be determined by pumping the line back up to test pressure and then measuring the amount of water that must be released to create the same drop in pressure that occurred over the 2-hour test.

The preceding paragraphs are intended to clarify certain portions of AWWA C600. All requirements of AWWA C600 apply to testing of waterlines.

Hydrostatic testing of HDPE pipe shall be to 150% of the working pressure of the piping system at the lowest elevation of the section being tested but not less than 1.25 times the working pressure at the highest point of the test section and shall be performed on all installed pipelines in accordance with the manufacturer’s written instructions.

01140.52 Disinfecting – Delete and replace with the following:

Prior to placing new water mains in service, the Contractor shall disinfect new mains, and any repaired portions of, or extensions to, existing mains, and obtain two satisfactory bacteriological reports. Disinfection shall be performed in accordance with AWWA C651, or as directed by the Engineer. The first sample will be collected and bacteriological tests obtained by the Agency at its cost. The second sample shall be collected by the Contractor and the Contractor shall be responsible for having the bacteriological test conducted by a State of Oregon certified laboratory at the Contractor’s cost. The Contractor shall notify the Engineer at least 24 hours in advance to schedule bacteriological testing.

HAMMOND WATERLINE

City of Warrenton

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No connections will be made to the existing water system until the Contractor has successfully passed specified pressure and bacteriological testing. Temporary piping, valves and connections may be required to maintain water service and complete testing. Contractor is responsible for detailing and providing all temporary piping, valves and connections required.

Section 01170 – Potable Water Service Connections, 2 inch and Smaller

01170.40 General – Add the following to subsection:

Connect to all water mains, including Class 52 ductile iron, using saddles. Direct taps are prohibited.

The existing water meters shall be left in the water meter box for the Agency to salvage. The Agency will perform the required documentation for updating property account information related to the water meter.

01170.40(b) Installation – Add the following to subsection:

When copper tubing is used for service connections, the tubing shall only be bent by using a suitable copper tubing tool to bend. Any divots for bends found in the copper tubing upon installation will be unacceptable. The Contractor will replace the tubing at no additional charge to the Agency.

01170.41 Reconnecting Existing Services – Add the following to subsection:

Where new water meter and service is shown on the drawings, the existing water service and meter, meter box, fittings, appurtenances and other miscellaneous materials shall be removed and disposed of by the Contractor.

PART 02000 – MATERIALS

Section 02470 – Potable Water Pipe Materials

02470.20(a) General - Delete “16 inches” and replace with “18 inches”:

Section 02475 - Potable Water Fitting Materials

02475.20 Ductile Iron Pipe Fittings – Delete the first sentence and replace with the following:

All ductile iron fittings shall meet the requirements of AWWA C153.

02475.50 Restrained Joints – Delete subsection and replace with the following:

Restrained mechanical joints shall be restrained with Romac Grip Rings.

Restrained push on pipe joints shall be restrained with Field LOK gaskets or equal.

02475.60 Bolted, Sleeve-Type Couplings for Plain-End Pipe - Add the following to subsection:

When connecting ductile iron pipe to ductile iron pipe, the transition couplings shall be a mechanical joint ductile iron Class 350 long body sleeve fitting. If a long sleeve cannot be used to connect to the existing piping material use a Romac Macro HP two bolt wide range coupling or equal.

Flanged coupling adapters (FCA's) shall be Megaflange 2100 series as manufactured by EBAA Iron or approved equal.

Section 02480 – Potable Water Valve Materials

02480.10 General – Gate Valves shall be manufactured by American Flow Control (AFC).

02480.25 Valve Boxes – Valve boxes Vancouver style model 910 with notches and a traffic rated lid and labeled “W” or “water.” All valve boxes shall have self-centering guides. Manufacturer cast valve box notches shall be aligned with direction of main. overall in accordance with Supplemental Drawings.

02480.26 Valve Stem Extensions – Delete last sentence and replace with the following:

Valve stem extensions shall be provided to raise the operating nut to no more than 2 feet below the finished grade.

Section 02490 – Potable Water Service Connection Materials, 2 Inch and Smaller

02490.10 General – Service line materials shall be designed for a minimum working pressure of 150 psi.

02490.20 Saddles – Add the following to subsection:

This specification for saddles includes saddles shown for installation of blow off assemblies and connecting waterlines 2-inch or smaller as shown on the Supplemental Drawings.

02490.40 Service Pipe and Fittings – Supplement this subsection with the following:

- (a) Copper Tubing Service Pipe - 3/4-inch and 1-inch services shall be municipex.
- (b) Polyethylene Tubing Service Pipe - 2-inch services shall be HDPE tubing, CTS Size, SDR9, 200 PSI, Blue.
- (c) Service Fittings - Service connection fittings shall be low-lead brass. Fittings for copper tubing shall be compression-type. Fittings for polyethylene tubing shall be compression-type (Mueller 110). Fittings for polyethylene tubing shall be same DR as service line tubing.

APPENDIX A
CERTIFICATE OF COMPLIANCE

**CERTIFICATE OF COMPLIANCE
FOR
HAMMOND WATERLINE
FOR
THE CITY OF WARRENTON**

City of Warrenton
45 SW 2nd Street/P.O. Box 250
Warrenton, OR 97146

ATTN: Collin Stelzig, P.E., Public Works Director

PROJECT NAME: Hammond Waterline 2020

PROJECT LOCATION: Warrenton, Oregon

I hereby certify that:

- A. All work on the above referenced contract has been performed and materials supplied in accordance with the plans, specifications and contract documents for the above work;
- B. There have been no unauthorized substitutions of Subcontractors; nor have any subcontracts been entered into without the names of the subcontractors having been submitted to and approved by the Owner prior to the start of such subcontracted work;
- C. No subcontract was assigned or transferred or performed by any Subcontractor other than the original Subcontractor, without prior notice having been submitted to and approved by the Owner together with the names of all Subcontractors;
- D. All Subcontractors performing work described in ORS 701.005(2) (i.e., construction work) were registered with the Construction Contractors Board or licensed by the State Landscape Contractors Board in accordance with ORS 701.026 to 701.035 before the Subcontractors commenced work under the contract;
- E. All claims for material and labor and other service performed in connection with these specifications have been paid;
- F. All money due the State Industrial Accident Fund, the State Unemployment Compensation Trust Fund, the State Tax Commission (in accordance with ORS 305.385 and ORS 279C.530), hospital associations and/or others have been paid.

Authorized Signature _____
[Contractor]

CITY OF WARRENTON HAMMOND WATERLINE

CITY COMMISSION

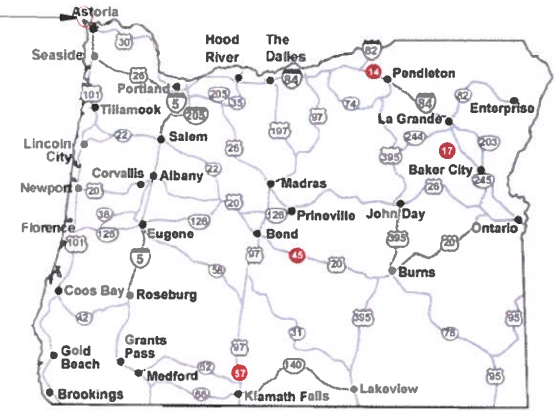
MAYOR: HENRY BALENSIFER III
 POSITION NO. 1: PAM ACKLEY
 POSITION NO. 2: MARK BALDWIN
 POSITION NO. 3: TOM M. DYER
 POSITION NO. 4: RICK NEWTON



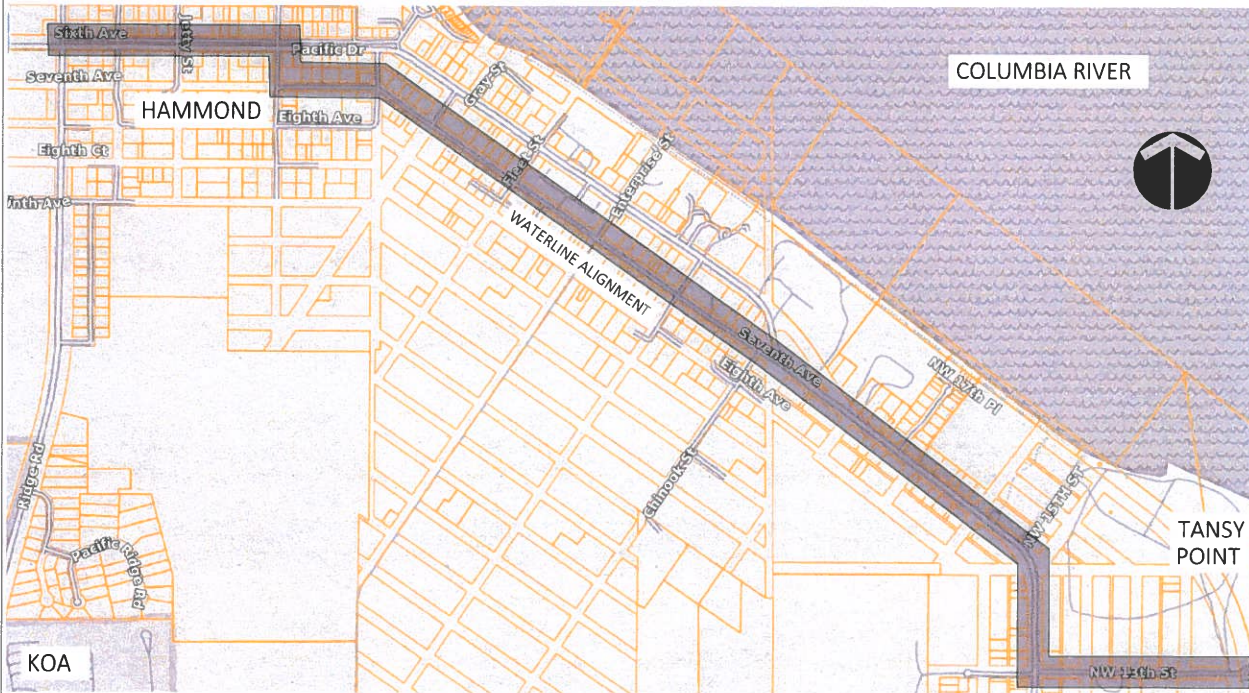
STAFF

CITY MANAGER: LINDA ENGBRETSON
 PUBLIC WORKS: COLLIN STELZIG, PE
 WATER QUALITY: BRIAN CROUTER

PROJECT LOCATION
WARRENTON, OREGON



VICINITY MAP
NOT TO SCALE

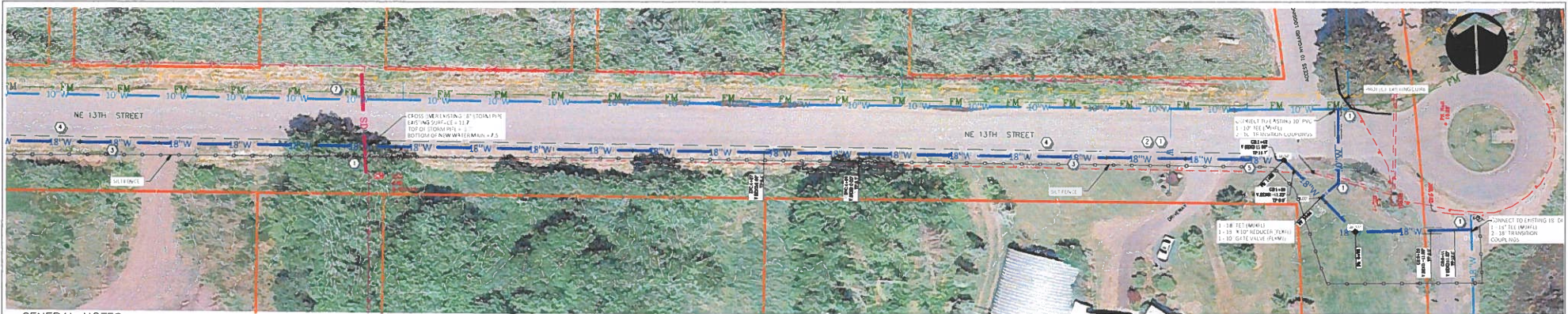


LOCATION MAP
1" = 400'

SHEET INDEX		
SHEET NO	SCHEDULE	DESCRIPTION
C0.1		VICINITY MAP, LOCATION MAP, SHEET INDEX
C0.2		GENERAL NOTES, LEGEND
C1.1		WATERLINE PLAN DESIGN (0+00 TO 15+50)
C1.2		WATERLINE PLAN DESIGN (15+50 TO 39+00)
C1.3		WATERLINE PLAN DESIGN (39+00 TO 64+00)
C1.4		WATERLINE PLAN DESIGN (64+00 TO 84+00)
C1.5		WATERLINE PLAN DESIGN (84+00 TO 99+86)
C2.1		WATERLINE PROFILE (0+00 TO 45+00)
C2.2		WATERLINE PROFILE (45+00 TO 90+00)
C2.3		WATERLINE PROFILE (90+00 TO 99+86)
C3.1		DETAILS
C3.2		DETAILS

REVISIONS			
DATE	MARK	DATE	DESCRIPTION
OCT. 1, 2020	RCS		
	RCS		
	RCS		

VICINITY MAP, LOCATION MAP, SHEET INDEX		DRAWING NUMBER
CITY OF WARRENTON		C0.1
RSTELZIG@CITY.WARRENTON.ORG US		503-861-0612



GENERAL NOTES
(STA 0+00 TO 13+50)

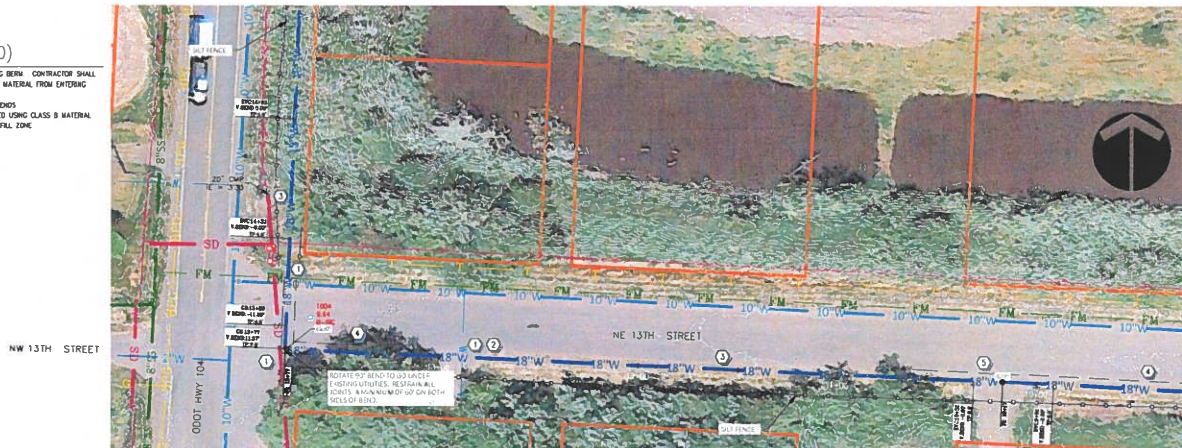
1. POTHOLE ALL EXISTING UTILITIES WITHIN THE ALIGNMENT OF NEW WATER SYSTEM.
2. CLEAN FRENCH SPILLS MAY BE SOAKED WHERE MATERIAL WONT IMPACT EXISTING DRAINAGE, LANDSCAPING, OR DRIVEWAY AND WONT RAISE SURFACE ABOVE EXISTING SHOULDER ELEVATION.
3. ALIGNMENT TO GENERALLY FOLLOW THE EXISTING EDGE OF PAVEMENT WITH A SANGUIT LINE AT APPROXIMATELY 2' IN FROM EDGE OF PAVEMENT. ALIGNMENT MAY BE ADJUSTED IN THE FIELD TO REDUCE CONFLICTS AND RESTORATION COST.
4. MINIMUM 30' OF COVER TO TOP OF PIPE.

CONSTRUCTION NOTES

1. POTHOLE ALL EXISTING UTILITIES WITHIN THE ALIGNMENT OF NEW WATER SYSTEM. IMMEDIATELY REPORT ALL CONFLICTS TO CITY. NOTE ON AS-BUILT PLANS THE LOCATION, DEPTH, MATERIAL, AND SIZE OF EXISTING UTILITY. POTHOLE WORK SHALL TAKE PLACE AT LEAST TWO WEEKS PRIOR TO START OF CONSTRUCTION OF WATERLINE.
2. ADJUST EXISTING WATER SERVICE OVER NEW WATERLINE USING MANHOLE AND APPROVED COUPLERS.
3. CONSTRUCT NEW 6\"/>

GENERAL NOTES
(STA 13+50 TO 22+00)

1. CONSTRUCTION WILL TAKE PLACE THROUGH EXISTING BERM. CONTRACTOR SHALL PREPARE BERM FOR EQUIPMENT USE AND PROTECT MATERIAL FROM ENTERING EXISTING DITCH.
2. USE RESTRAINED JOINTS AT ALL PIPE JOINT AND BENDS.
3. PIPE BEDDING AND PIPE ZONE SHALL BE SANDFILLED USING CLASS B MATERIAL.
4. HAVING MATERIAL MAY BE USED FOR FRENCH SANDFILL ZONE.
5. MINIMUM 30' OF COVER OVER TOP OF PIPE.



FILE NAME:HAMMOND WATER DESIGN 09 28 20.dwg

REVISIONS			
DATE	MARK	DATE	DESCRIPTION
OCT 1, 2020	RCS		
	RCS		
	RCS		

WATERLINE PLAN DESIGN
STA (0+00 TO 15+50)

CITY OF WARRENTON

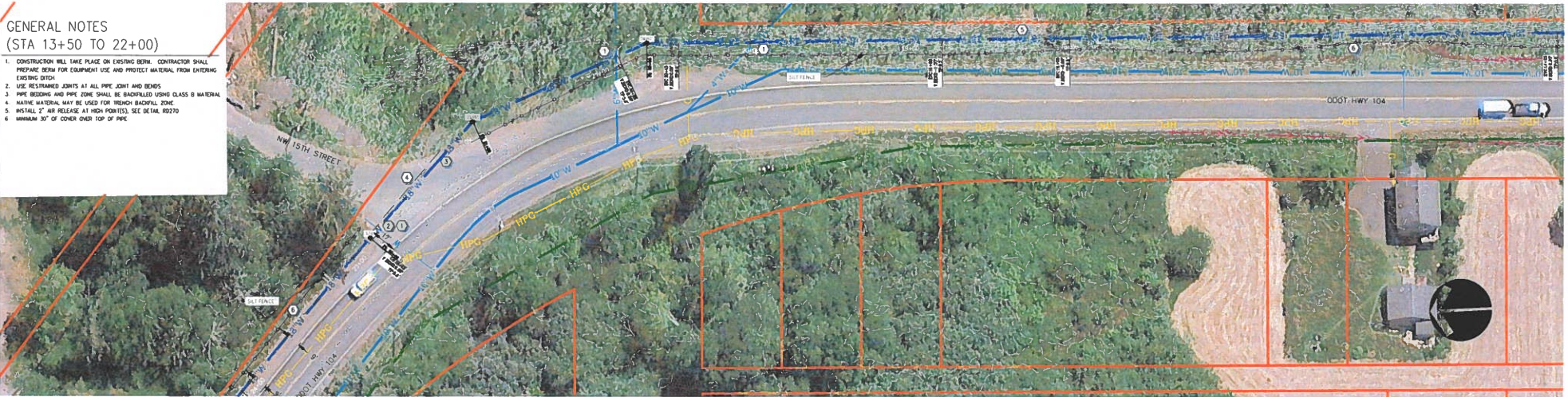
ISTELCIG@CITY.WARRENTON.ORG US 503-861-0912



DRAWING NUMBER
C1.1

**GENERAL NOTES
(STA 13+50 TO 22+00)**

- CONSTRUCTION WILL TAKE PLACE ON EXISTING DRIVE. CONTRACTOR SHALL PREPARE BIRM FOR EQUIPMENT USE AND PROTECT MATERIAL FROM ENTERING EXISTING DRIVE.
- USE RESTRAINED JOINTS AT ALL PIPE JOINT AND BENDS.
- PIPE BEDDING AND PIPE JOINT SHALL BE BACKFILLED USING CLASS B MATERIAL.
- WATNE MATERIAL MAY BE USED FOR FRENCH SHOULDER ZONE.
- INSTALL 2" AIR RELEASE AT HIGH POINTS; SEE DETAIL R0270.
- MINIMUM 30" OF COVER OVER TOP OF PIPE.



CONSTRUCTION NOTES

- POTHOLE ALL EXISTING UTILITIES WITHIN THE ALIGNMENT OF NEW WATER SYSTEM IMMEDIATELY REPORT ALL CONFLICTS TO CITY. NOTE ON AS-BUILT PLANS THE LOCATION, DEPTH, MATERIAL, AND SIZE OF EXISTING UTILITY. POTHOLES SHALL TAKE PLACE AT LEAST TWO WEEKS PRIOR TO START OF CONSTRUCTION OF WATERLINE.
- ADJUST EXISTING WATER SERVICE OVER NEW WATERLINE USING MONOPROX AND APPROVED COUPLERS.
- INSTALL NEW 18" BUTTERFLY VALVE AND VALVE CAN.

- SAWOUT EXISTING ASPHALT.
- INSTALL NEW AIR/MANHOOD VALVE.
- CONSTRUCT NEW CSD (R023) WATERLINE. SEE FRENCH DETAILS SHEET C12.
- INSTALL LOCATE VALVE BOX, JOINTS OR SPACES IN WIRE SHALL BE WATERPROOF. ENDS OF WIRE SHALL BE ACCESSIBLE IN WATER VALVE BOXES OR WITHIN A VALVE BOX WITH A LID THAT DETAILS IT AS A LOCATE BOX (R0204). LOCATE WIRES SHALL BE WRAPPED ROUND 4" JOHNS PVC PIPE WITH EASY ACCESS.

- PROTECT AND SUPPORT EXISTING POWER POLE AS NECESSARY.
- THE SENER LINE SHALL BE EXPOSED TO THE SENER LINE JOINTS ON BOTH SIDES OF THE CROSSING TO PERMIT EXAMINATION OF THE SENER PIPE. IF THE SENER PIPE IS IN GOOD CONDITION AND THERE IS NO EVIDENCE OF LEAKAGE FROM THE SENER LINE, THE 15-FOOT SEPARATION MAY BE REDUCED. HOWEVER, IN THIS SITUATION, THE CONTRACTOR MUST CENTER ONE LENGTH OF THE WATER LINE AT THE CROSSING AND MUST ALLOW THE CITY TO INSPECT. THE CITY WILL PREPARE A WRITTEN REPORT OF THE FINDINGS AND INDICATING THE REASONS FOR REDUCING THE SEPARATION IF THE CITY DETERMINES THAT THE CONDITIONS ARE NOT FAVORABLE OR PRIOR EVIDENCE OF LEAKAGE FROM THE SENER LINE. THE CONTRACTOR SHALL BE ENGAGED SENER LINE IN A REINFORCED CONCRETE JACKET (3-#4 BAR, FULL LENGTH) FOR A DISTANCE OF 10 FEET ON BOTH SIDES OF THE CROSSING.

**GENERAL NOTES
(STA 22+00 TO 29+75)**

- CONSTRUCT NEW WATERLINE WITHIN EXISTING GRAVEL SHOULDER. EDGE OF FRENCH SHALL NOT IMPACT EXISTING PAVEMENT EDGE. DAMAGE TO EXISTING PAVEMENT WILL REQUIRE FULL PAVEMENT REPAIR TO FOG LINE.
- MINIMUM 42" OF COVER OVER TOP OF PIPE.

**GENERAL NOTES
(STA 29+75 TO 43+75)**

- CONSTRUCT NEW WATERLINE WITHIN EXISTING PAVED SHOULDER. EDGE OF FRENCH SHALL NOT IMPACT EXISTING TRAVEL LANE OR EXTEND PAST EXISTING FOG LINE.
- DAMAGE TO FUTURE WILL NEED TO BE REPAIRED USING HIGH WATNE MATERIAL.
- MINIMUM 42" OF COVER OVER TOP OF PIPE.
- DAMAGE TO EXISTING ASPHALT PAST THE FOG LINE WILL REQUIRE FULL PAVEMENT REPAIR TO EXISTING CENTERLINE.
- CONTRACTOR IS RESPONSIBLE FOR PROTECTING THE EXISTING 10" AC WATER MAIN AND WILL BE RESPONSIBLE FOR ALL REPAIRS TO DAMAGED WATER MAIN AND SURROUNDINGS UTILITIES.



FILE NAME: F:\AMMOND WATER DESIGN 09 18 20.dwg

SCALE IN FEET

REVISIONS

DATE	MARK	DATE	DESCRIPTION
OCT. 1, 2020			

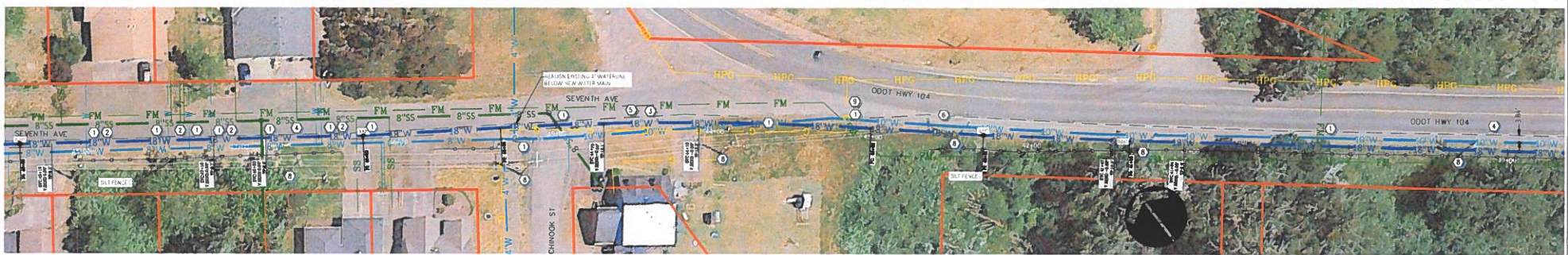
DESIGNED BY: RCS
 DRAWN BY: RCS
 CHECKED BY: RCS

**WATERLINE PLAN DESIGN
(STA 15+50 TO 39+00)**

CITY OF WARRENTON

DRAWING NUMBER
C1.2

60141P
 OREGON
 NOV 13 2009
 RICHARD C. STILWELL
 LICENSED PROFESSIONAL ENGINEER
 NO. 1111



CONSTRUCTION NOTES

1. POINCLE ALL EXISTING UTILITIES WITHIN THE ALIGNMENT OF NEW WATER SYSTEM IMMEDIATELY REPORT ALL CONFLICTS TO CITY. NOTE ON AS-BUILT PLANS THE LOCATION, DEPTH, MATERIAL, AND SIZE OF EXISTING UTILITY. POINCLE SHALL TAKE PLACE AT LEAST TWO WEEKS PRIOR TO START OF CONSTRUCTION OF WATERLINE.
2. ADJUST EXISTING WATER SERVICE OVER NEW WATERLINE USING MURKOPES AND APPROVED COUPLERS.
3. INSTALL NEW 18" BUTTERFLY VALVE AND VALVE CAN.
4. SANICUT EXISTING ASPHALT.
5. INSTALL NEW AIR/VACUUM VALVE.
6. CONSTRUCT NEW CROSS (DPT) WATERLINE. SEE TRENCH DETAILS SHEET C13.

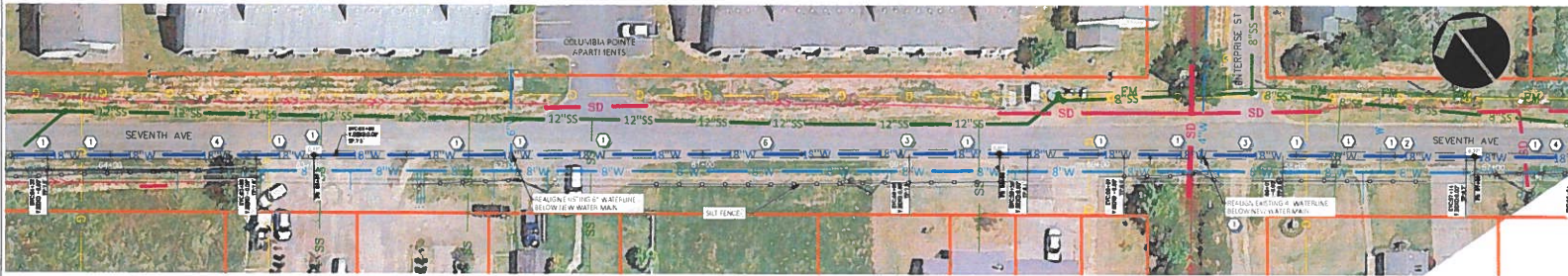
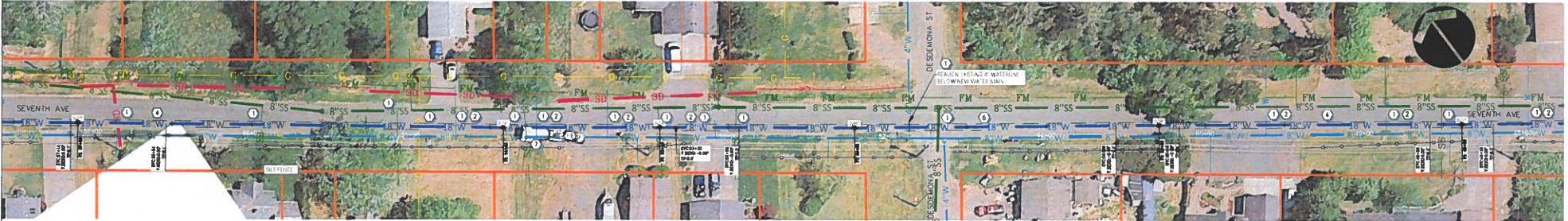
7. INSTALL LOCATE VALVE BOX. JOINTS OR SPLICES IN WIRE SHALL BE WATERPROOF. ENDS OF WIRE SHALL BE ACCESSIBLE IN VALVE BOXES OR WITHIN A VALVE BOX WITH A LID THAT DETAILS IT AS A LOCATE BOX (D30304). LOCATE WIRES SHALL BE WRAPPED ROUND 4" 3034 PVC PIPE WITH EASY ACCESS.
8. PROTECT AND SUPPORT EXISTING POWER POLE AS NECESSARY.
9. THE SEWER LINE SHALL BE EXPOSED TO THE SEWER LINE JOINTS ON BOTH SIDES OF THE CROSSING TO PERMIT DRAINAGE OF THE SEWER PIPE. IF THE SEWER PIPE IS IN GOOD CONDITION AND THERE IS NO EVIDENCE OF LEAKAGE FROM THE SEWER LINE, THE 1.5'-FOOT SEPARATION MAY BE REDUCED. HOWEVER, IN THIS SITUATION, THE CONTRACTOR MUST CENTER ONE LENGTH OF THE WATER LINE AT THE CROSSING AND MUST ALLOW THE CITY TO INSPECT. THE CITY WILL PREPARE A WRITTEN REPORT OF THE FINDINGS AND INDICATING THE REASONS FOR REDUCING THE SEPARATION. IF THE CITY DETERMINES THAT THE CONDITIONS ARE NOT FAVORABLE OR FINDS EVIDENCE OF LEAKAGE FROM THE SEWER LINE, THE CONTRACTOR SHALL BE ENGAGED SEWER LINE IN A REINFORCED CONCRETE JACKET (2-1/4" DIA. FULL LENGTH) FOR A DISTANCE OF 10 FEET ON BOTH SIDES OF THE CROSSING.

**GENERAL NOTES
(STA 43+75 TO 85+00)**

1. WATERLINE ALIGNMENT INTERJECT MANY UTILITIES. ALL UTILITIES SHALL BE POINCLE PRIOR TO START OF CONSTRUCTION. WATERLINE MAY CONFLICT WITH SEWER LATERALS. IF CONFLICTS ARE FOUND, CONTRACTOR MAY BE DIRECTED TO REPAIR EXISTING SEWER LATERALS OR HAVE WATERLINE TO MINIMUM COVER OF 1.5' AND USE OF OR COLD PATCH ASPHALT AS BACKFILL MATERIAL (MINIMUM THICKNESS OF 8").
2. MINIMUM 30" OF COVER OVER TOP OF PIPE.
3. PROTECT AND SUPPORT EXISTING POWER POLE AS NECESSARY.

**GENERAL NOTES
(STA 29+75 TO 43+75)**

1. CONSTRUCT NEW WATERLINE WITHIN EXISTING PAVED SHOULDER. EDGE OF TRENCH SHALL NOT IMPACT EXISTING TRAVEL LANE OR EXTEND PAST EXISTING FOG LINE.
2. DAMAGE TO FODDLE WILL NEED TO BE REPAIRED USING IN-BIND MATERIAL.
3. MINIMUM 42" OF COVER OVER TOP OF PIPE.
4. DUE TO EXISTING SIGNALS PAST THE FOG LINE WILL REQUIRE FULL PAVEMENT REPAIR TO EXISTING CENTERLINE.
5. CONTRACTOR IS RESPONSIBLE FOR PROTECTING THE EXISTING 18" AC WATER MAIN AND WILL BE RESPONSIBLE FOR ALL REPAIRS TO DAMAGED WATER MAIN AND SURROUNDINGS UTILITIES.



REGISTERED PROFESSIONAL ENGINEER
60141PE
OREGON
RICHARD C. STUBBS
MAY 1995 - 09/01/2022

PRELIMINARY

30' 0' 30' 60'
SCALE IN FEET

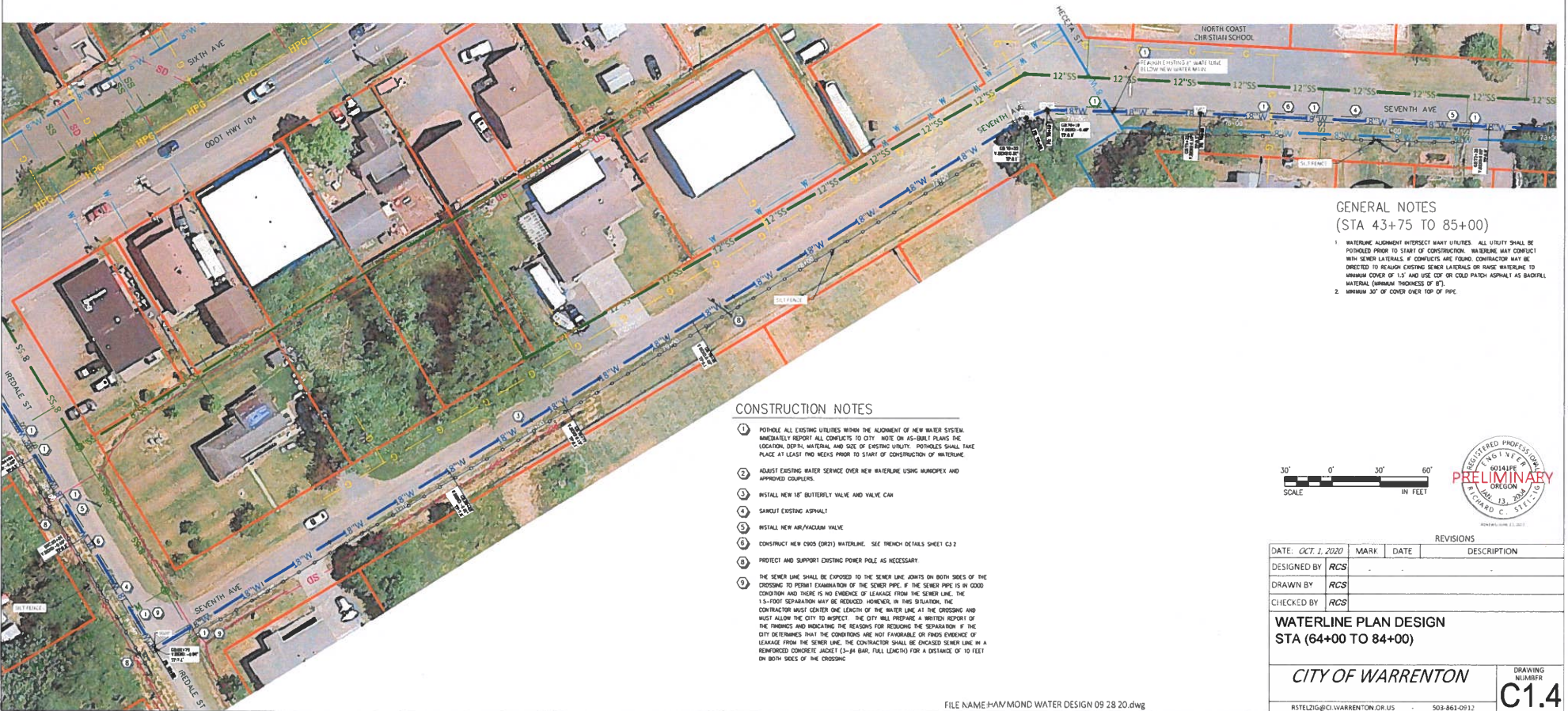
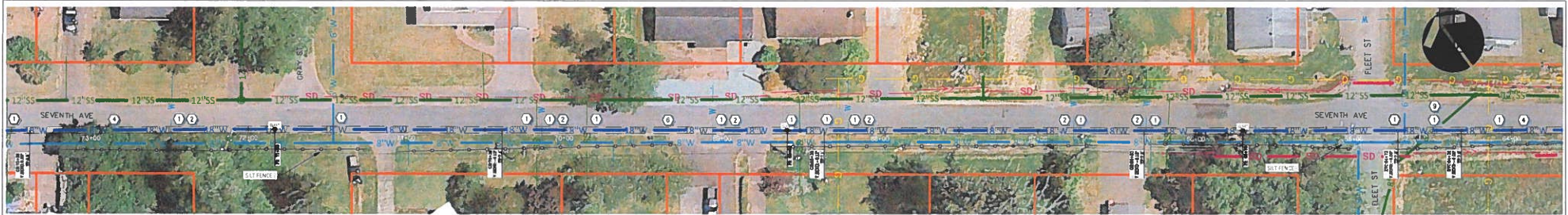
REVISIONS	
DATE	DESCRIPTION
OCT. 8, 2019	MARK
DESIGNED BY	RCS
DRAWN BY	RCS
CHECKED BY	RCS

**WATERLINE PLAN DESIGN
STA (39+00 TO 64+00)**

CITY OF WARRENTON

DRAWING NUMBER
C1.3

RSTELZIG@CITY.WARRENTON.ORG US 503-861-0912



GENERAL NOTES
(STA 43+75 TO 85+00)

1. WATERLINE ALIGNMENT INTERSECT MANY UTILITIES. ALL UTILTY SHALL BE POTHOLED PRIOR TO START OF CONSTRUCTION. WATERLINE MAY CONFLICT WITH SEWER LATERALS. IF CONFLICTS ARE FOUND, CONTRACTOR MAY BE DIRECTED TO RELOCATE EXISTING SEWER LATERALS OR HAVE WATERLINE TO MINIMUM COVER OF 1.5' AND USE CCY OR COLD PATCH ASPHALT AS BACKFILL MATERIAL (MINIMUM THICKNESS OF 8").
2. MINIMUM 30' OF COVER OVER TOP OF PIPE.

CONSTRUCTION NOTES

1. POTHOLE ALL EXISTING UTILITIES WITHIN THE ALIGNMENT OF NEW WATER SYSTEM. IMMEDIATELY REPORT ALL CONFLICTS TO CITY. NOTE ON AS-BUILT PLANS THE LOCATION, DEPTH, MATERIAL, AND SIZE OF EXISTING UTILITY. POTHOLES SHALL BE PLACED AT LEAST TWO WEEKS PRIOR TO START OF CONSTRUCTION OF WATERLINE.
 2. ADJUST EXISTING WATER SERVICE OVER NEW WATERLINE USING HUNDEKOPF AND APPROVED COUPLERS.
 3. INSTALL NEW 1" BUTTERFLY VALVE AND VALVE CAN.
 4. SANDWICH EXISTING ASPHALT.
 5. INSTALL NEW AIR/VACUUM VALVE.
 6. CONSTRUCT NEW CDS (DRP) WATERLINE. SEE TRENCH DETAILS SHEET C2.2.
 7. PROTECT AND SUPPORT EXISTING POWER POLE AS NECESSARY.
- THE SEWER LINE SHALL BE EXPOSED TO THE SEWER LINE JOINTS ON BOTH SIDES OF THE CROSSING TO PERMIT EXAMINATION OF THE SEWER PIPE. IF THE SEWER PIPE IS IN GOOD CONDITION AND THERE IS NO EVIDENCE OF LEAKAGE FROM THE SEWER LINE, THE 15-FOOT SEPARATION MAY BE REDUCED. HOWEVER, IN THIS SITUATION, THE CONTRACTOR MUST CENTER THE LENGTH OF THE WATER LINE AT THE CROSSING AND MUST ALLOW THE CITY TO INSPECT. THE CITY WILL PREPARE A WRITTEN REPORT OF THE FINDINGS AND INDICATING THE REASONS FOR REDUCING THE SEPARATION IF THE CITY DETERMINES THAT THE CONDITIONS ARE NOT FAVORABLE OR FINDS EVIDENCE OF LEAKAGE FROM THE SEWER LINE. THE CONTRACTOR SHALL BE ENGAGED SEWER LINE IN A REINFORCED CONCRETE JACKET (12-14 BAR, FULL LENGTH) FOR A DISTANCE OF 10 FEET ON BOTH SIDES OF THE CROSSING.



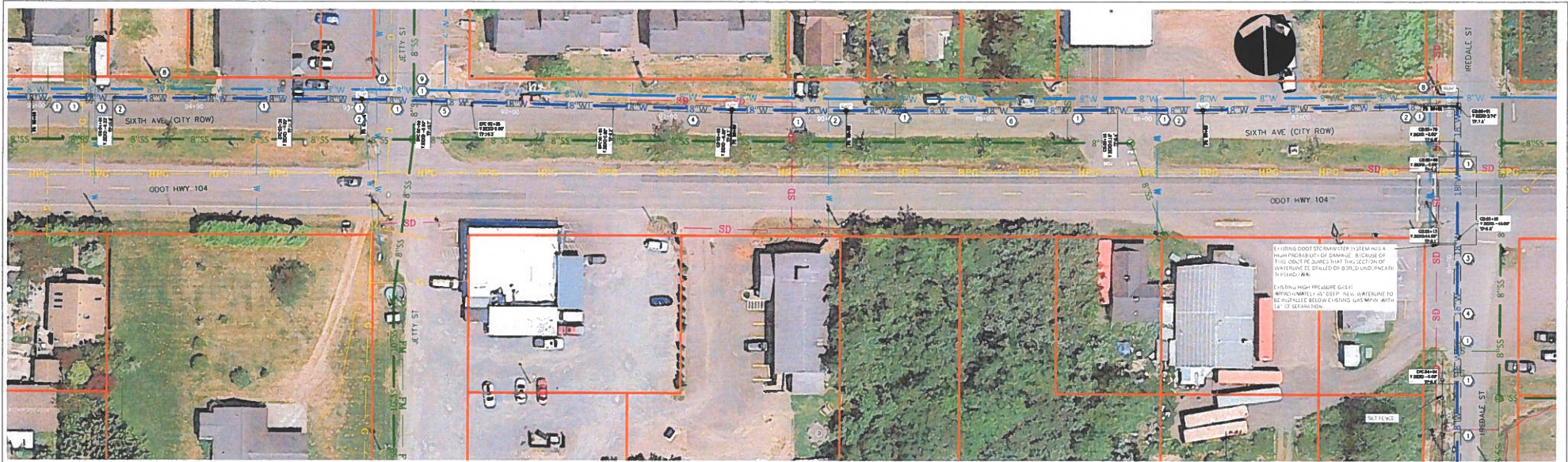
REVISIONS	
DATE	DESCRIPTION
OCT 1, 2020	MARK

WATERLINE PLAN DESIGN
STA (64+00 TO 84+00)

<i>CITY OF WARRENTON</i>	DRAWING NUMBER
	C1.4

FILE NAME: J:\V\MOND WATER DESIGN 09 28 20.dwg

RSTELZIG@CI.WARRENTON.OR.US 503-861-0912



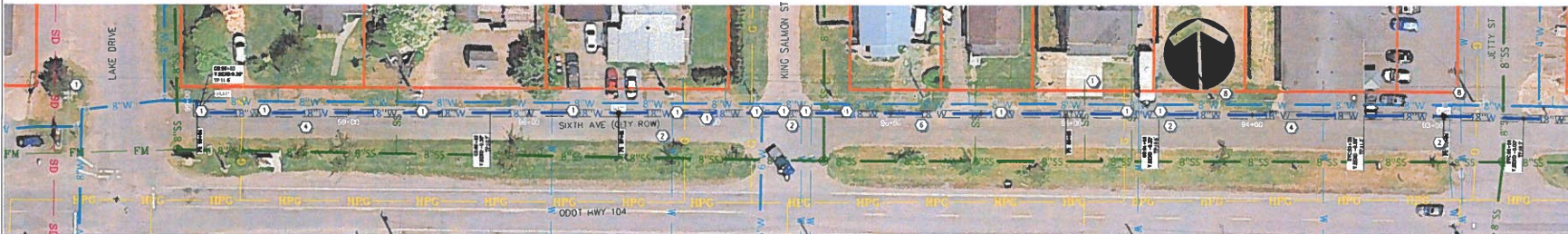
CONSTRUCTION NOTES

- 1. POTHOLE ALL EXISTING UTILITIES WITHIN THE ALIGNMENT OF NEW WATER SYSTEM IMMEDIATELY REPORT ALL CONFLICTS TO CITY. NOTE ON AS-BUILT PLANS THE LOCATION, DEPTH, MATERIAL AND SIZE OF EXISTING UTILITY. POTHOLES SHALL TAKE PLACE AT LEAST TWO WEEKS PRIOR TO START OF CONSTRUCTION OF WATERLINE.
- 2. ADJUST EXISTING WATER SERVICE OVER NEW WATERLINE USING MANHOLES AND APPROVED COUPLERS.
- 3. INSTALL NEW 18\"/>

- 4. PROTECT AND SUPPORT EXISTING POWER POLE AS NECESSARY.
- 5. THE SEWER LINE SHALL BE EXPOSED TO THE SEWER LINE JOINTS ON BOTH SIDES OF THE CROSSING AND THERE IS NO EVIDENCE OF LEAKAGE FROM THE SEWER LINE, THE 1.5-FOOT SEPARATION MAY BE REDUCED. HOWEVER, IN THIS SITUATION, THE CONTRACTOR MUST CENTER ONE LENGTH OF THE WATER LINE AT THE CROSSING AND MUST ALLOW THE CITY TO INSPECT. THE CITY WILL PREPARE A WRITTEN REPORT OF THE FINDINGS AND INDICATING THE REASONS FOR REDUCING THE SEPARATION IF THE CITY DETERMINES THAT THE CONDITIONS ARE NOT FAVORABLE OR FINDS EVIDENCE OF LEAKAGE FROM THE SEWER LINE. THE CONTRACTOR SHALL BE ENCASED SEWER LINE IN A REINFORCED CONCRETE JACKET (3-#4 BAR, FULL LENGTH) FOR A DISTANCE OF 10 FEET ON BOTH SIDES OF THE CROSSING.

**GENERAL NOTES
(STA 85+80 TO 99+85)**

- 1. WATERLINE ALIGNMENT INTERSECT MANY UTILITIES. ALL UTILITY SHALL BE POTHOLED PRIOR TO START OF CONSTRUCTION. WATERLINE MAY CONFLICT WITH SEWER LATERALS IF CONFLICTS ARE FOUND, CONTRACTOR MAY BE DIRECTED TO REALIGN EXISTING SEWER LATERALS OR RAISE WATERLINE TO MINIMUM COVER OF 1.5' AND USE OF OR COLD PATCH ASPHALT AS ROAD/PAVEMENT MATERIAL (MINIMUM THICKNESS OF 8").
- 2. MINIMUM 30\"/>



REVISIONS

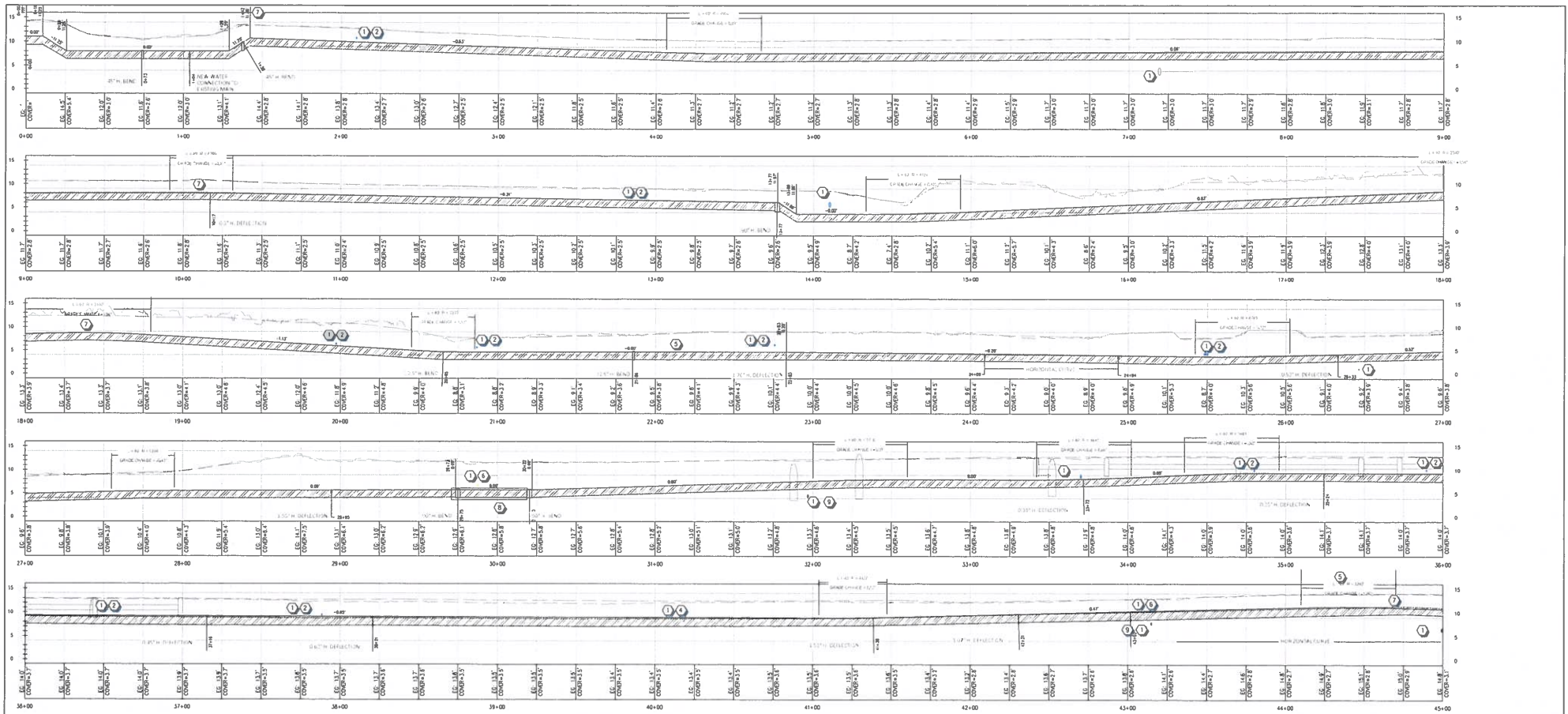
DATE	MARK	DATE	DESCRIPTION
OCT 8 2019			

**WATERLINE PLAN DESIGN
STA (84+00 TO 99+85)**

CITY OF WARRENTON

DRAWING NUMBER
C1.5

RSTELZIG@CITY.WARRENTON.ORG US 503-861-0912



CONSTRUCTION NOTES

1. POT HOLE ALL EXISTING UTILITIES WITHIN THE ALIGNMENT OF NEW WATER SYSTEM. IMMEDIATELY REPORT ALL CONFLICTS TO CITY. NOTE ON AS-BUILT PLANS THE LOCATION, DEPTH, MATERIAL, AND SIZE OF EXISTING UTILITY. POTHOLES SHALL HAVE PLATE AT LEAST TWO FEET PRIOR TO START OF CONSTRUCTION OF WATERLINE.
2. ADJUST EXISTING WATER SERVICE OVER NEW WATERLINE USING MANDREL AND APPROVED COUPLERS.
3. ADJUST EXISTING SANITARY SERVICE 1.5' BELOW NEW WATER MAIN.
4. ADJUST EXISTING LOW PRESSURE SERVICE 1.5' BELOW NEW WATER MAIN.
5. INSTALL NEW 18" BUTTERFLY VALVE AND VALVE CHAM.
6. COORDINATE ADJUSTMENT OF GAS UTILITY WITH GAS COMPANY.
7. INSTALL AIR/VACUUM VALVE.
8. 0001 REQUIRED CASING.

9. THE SENDER LINE SHALL BE EXPOSED TO THE SENDER LINE JOINTS ON BOTH SIDES OF THE CROSSING TO PERMIT EXAMINATION OF THE SENDER PIPE IF THE SENDER PIPE IS IN GOOD CONDITION AND THERE IS NO EVIDENCE OF LEAKAGE FROM THE SENDER LINE. THE 15'-FOOT SEPARATION MAY BE REDUCED. HOWEVER, IN THIS SITUATION, THE CONTRACTOR MUST CENTER ONE LENGTH OF THE WATER LINE AT THE CROSSING AND MUST ALLOW THE CITY TO INSPECT. THE CITY WILL PREPARE A WRITTEN REPORT OF THE FINDINGS AND BROUCCARDING THE REASONS FOR REDUCING THE SEPARATION IF THE CITY DETERMINES THAT THE CONDITIONS ARE NOT FAVORABLE OR FINDS EVIDENCE OF LEAKAGE FROM THE SENDER LINE. THE CONTRACTOR SHALL BE EXPOSED SENDER LINE IN A REINFORCED CONCRETE JACKETS (5'-0" DIA. FULL LENGTH) FOR A DISTANCE OF 10 FEET ON BOTH SIDES OF THE CROSSING.

PROFILE VIEWS
H SCALE 1"=30' V SCALE 1"=10'



REVISIONS	
DATE	DESCRIPTION
OCT 1, 2020	MARK
DESIGNED BY	RCS
DRAWN BY	RCS
CHECKED BY	RCS

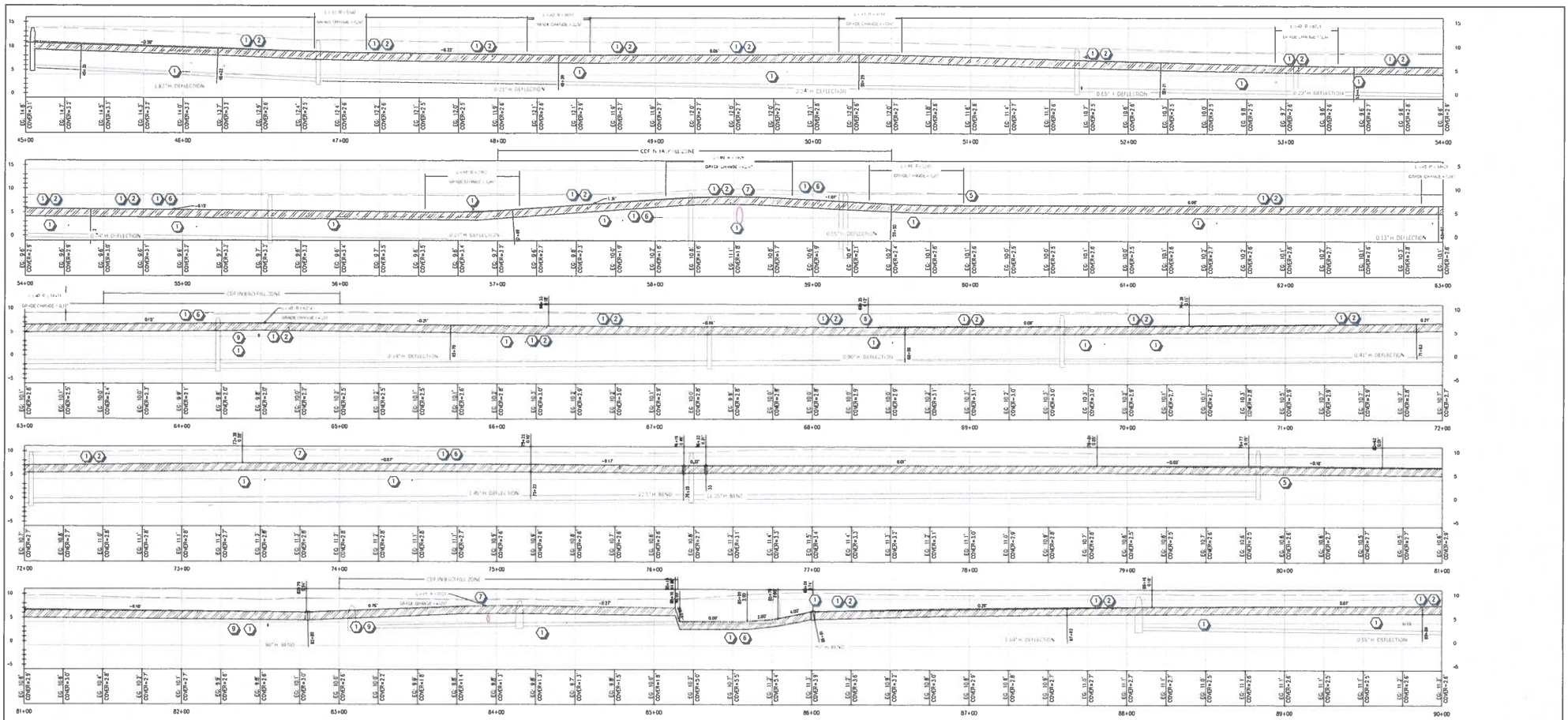
**WATERLINE PROFILES
STA (0+00 TO 45+00)**

CITY OF WARRENTON

DRAWING NUMBR
C1.5

RSTELZIG@CITY.WARRENTON.ORG US 503-861-0912





CONSTRUCTION NOTES

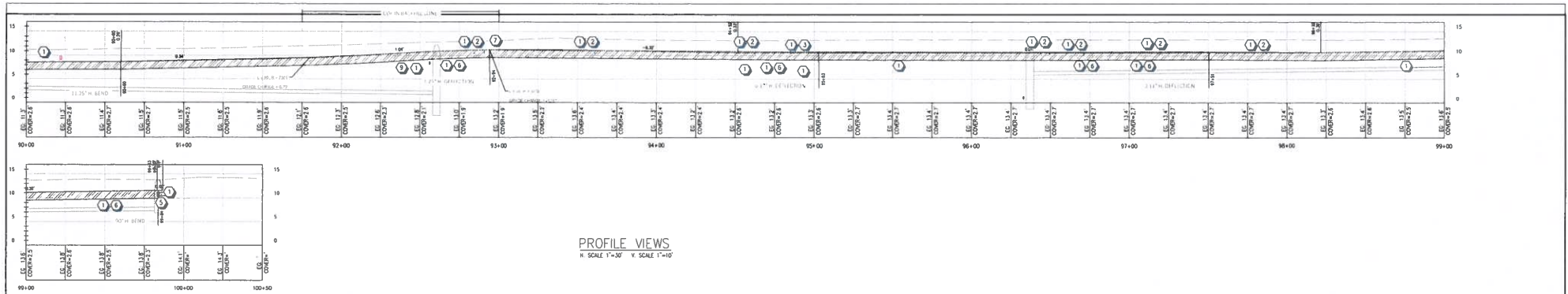
- 1 PORTHOLE ALL EXISTING UTILITIES WITHIN THE ADJACENT OF NEW WATER SYSTEM IMMEDIATELY REPORT ALL CONFLICTS TO CITY. NOTE ON AS-BUILT PLANS THE LOCATION, DEPTH, MATERIAL AND SIZE OF EXISTING UTILITY. PORTHOLES SHALL TAKE PLACE AT LEAST TWO WEEKS PRIOR TO START OF CONSTRUCTION OF WATERLINE.
- 2 ADJUST EXISTING WATER SERVICE OVER NEW WATERLINE USING MANHOLE AND APPROVED COUPLERS.
- 3 ADJUST EXISTING SANITARY SEWER SERVICE 1.5' BELOW NEW WATER MAIN.
- 4 ADJUST EXISTING LOW PRESSURE SERVICE 1.5' BELOW NEW WATER MAIN.
- 5 INSTALL NEW 18" BUTTERFLY VALVE AND VALVE CASK.
- 6 COORDINATE ADJUSTMENT OF GAS UTILITY WITH GAS COMPANY.
- 7 INSTALL AIR/VACUUM VALVE.
- 8 THE SEWER LINE SHALL BE COPIED TO THE SEWER LINE JOINTS ON BOTH SIDES OF THE CROSSING TO PERMIT EXAMINATION OF THE SEWER PIPE IF THE SEWER PIPE IS IN GOOD CONDITION AND THERE IS NO EVIDENCE OF LEAKAGE FROM THE SEWER LINE. THE 1.5'-FOOT SEPARATION MAY BE REDUCED HOWEVER IN THIS SITUATION, THE CONTRACTOR MUST CENTER ONE LENGTH OF THE WATER LINE AT THE CROSSING AND MUST ALLOW THE CITY TO INSPECT. THE CITY WILL PREPARE A WRITTEN REPORT OF THE FINDINGS AND INDICATE THE REASONS FOR REDUCING THE SEPARATION IF THE CITY DETERMINES THAT THE CONDITIONS ARE NOT FAVORABLE OR FINDS EVIDENCE OF LEAKAGE FROM THE SEWER LINE. THE CONTRACTOR SHALL ENCASE THE SEWER LINE IN A REINFORCED CONCRETE JACKET (2-#4 BARS, FULL LENGTH) FOR A DISTANCE OF 10 FEET ON BOTH SIDES OF THE CROSSING.

PROFILE VIEWS
H SCALE 1"=30' V SCALE 1"=40'



REVISIONS			
DATE	MARK	DATE	DESCRIPTION
OCT 1, 2020			
DESIGNED BY: RCS			
DRAWN BY: RCS			
CHECKED BY: RCS			
WATERLINE PROFILES STA (45+00 TO 90+00)			
CITY OF WARRENTON			DRAWING NUMBER
RSTELZIG@CI.WARRENTON.ORG.US			C2.2
903-861-0512			





PROFILE VIEWS
H. SCALE 1"=30' V. SCALE 1"=10'

CONSTRUCTION NOTES

- 1 POTHOLE ALL EXISTING UTILITIES WITHIN THE ALIGNMENT OF NEW WATER SYSTEM. IMMEDIATELY REPORT ALL CONFLICTS TO CITY. NOTE ON AS-BUILT PLANS THE LOCATION, DEPTH, MATERIAL AND SIZE OF EXISTING UTILITY. POTHOLES SHALL TAKE PLACE AT LEAST TWO WEEKS PRIOR TO START OF CONSTRUCTION OF WATERLINE.
- 2 ADJUST EXISTING WATER SERVICE OVER NEW WATERLINE USING HANDBOX AND APPROVED COUPLERS.
- 3 ADJUST EXISTING SANITARY SEWER SERVICE 1.5' BELOW NEW WATER MAIN.
- 4 ADJUST EXISTING LOW PRESSURE SERVICE 1.5' BELOW NEW WATER MAIN.
- 5 REDUCE TO 8" AND INSTALL NEW 8" GATE VALVE AND VALVE CAP.
- 6 COORDINATE ADJUSTMENT OF GAS UTILITY WITH GAS COMPANY.
- 7 INSTALL AIR/VACUUM VALVE.
- 8 THE SEWER LINE SHALL BE EXPOSED TO THE SEWER LINE JOINTS ON BOTH SIDES OF THE CROSSING TO PERMIT EXAMINATION OF THE SEWER PIPE. IF THE SEWER PIPE IS IN GOOD CONDITION AND THERE IS NO EVIDENCE OF LEAKAGE FROM THE SEWER LINE, THE 1.5-FOOT SEPARATION MAY BE REDUCED. HOWEVER, IN THIS SITUATION, THE CONTRACTOR MUST CENTER ONE LENGTH OF THE WATER LINE AT THE CROSSING AND MUST ALLOW THE CITY TO INSPECT. THE CITY WILL PREPARE A WRITTEN REPORT OF THE FINDINGS AND INDICATING THE REASONS FOR REDUCING THE SEPARATION. IF THE CITY DETERMINES THAT THE CONDITIONS ARE NOT FAVORABLE OR FINDS EVIDENCE OF LEAKAGE FROM THE SEWER LINE, THE CONTRACTOR SHALL BE UNKED SEWER LINE IN A REINFORCED CONCRETE JACKET (3-#4 BAR, FULL LENGTH) FOR A DISTANCE OF 10 FEET ON BOTH SIDES OF THE CROSSING.



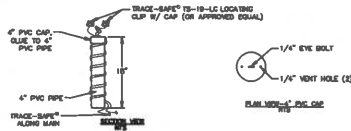
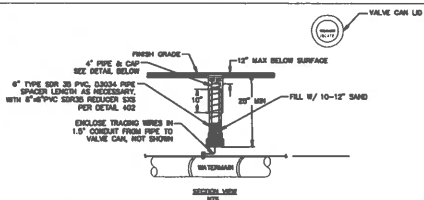
REVISIONS			
DATE	MARK	DATE	DESCRIPTION
OCT. 1, 2020			
DESIGNED BY	RCS		
DRAWN BY	RCS		
CHECKED BY	RCS		

**WATERLINE PLAN DESIGN
STA (90+00 TO 99+85)**

CITY OF WARRENTON	DRAWING NUMBER
	C1.5

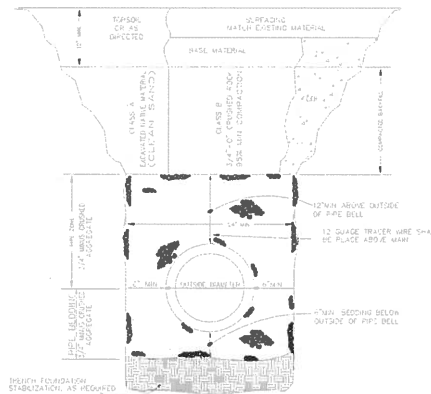
RSTELZIG@CITYOFWARRENTON.ORG US 503-861-0912



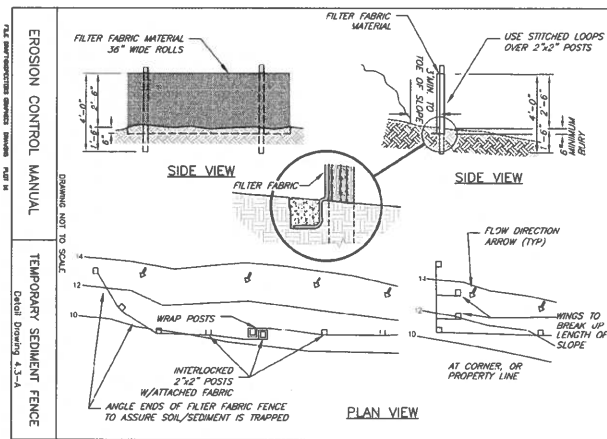


1. TRACER WIRE TO BE SINGLE CONTINUOUS LENGTH OF WIRE BETWEEN LOCATE STATIONS IF SPLICE IS NEEDED. CITY APPROVAL REQUIRED.
2. PROVIDE 36"x36"x4" CONCRETE PAD AROUND VALVE BOX IF LOCATED OUTSIDE OF PAVED AREAS.
3. INSTALL ON MAINS DEEPER THAN 7 FEET REGARDLESS OF SIZE. TRACER WIRES SHALL NOT BE INSTALLED DEEPER THAN 8 FEET. FOR PIPES DEEPER THAN 8 FEET INSTALL TRACER WIRE ABOVE PIPE AT 8 FEET DEPTH.

TRACER VALVE BOX DETAIL



PIPE BEDDING AND BACKFILL



REVISIONS			
DATE	MARK	DATE	DESCRIPTION
OCT. 1, 2020	RCS		
	RCS		
	RCS		

CITY OF WARRENTON		DRAWING NUMBER
RSTELZIG@CI.WARRENTON.OR.US		C3.2



AGENDA MEMORANDUM

TO: The Warrenton City Commission
 FROM: Richard Stelzig, Public Works Director
 DATE: October 13th, 2020
 SUBJ: Bid Award – SE Marlin & 101 Pump Station Upgrade/Force Main Replacement

SUMMARY

Public Works requested contractors to submit proposals and bid documents for the SE Marlin & 101 Pump Station Upgrade/Force Main Replacement project. Bid items include project submittals, mobilization, traffic control, erosion control, ODOT permitting, and the replacement of an existing 4" PVC pipe with 6" HDPE. The City received two bids and North Pacific Excavation was the lowest responsive bidder, for a total of \$85,750.00

RECOMMENDATION/SUGGESTED MOTION

Staff recommends the following motions;

"I move to approve awarding the contract for the SE Marlin & 101 Pump Station Upgrade/Force Main Replacement Project to North Pacific Excavation for the amount of \$85,750.00

ALTERNATIVE

- 1) Other action as deemed appropriate by the City Commission
- 2) None recommended

FISCAL IMPACT

This project has been approved by the City Commission and is included in the City of Warrenton 2020-2021 Adopted Budget.

Approved by City Manager:

Linda M. Engelson

All supporting documentation, i.e., maps, exhibits, etc., must be attached to this memorandum.

**CITY OF WARRENTON
PUBLIC IMPROVEMENT CONTRACT**

1. GENERAL:

This contract, made and entered into this 13th day of October, 2020, by and between the City of Warrenton, a municipal corporation of the State of Oregon, hereinafter called "CITY", and North Pacific Excavation, PO Box 1173, Warrenton OR 97146 hereinafter called "CONTRACTOR", duly authorized to do business in Oregon.

WITNESSETH

That the said CONTRACTOR and the said CITY, for consideration hereinafter named agree as follows:

2. DESCRIPTION OF WORK:

The CONTRACTOR agrees to perform the work of:

SE Marlin & 101 Pump Station Upgrade/Force Main Replacement Project

And do all things required of it as per his bid, all in accordance with the described bid, a copy of which is hereto attached and made a part of this contract.

3. COMPLETION OF CONTRACT:

The CONTRACTOR agrees that the work under this contract shall be performed within 30 calendar days of Notice to Proceed. If conditions beyond the control of the CONTRACTOR prevents completion of the project within the time set, CONTRACTOR may request a reasonable extension of time in accordance with APWA General Requirements. If said CONTRACTOR has not fully completed this contract within the time set or any extension thereof, he shall pay liquidated damages in accordance with Section 5 of the Supplementary Conditions to General Requirements.

4. CONTRACT PRICE:

The contract price for this project is \$85,750.00. Payment will be made in accordance with ORS 279C.560 including progress payments at the end of each month. Retainage will be withheld in accordance with ORS 279C.550 - .570.

5. CONTRACT DOCUMENTS:

The CONTRACTOR and the CITY agree that the plans, specifications (including the APWA Oregon Chapter 2015 Standard Specifications for Public Works Construction with 1996 revisions), general conditions, supplementary conditions, call for bids, special provisions to the engineering specifications, instructions to bidders, all addenda and all modifications thereto and bid are, by this reference, incorporated into this contract and are fully a part of this contract.

6. CHANGES IN WORK:

With the consent of the CONTRACTOR's surety, the CITY may change the plans, specification, character of the work, or quantity of work, provided the total value of all such changes, both additive and deductive, does not exceed the following:

- A. An increase or decrease of more than 25 percent of the total cost of the work calculated from the original proposal quantities and the unit contract prices; or,
- B. An increase or decrease of more than 25 percent in the quantity of any one major contract item.

For condition "B" above, a major item is defined as any item that amounts to 10 percent or more of the total contract price. If it is necessary to exceed this limitation, the change shall be by written supplemental agreement between the CONTRACTOR and CITY.

Any change shall be in writing and state the dollar value, method of payment, and any adjustments in contract time, and shall provide for the signatures of the CONTRACTOR and CITY.

Changes in plans and specifications, requested in writing by the CONTRACTOR, which do not materially affect the work, may be granted by the Engineer. Payment will be made in accordance with Section 60.4.00 of this contract.

7. COMPLIANCE:

The CONTRACTOR specifically agrees to comply with all laws, ordinances, and regulations applicable to municipal contracts and to make prompt payment of all amounts that may be due from said CONTRACTOR in the way of taxes or lawful deductions and to make prompt payment of all labor and materials, and

save the CITY harmless from any damages or claims whatsoever in the performance of this contract.

The CONTRACTOR further agrees to comply with all laws, ordinances, rules, orders and regulations relating to the performance of the work, the protection of adjacent property, and the maintenance of passageways, guard fences, or other protective facilities.

CONTRACTOR agrees to take every precaution against injuries to persons or damage to property.

The CONTRACTOR agrees that the work will be done to the satisfaction and approval of the Engineer of Record of the City of Warrenton.

CONTRACTOR agrees to store his apparatus, materials, supplies and equipment in such orderly fashion at the site of the work as will not unduly interfere with the progress of their work or the work of any other employees or persons.

CONTRACTOR agrees to hold the CITY free and harmless from all liability to persons and property for failure to leave the premises in a safe condition and to make payment to all persons employed by them in such project.

8. ADDITIONAL CONTRACTOR RESPONSIBILITIES:

CONTRACTOR is responsible for obtaining and paying for all necessary permits.

CONTRACTOR shall verify existing conditions and locations of all utilities and shall notify the Engineer of any discrepancies that may affect the work.

CONTRACTOR is responsible for contacting the utilities to have the lines relocated or repaired as necessary.

9. CONTRACTOR IS INDEPENDENT CONTRACTOR:

- A. CONTRACTOR'S services shall be provided under the general supervision of City's project director or his designee, but CONTRACTOR shall be an independent CONTRACTOR for all purposes and shall be entitled to no compensation other than the compensation provided for under Section 4 of this Contract.
- B. CONTRACTOR acknowledges that for all purposes related to this contract, CONTRACTOR is and shall be deemed to be an independent CONTRACTOR and not an employee of the CITY, shall not be entitled to

benefits of any kind to which an employee of the CITY is entitled and shall be solely responsible for all payments and taxes required by law; and furthermore in the event that CONTRACTOR is found by a court of law or an administrative agency to be an employee of the CITY for any purpose, CITY shall be entitled to offset compensation due, or, to demand repayment of any amounts paid to CONTRACTOR under the terms of the contract, to the full extent of any benefits or other remuneration CONTRACTOR receives (from CITY or third party) as result of said finding and to the full extent of any payments that CITY is required to make (to CONTRACTOR or a third party) as a result of said finding.

- C. The undersigned CONTRACTOR hereby represents that no employee of the City of Warrenton, or any partnership or corporation in which a City of Warrenton employee has an interest, has or will receive any remuneration of any description from the CONTRACTOR, either directly or indirectly, in connection with the letting or performance of this contract, except as specifically declared in writing.

10. SUBCONTRACTS – RELATIONS WITH SUBCONTRACTORS, ASSIGNMENTS AND DELEGATION:

- A. CONTRACTOR shall be fully responsible for the acts or omissions of any subcontractors and of any persons employed by them, and neither the approval by CITY of any subcontractor nor anything contained herein shall be deemed to create any contractual relation between subcontractor and CITY.
- B. CONTRACTOR's relations with subcontractors shall comply with ORS 279C.580. In accordance with that statute:
 - 1. CONTRACTOR shall include in each subcontract for property or services entered into by the CONTRACTOR and a first-tier subcontractor, including a material supplier, for the purpose of performing a construction contract:
 - (a) A payment clause that obligates the CONTRACTOR to pay the first-tier subcontractor for satisfactory performance under its subcontract within 10 days out of such amounts as are paid to the CONTRACTOR by the contracting agency under the contract; and
 - (b) An interest penalty clause that obligates the CONTRACTOR, if payment is not made within 30 days after receipt of payment from the contracting agency, to pay to the first-tier subcontractor an interest penalty on amounts due in the case of each payment not made in

accordance with the payment clause included in the subcontract under paragraph (a) of this subsection. A CONTRACTOR or first-tier subcontractor may not be obligated to pay an interest penalty if the only reason that the CONTRACTOR or first-tier subcontractor did not make payment when payment was due is that the CONTRACTOR or first-tier sub-contractor did not receive payment from the contracting agency or CONTRACTOR when payment was due. The interest penalty shall be: (A) For the period beginning on the day after the required payment date and ending on the date on which payment of the amount due is made; and (B) Computed at the rate specified on ORS 279C.515(2).

2. CONTRACTOR shall include in each of the CONTRACTOR's subcontracts, for the purpose of performance of such contract condition, a provision requiring the first-tier subcontractor to include a payment clause and an interest penalty clause conforming to the standards of subsection (1) of this section in each of the first-tier subcontractor's subcontracts and to require each of the first-tier subcontractor's subcontractors to include such clauses in their subcontracts with each lower-tier subcontractor or supplier.

The above required clauses are required by ORS 279.C.580 (3) and (4) and all the provisions of ORS 179C.580 are applicable.

- C. CONTRACTOR certifies that all subcontractors performing work described in ORS 701.005(3) or ORS 671.520(1) will be registered with the Construction Contractors Board or by the State Landscape Contractors Board before the subcontractors commence work under this contract.
- D. Assignment or Transfer Restricted. The CONTRACTOR shall not assign, sell, dispose of, or transfer rights nor delegate duties under the contract, either in whole or in part, without the CITY's prior written consent. Unless otherwise agreed by the CITY in writing, such consent shall not relieve the CONTRACTOR of any obligations under the contract. Any assignee or transferee shall be considered the agent of the CONTRACTOR and be bound to abide by all provisions of the contract. If the CITY consents in writing to an assignment, sale, disposal or transfer of the CONTRACTOR's rights or delegation of the CONTRACTOR's duties, the CONTRACTOR and its surety, if any, shall remain liable to the CITY for complete performance of the contract as if no such assignment, sale, disposal, transfer or delegation had occurred unless the CITY otherwise agrees in writing.
- E. CONTRACTOR certifies that CONTRACTOR has not discriminated and will not discriminate against minority, women or small business enterprises in obtaining any required subcontracts.

11. EARLY TERMINATION:

- A. This contract may be terminated without cause by mutual written consent of the parties. In addition, the parties may agree to terminate the contract:
 - 1. If work under the contract is suspended by an order of a public agency for any reason considered to be in the public interest other than by a labor dispute or by reason of any third party judicial proceeding relating to the work other than a suite or action filed in regard to a labor dispute; or
 - 2. If the circumstances or conditions are such that it is impracticable within a reasonable time to proceed with a substantial portion of the Contract.
- B. Payment. When a Contract, or any divisible portion thereof, is terminated pursuant to this section, the CITY shall pay the CONTRACTOR a reasonable amount of compensation for preparatory work completed and for costs and expenses arising out of termination. The CITY shall also pay for all work completed, based on the contract price. Unless the work completed is subject to unit or itemized pricing under the Contract, payment shall be calculated based on percent of contract completed. No claim for loss of anticipated profits will be allowed.
- C. Responsibility for Completed Work. Termination of the contract or a divisible portion thereof pursuant to this section shall not relieve either the CONTRACTOR or its surety of liability for claims arising out of the work performed.
- D. Termination under any provision of this paragraph shall not affect any right, obligation or liability of CONTRACTOR or CITY which accrued prior to such termination.
- E. If work is suspended under circumstances described in A (1) but the contract is not terminated; the CONTRACTOR is entitled to a reasonable extension of time to complete the contract, and reasonable compensation for all costs resulting from the suspension plus reasonable allowance for overhead with respect to such costs.

12. CANCELLATION FOR CAUSE:

CITY may cancel all or any part of this Contract if CONTRACTOR breaches any of the terms herein or in the event of any of the following: Insolvency of CONTRACTOR; voluntary or involuntary petition in bankruptcy by or against CONTRACTOR; appointment of a receiver or trustee for CONTRACTOR, or any assignment for benefit of creditors of CONTRACTOR. Damages for breach shall be those allowed by Oregon law, reasonable and necessary attorney's fees, and other costs of litigation at trial and upon appeal. CONTRACTOR may likewise cancel all or any part of this contract if CITY breaches any of the terms herein and be therefore entitle to equivalent damages as expressed above for CITY.

13. NONWAIVER:

The failure of the CITY to insist upon or enforce strict performance by CONTRACTOR of any of the terms of this Contract or to exercise any rights hereunder shall not be construed as a waiver or relinquishment to any extent of its right to assert or rely upon such terms or rights on any future occasion.

14. REMEDIES:

Consequences for CONTRACTOR's failure to perform the scope of work identified in the invitation to bid or the CONTRACTOR's failure to meet established performance standards may include, but are not limited to:

- (A) Reducing or withholding payment;
- (B) Requiring the CONTRACTOR to perform, at the CONTRACTOR's expense, additional work necessary to perform the identified scope of work or meet the established performance standards; or
- (C) Declaring a default, terminating the public contract, and seeking damages and other relief available under the terms of the public contract or other applicable law.
- (D) Liquidated damages as calculated in the Supplemental Provisions section 5.

15. SUIT OR ACTION:

In the event suit or action is instituted to enforce any of the terms of this agreement, the prevailing party shall be entitled to recover from the other party such sum as the Court may adjudge reasonable as attorney's fees at trial or on appeal of such suit or action, in addition to all other sums provided by law.

16. CONFLICT BETWEEN TERMS:

It is further expressly agreed by and between the parties hereto that should there be any conflict between the terms of this instrument and the bid of the CONTRACTOR, this instruments shall control and nothing herein shall be considered as an acceptance of the said terms of said bid conflicting herewith.

17. INDEMNIFICATION:

CONTRACTOR agrees to indemnify and hold harmless the City of Warrenton, its officers, employees, and agents against and from any and all loss, claims, actions, suits, including costs and attorney fees and expenses for or on account of injury, bodily or otherwise to, or death of persons, damage to or destruction of property belonging to CITY, CONTRACTOR, or others, resulting from or arising out of CONTRACTOR's negligence in relation to work performed under this Contract.

18. WORKMEN'S COMPENSATION:

The CONTRACTOR, its subcontractors, if any, and all employers working under this Contract, in the State of Oregon, shall comply with ORS 656.017, and provide the required Worker's Compensation coverage, unless such employers are exempt under ORS 656.126. CONTRACTOR shall ensure that each of its subcontractors complies with these requirements. (ORS 279C.530 (2)).

LABORERS AND MATERIALMENT, CONTRIBUTIONS TO INDUSTRIAL ACCIDENT FUND, LIENS AND WITHHOLDING TAXES:

CONTRACTOR shall make payment promptly, as due, to all persons supplying CONTRACTOR labor or material for the prosecution of the work provided for this contract.

CONTRACTOR shall pay all contributions or amounts due the Industrial Accident Fund from CONTRACTOR or any subcontractor incurred in the performance of the contract.

CONTRACTOR shall not permit any lien or claim to be filed or prosecuted against the state, county, school district, municipality, municipal corporation or subdivision thereof, on account of any labor or material furnished.

CONTRACTOR shall pay to the Department of Revenue all sums withheld from employees pursuant to ORS 316.167.

19. PAYMENT OF CLAIMS BY PUBLIC OFFICERS; PAYMENT TO PERSONS FURNISHING LABOR AND MATERIALS; AND COMPLAINTS:

- A. If the CONTRACTOR fails, neglects or refuses to make prompt payment of any claim for labor or services furnished to the CONTRACTOR or a

subcontractor by any person in connection with the public contract as such claim becomes due, the proper officer or officers representing the municipality may pay such claim to the person furnishing the labor or services and charge the amount of the payment against funds due or to become due the CONTRACTOR by reason of such contract.

- B. If the CONTRACTOR or a first-tier subcontractor fails, neglects or refuses to make payment to a person furnishing labor or material in connection with the public contract for a public improvement within 30 days after receipt of payment from the public contracting agency or a CONTRACTOR, the CONTRACTOR, or first-tier subcontractor shall owe the person the amount due plus interest charges that begin at the end of the 10-day period within which payment is due under ORS 279C.580(4) and end upon final payment, unless payment is subject to a good faith dispute as defined in 279C.580. The rate of interest on the amount due is nine-percent per annum. The amount of interest may not be waived.
- C. If the CONTRACTOR or a subcontractor fails, neglects, or refuses to make payment to a person furnishing labor or materials in connection with the public contract, the person may file a complaint with the Construction Contractors Board, unless payment is subject to a good faith dispute as defined in ORS 279.580.
- D. The payment of a claim in the manner authorized in this section shall not relieve the CONTRACTOR or the CONTRACTOR's surety from obligation with respect to any unpaid claims.

20. HOURS OF LABOR:

No person shall be employed for more than 10 hours in any one day, or 40 hours in any one week, except in cases of necessity, emergency, or when the public policy absolutely requires it, and in such cases, except in cases of contracts for personal service as defined in ORS 279C.100, the employee shall be paid at least time and a half pay:

- A. For all overtime in excess of eight hours in any one day or 40 hours in any one week when the work week is four consecutive days, Monday through Friday; or
- B. For all overtime in excess of 10 hours in any one day or 40 hours in any one week when the work week is five consecutive days, Monday through Friday; and
- C. For all work performed on Saturday and on any legal holiday specified in ORS 279C.540.

- D. CONTRACTOR must give notice to employees who work on a public contract in writing, either at the time of hire or before commencement of work on the contract, or by posting a notice in a location frequented by employees of the number of hours per day and days per week that the employees may be required to work.
- E. CONTRACTOR will comply with the requirements of ORS 279.C545 regarding time limitation on a claim for overtime by posting a circular as described in that statute.

21. PAYMENT OF MEDICAL CARE:

Contractor shall promptly, as due, make payment to any person, copartnership, association or corporation, furnishing medical, surgical and hospital care or other needed care and attention, incident to sickness or injury to the employees of such CONTRACTOR, of all sums which the CONTRACTOR agrees to pay for such services and all moneys and sums which the CONTRACTOR collected or deducted from the wages of employees pursuant to any law, contract or agreement for the purpose of providing or paying for such services.

22. DRUG TESTING PROGRAM:

CONTRACTOR shall demonstrate, to the satisfaction of the Public Works Director that an employee drug-testing program is in place. CONTRACTOR may attach hereto a written description of his drug testing program, or a copy of the adopted drug-testing program, to comply with this condition.

23. PREVAILING WAGE:

- A. Prevailing Wage Rate: The CONTRACTOR is required to pay prevailing wage rates in conformance to ORS 279C.800 thru 279C.845. The website address where these publications are available is: <http://www.oregon.gov/BOLI/WHD/PWR/pwrdb2.shtml>. All subcontracts shall contain a provision that workers shall be paid not less than the prevailing wage rate.
- B. Workers must be paid not less than the minimum hourly rate of wage in accordance with ORS 279C.808 and 279C.840. This provision must also be included in every subcontract.

- C. Statutory Public Works Bond. CONTRACTOR shall have a Public Works bond on file with the Construction Contractors Board before starting work on the project, unless exempt under the terms of ORS 279C.836. CONTRACTOR shall include a provision in every subcontract requiring the subcontractor to have a public works bond on file with the Construction Contractors Board before starting work on the project, unless exempt under the terms of ORS 279C.836.
- D. Certified Payroll Reports. CONTRACTOR or CONTRACTOR's surety and every subcontractor or subcontractor's surety shall file certified payroll reports with the CITY in conformance with ORS 279C.845. The CITY and, when appropriate, CONTRACTOR, is required to withhold 25% of amounts earned by CONTRACTOR, or subcontractor, if certified payroll reports are not submitted as required, pursuant to ORS 279C.845(7) and (8).

24. INSURANCE:

Prior to starting work hereunder, CONTRACTOR, at CONTRACTOR's cost, shall secure and continue to carry during the term of this contract, with an insurance company acceptable to CITY, the following insurance.

- A. **Commercial General Liability.** Contractor shall obtain, at contractor's expense and keep in effect during the term of this contract, Commercial General Liability Insurance covering bodily injury and property damage with limits of not less than \$1,000,000 per occurrence and the annual aggregate of not less than \$2,000,000. Coverage shall include contractors, subcontractors and anyone directly or indirectly employed by either. This insurance will include personal and advertising injury liability, products and completed operations. Coverage may be written in combination with Automobile Liability Insurance (with separate limits). Coverage will be written on an occurrence basis. If written in conjunction with Automobile Liability the combined single limit per occurrence will not be less than \$1,000,000 for each job site or location. Each annual aggregate limited will not be less than \$2,000,000.
- B. **Automobile Liability.** Contract shall obtain, at Contractor's expense and keep in effect during the term of the resulting Contract, Commercial Business Automobile Liability Insurance covering all owned, non-owned, or hired vehicles. This coverage may be written in combination with the Commercial General Liability Insurance (with separate limits). Combined single limit per occurrence will not be less than \$1,000,000.
- C. **Additional Insured.** The liability insurance coverage shall include City and its officers and employees as Additional Insured but only with respect to Contractor's activities to be performed under this Contract.

Coverage will be primary and non-contributory with any other insurance and self-insurance. Prior to starting work under this Contract, Contractor shall furnish a certificate to City from each insurance company providing insurance showing that the City is an additional insured, the required coverage is in force, stating policy numbers, dates of expiration and limits of liability, and further stating that such coverage is primary and not contributory.

- D. **Notice of Cancellation or Change.** There will be no cancellation, material change, potential exhaustion or aggregate limits or non-renewal of insurance coverage(s) without thirty (30) days written notice from Contractor or its insurer(s) to City. Any failure to comply with the reporting provision of this clause will constitute a material breach of this Contract and will be grounds for immediate termination of this Agreement.

25. PERFORMANCE AND PAYMENT BOND:

The CONTRACTOR further agrees to furnish a performance bond and a payment bond in approved forms each in the amount of 100% for the full performance and payment of the terms of this contract.

26. CERTIFICATION OF COMPLIANCE WITH TAX LAWS:

As required by ORS 305.385(6), CONTRACTOR certifies under penalty of perjury that the CONTRACTOR, to the best of CONTRACTOR'S knowledge, is not in violation of any of the tax laws described in ORS 305.380.(4)

27. CITY BUSINESS LICENSE:

Prior to starting work CONTRACTOR shall pay the CITY business license tax and provide the Public Works Department with a copy of business license receipt. CONTRACTOR shall, likewise, require all subcontractors to pay the CITY business license tax and provide a copy of the receipt to the Public Works Department prior to commencement of work.

28. BUILDING PERMIT & INSPECTIONS:

Prior to starting work, CONTRACTOR shall purchase a valid building permit, when required, and call for inspections in a timely manner. Penalties will be deducted from retainage and a Final Inspection must be completed prior to CONTRACTOR receiving final payment.

29. NO THIRD PARTY BENEFICIARIES:

This contract gives no rights or benefits to anyone other than the CITY and CONTRACTOR and has no third party beneficiaries.

IN WITNESS WHEREOF, the parties hereto have executed this contract the day and year first written above.

Approved as to form:

CITY of Warrenton, a municipal corporation of the State of Oregon

Contractor:

BY: _____
Henry Balensifer III, Mayor Date

ATTEST:

BY: _____
Dawne Shaw, City Recorder Date

CITY OF WARRENTON
UPDATED: June 2012
joycecowfile2(P)/forms/public improvement contract

SUPPLEMENTAL PROVISIONS
CITY OF WARRENTON
SE Marlin & 101 Pump Station Upgrade/Force Main Replacement

1. **Notice to Proceed:** The Contractor shall obtain a Notice to Proceed from the City before work can commence and before materials should be ordered. The Notice to Proceed will be issued upon proof that the Contractor has obtained the necessary permit, has the required State of Oregon and City licenses, provides proof of necessary minimum insurance, and has completed the necessary Contract Agreement.

All sub-contractors shall be subject the requirements of licensing and insurance.

2. **Change Order:** The Contractor may request and/or the City may order changes in the work or the timing or the sequencing of the work that impacts the contract price or the contract time. All such changes in the work that effect contract price or contract time shall be formalized in a Change Order. The Contractor and the City shall negotiate in good faith an appropriate adjustment to the contract price and/or the contract time, concluding their negotiations as expeditiously as possible. Acceptance of the Change Order shall not be unreasonably withheld.

An increase or decrease in the contract price and/or contract time shall be determined by one or more of the following methods:

1. Utilizing unit prices as set forth within this Agreement;
 2. A mutually accepted, itemized lump sum;
 3. Costs calculated on a basis agreed upon by the City and the Contractor, plus a fee to which they agree;
 4. If an increase or decrease cannot be agreed to as set forth in item 1-3 above and the City issues an Interim Directed Change, the cost of the change in the work shall be determined by the reasonable actual expense and savings of the performance of the work resulting from the change.
3. **Payments:** The Contractor will submit his application for payment based upon the itemized Bid Form. Application for payment will be made to the Project Manager, for his approval. Payment will be made at the end of each month for the percentage of work completed during that particular month. The Project Manager will forward the approved application to the City Finance Director.

The Contractor will submit his monthly invoice to the City via US Mail – do not hand deliver. Invoices received by the City by the 5th of the month will be paid by the 15th of that month. Payment checks will be sent to the Contractor via US Mail – will not be hand delivered.

Approved payment requests will include a five (5%) retainage. The accumulated retainage will be paid to the Contractor on the final payment.

Payments for materials is included in the associated bid items and only include building materials to be used for this project, delivered and securely stored. The Contractor shall take all necessary precautions to protect materialS from vandalism, weather, and theft.

4. **Completion Date:** The completion date shall be 20 days after the notice to proceed. Completion will require all the work to be completed and the site cleaned up.
5. **Liquidated Damages:** The agreed upon amount of liquidated damages shall be one-hundred dollars (\$100.00) per calendar day. Liquidated damages shall commence on the first calendar day after the specified completion date and shall continue thereafter each and every calendar day until all of the work is satisfactorily completed and accepted by the City.
6. **Delays and Extensions of Time:** If the Contractor is delayed at any time in the commencement or progress of the work by an act of neglect of the City, or by changes ordered in the work, by and industry-wide labor dispute, fire, unusual delay in delivers, unavoidable casualties or causes beyond the Contractor's control, or by delay authorized by the City, then the Contract time will be extended by Change Order for such reasonable time as the City may determine. No extension of time for completion will be allowed for delays or suspensions to the extent caused by or contributed by the fault or negligence of the Contractor, subcontractors, or anyone for whom the Contractor is responsible.

Hallie Sweet

From: Richard Stelzig
Sent: Wednesday, August 19, 2020 10:23 AM
Cc: Nancy Claterbos; Hallie Sweet; Kyle Sharpsteen; 'carcon (carcon@pacifier.com)'; 'npex1020@gmail.com'; 'Ted Warila (wandwlog@msn.com)'; 'Rachel Marriott'; 'Ryan Lampi'; 'Whitney Johnson'; 'mkriek268@gmail.com'; 'deanhartmanconstruction@gmail.com'; Dawne Shaw; 'dispatch@lovettservices.com'; Brian Crouter; 'jerry@pipeburstingnw.com'; 'dispatch@apolldrains.com'; 'mmcewan3569@gmail.com'; Dawne Shaw
Subject: City of Warrenton - Capital Improvement Project - SE Marlin & 101 Pump Station Upgrade/Force Main Replacement
Attachments: Responsibility Determination Form.pdf; Standard Contract for Services.pdf; Supplemental Provisions.pdf; Bid Form.pdf; Certificate of Non.pdf

To All,

The City is requesting contractors bid to replace existing 4" PVC line up to 6" HDPE. This existing pipe is approximately 555 ft long and is mostly within ODOT right-of way and will require permitting with ODOT. The contractor will be required to prepare and submit the appropriate permit application to ODOT for this work. The selected contractor will prepare the application and the City will sign the permit application, as required by ODOT. The contractor may also propose alternate solutions.

If necessary, the Contractor will be responsible for bypass piping and/or bypass trucking. During dry weather, the existing pump station can be disabled for approximately 2 hours while the gravity system stores sewer flows.

The Contractor will be responsible for coordinating work with local businesses impacted construction activities. No customers are expected to lose sewer service.

The connection to the existing 4" PVC line will be located at the existing pump station. During this connection, the City will install a flanged tee bypass and valve directly to the pump station discharge pipe and the contractor will connect to this new flanged tee. Contractor will coordinate this work with the City.

Pipes abandoned in ODOT ROW will need to be clear of any materials then plugged and filled to a minimum of 2' with CDF.

The use of native material is acceptable for backfill as long as 90% or greater or the maximum density can be achieved. Native Backfill will only be allowed on Section A work within ODOT ROW and more than 20' beyond edge of existing pavement. Otherwise crushed rock material will be required for backfill. Disturbed areas will need to be reseeded with native grass immediately after excavation and backfilling. All excess materials to be removed from work site.

Pipe bursting is recommended but is not required.

This project is part of a larger project and the contractor is required to pay the most current BOLI prevailing wages rates.. Therefore, the Contractor will need to submit certified payroll reports with the applications for payment.

Included below is a Vicinity Map, Site Plan, and the Original Design Plan showing the sanitary sewer force main. The City recently called for utility locates so the contractor can inspect the site with utility locates on the ground. The contractor will be required to connect into the existing manhole that is approximately 5' deep and connect to the existing 4" PVC.

Your proposal should include the following information with attached Bid Form:

1. Proposed Schedule
2. Cost
3. Proposed Materials
4. Proposed Construction Process
5. Proposed Erosion Control measures
6. Proposed Limits of Construction

Please submit your proposal by 3:00 pm on September 3, 2020.

Below you will find the Bidder's Checklist and General Instructions to Bidders. You will also find additional information attached to email. Please give me a call or send me an email if you have any questions, comments or concerns.

BIDDER'S CHECKLIST

FORMS TO EXECUTE FOR SUBMISSION OF BID:

The bidder's attention is especially called to the following forms which must be executed in full before bid is submitted:

- (a) Bid Form: The bid sheet is to be filled in and signed by the bidder and returned with bid.
- (b) Bond Accompanying Bid: a bid bond is not required for this project.
- (c) It is anticipated that the contract amount for this project will be less than \$100,000. Therefore, First-Tier Subcontractor disclosure requirements will not be required.
- (d) Email transmissions of bids will be accepted.
- (e) Demonstration of Bidders responsibility under ORS 279C.375(3)(b).

FORMS TO EXECUTE AFTER AWARD OF BID:

- (a) Contract: The Contract for Services provided within this package is to be executed by the successful bidder within ten (10) calendar days of award of the contract.
- (b) Comprehensive general liability insurance shall be combined single limit for broad form liability property damage and bodily injury, with at least the minimum limit of \$2,000,000. A copy of insurance listing the City of Warrenton as additional insured must be delivered to the City at the same time the contract is signed.
- (c) The Contractor shall furnish a performance bond and a payment bond in an amount equal to one hundred percent (100%) of the contract price as security for the faithful performance of this contract and for the protection of claimants under ORS 279C.600.
- (d) The Contractor shall provide completed Certificate of Non-Discrimination
- (e) The Contractor shall deliver to the City a copy of his Warrenton business license prior to entering into the Contract.

GENERAL INSTRUCTIONS TO BIDDERS

1 SCOPE OF WORK

Scope of Work (not a complete list of all requirements):

- a. Prepare and apply for ODOT Permit
- b. Coordinate with adjacent utilities, if necessary
- c. Traffic/Pedestrian Control
- d. Protect existing utilities
- e. Replace existing 4" PVC line up to 6" HDPE
- f. Test existing force main
- g. Restore existing surfaces and landscaping
- h. Follow City, State, and Federal rules and regulations

The work includes all labor and materials required by the contract documents to complete the work. Contractors are instructed to thoroughly familiarize themselves with all aspects of the work.

3 PROJECT DESCRIPTION

The City is requesting contractors bid to replace existing 4" PVC line up to 6" HDPE. This existing pipe is approximately 555 ft long and is mostly within ODOT right-of way and will require permitting with ODOT. The contractor will be required to prepare and submit the appropriate permit application to ODOT for this work. The selected contractor will prepare the application and the City will sign the permit application, as required by ODOT. The contractor may also propose alternate solutions.

If necessary, the Contractor will be responsible for bypass piping and/or bypass trucking. During dry weather, the existing pump station can be turned off for approximately 2 hours while the gravity system stores sewer flows.

The Contractor will be responsible for coordinating work with local businesses impacted construction activities. No customers are expected to lose sewer service.

The connection to the existing 4" PVC line will be located at the existing pump station. During this connection, the City will install a flanged tee bypass and valve directly to the pump station discharge pipe and the contractor will connect to this new flanged tee. Contractor will coordinate this work with the City.

Pipes abandoned in ODOT ROW will need to be clear of any materials then plugged and filled to a minimum of 2' with CDF.

The use of native materials are acceptable for backfill as long as 90% or greater or the maximum density can be achieved. Otherwise crushed rock material will be required for backfill. Disturbed areas will need to be reseeded with native grass immediately after excavation and backfilling. All excess materials to be removed from work site.

Pipe bursting is recommended but is not required.

4 PUBLIC SAFETY DURING CONSTRUCTION

Pedestrian safety and traffic control shall be provided for by Contractor in accordance with the latest edition of the Manual on Uniform Traffic Control Devices.

5 STREET/SIDEWALK CLOSURE REQUIREMENTS

Street and sidewalk closures will not be allowed by ODOT. Access to local residence and businesses shall be maintained at all times for pedestrian traffic. Any disruptions to pedestrian traffic to local businesses shall be coordinated between the Contractor and business owner to their mutual satisfaction. Disruption to vehicle traffic shall be kept to a minimum. The Contractor shall notify the City Engineer and Emergency Services before closing, only in an emergency situation.

6 ENVIRONMENTAL AND NATURAL RESOURCES LAWS TO BE OBSERVED

In compliance with ORS 279C.525, the following is a list of federal, state and local agencies, of which the City has knowledge, that have enacted ordinances or regulations relating to environmental pollution and the preservation of natural resources that may affect the performance of the Contract:

FEDERAL AGENCIES

Department of Agriculture
 Forest Service
 Soil Conservation Service
Department of Defense
 Army Corps of Engineers
Department of Energy

Federal Energy Regulatory Commission
Environmental Protection Agency
Health and Human Services
Department of Housing & Urban Development
Department of Interior
Bureau of Land Management
Bureau of Reclamation
Geological Survey
U.S. Fish and Wildlife Service
Department of Labor
Occupation Safety and Health Administration
Water Resources Council

LOCAL AGENCIES

City Councils
Board of County Commissioners

STATE AGENCIES

Department of Agriculture
Soil and Water Conservation Commission
Department of Energy
Department of Environmental Quality
Department of Fish and Wildlife Department of Forestry
Department of Transportation
Division of State Lands
Water Resources Department

7 ADDITIONAL CONTRACTOR RESPONSIBILITIES

- a. Contractor is responsible for obtaining and paying for all necessary permits.
- b. Contractor shall verify existing conditions and locations of all utilities and shall notify the City of any discrepancies that may affect the work.

8 PRE-BID MEETING

No pre-bid meeting will be scheduled

9 INTERPRETATION OF PLANS & SPECIFICATIONS

If the bidder finds discrepancies, omissions or is in doubt as to the true meaning of any part of the contract documents, the bidder shall submit to the City Engineer of Record a written request for a clarification or interpretation. Requests shall be submitted at least seven days prior to the date set for bid opening.

All clarification or interpretation of the contract documents or approval of equivalent products will be made by addendum. All addenda shall be considered in the bid. The City is not responsible for any explanation, clarification or interpretation given in any manner except by addendum.

10 ADDENDA

City will make changes to a solicitation document only by written addenda. An Offeror shall provide written acknowledgement of receipt of issued addenda with its offer unless the City otherwise specifies in the addenda. City will provide notice of addenda by mail or facsimile, and in a reasonable time so as to allow prospective Offerors to consider the addenda in preparing their offer. City may extend closing if City determines prospective Offerors need additional time to review and respond to addenda. City will not, except to the extent required by a countervailing public interest, issue addenda less than 72 hours before closing unless the addendum also extends closing.

11 BID SECURITY

A bid security will not be required for this project.

12 RESIDENT BIDDER

Each bid must contain a statement as to whether the bidder is a resident bidder, as defined in ORS 279A.120.

13 ASBESTOS LICENSE

A Contractor or subcontractor need not be licensed under ORS 468A.720 (Asbestos Abatement License)

14 CONSTRUCTION CONTRACT BOARD REGISTRATION

No bid will be considered unless bidder is registered with the Construction Contractors Board or licensed by the State Landscape Contractors Board as required by ORS 671.530, as may be required.

15 EXECUTION OF BID

Bids must be made on the bid form provided to prospective bidders.

All prices must be in figures, in ink or typewritten.

No alterations in the proposal, specifications or contract will be allowed.

The bid shall be executed in the name of the firm followed by the signature of the officer authorized to sign for the firm and the printed or typewritten designation of the office head, together with certification that the bid has been authorized by the firm's controlling members.

The address of the bidder shall be typed or printed on the bid form. No bid can be withdrawn after having been opened by the Public Works Director.

16 PRICES - FOB DESTINATION

All prices must be FOB destination, with all transportation and handling paid by the bidder.

17 SUBMISSION OF BID

Please provide a quote for this work by 3:00 pm on September 3, 2020. Bids may be emailed to the following email address rstelzig@ci.warrenton.or.us

Bidder is responsible for ensuring that the City receives the bid at the time and place required for bid submission regardless of method used to submit the bid. The US Post Office is the agent of the bidder.

Bids received will be considered by the City Council within 45 days of opening of the bid. The successful bidder must execute a contract within 10 days from the date of notification.

All bids must be made upon blank forms furnished by the City. Each bid must be accompanied by a demonstration of the bidder's responsibility under ORS 279C.375(3)(b)

18 NOTICE OF INTENT TO AWARD

The City will at least seven (7) days before the award of a public contract, unless the City determines that seven days is impractical under rules adopted under ORS 279A.065, post or provide to each bidder or proposer notice of the contracting agency's intent to award a contract.

The Bidder will provide sufficient information with the bid to allow the City to promptly make its intention known. Refer to attached Required Responsibility Determination Information form.

19 ACCEPTANCE OR REJECTION OF BIDS

The award of contract, or the rejection of all bids, will be made by the City within 45 calendar days of the bid opening date.

The City reserves the right in its sole discretion to reject any or all bids and to waive any irregularities or formalities. The City may reject any bid not in compliance with public bidding procedures and requirements, including the requirement to demonstrate the bidder's responsibility under ORS 279C.375(3)(b), and may reject, for good cause, all bids upon a finding of the City it is in the public interest to do so.

In selecting the lowest responsive bid, the City reserves the right to take into consideration any or all alternatives called for in the bid form.

A materially unbalanced bid is defined as, "a bid which generates a reasonable doubt that award to the bidder submitting a mathematically unbalanced bid will result in the lowest ultimate cost to the City." A bid will be considered irregular and may be rejected if the City determines that any of the unit prices are significantly or materially unbalanced to the potential detriment of the City. The City will place specific emphasis on its review of bids that appear to be unbalanced, as it may be to the detriment of the City. If the City finds that a bid is a detriment to the City or not in the best interest of the public, the City will act by rejecting all such unbalanced bids.

Bids which are incomplete or which are conditioned in any way, or which contain erasures or alterations, may be rejected.

The City of Warrenton is an equal opportunity employer.

The successful bidder must enter into a contract within ten (10) calendar days after the award of the contract.

20 START UP

The successful bidder must begin work on the site within five calendar days of receiving the Notice to Proceed. The contract time commences on the day the Notice to Proceed is delivered. The Contractor may, in lieu of beginning work within five days, submit a detailed schedule of the first 30 days of the contract which is acceptable to the City.

21 CERTIFIED INSURANCE

Contractor shall obtain and maintain insurance as described in the attached Public Improvement Contract. Such insurance shall not be canceled or its limits of liability reduced without thirty (30) days prior notice to City. A copy of an insurance certificate in a form satisfactory to City certifying the issuance of such insurance shall be furnished to City. The City shall be named as additional insured.

22 CITY BUSINESS LICENSE

Successful bidder shall have current business license (occupational tax) with the City of Warrenton prior to entering into an agreement.

23 GUARANTEE

The equipment, materials, or other items proposed and delivered to the City of Warrenton job site shall be complete in every respect and ready for operation and use in accordance with the specifications, with inspection submitted at time of delivery.

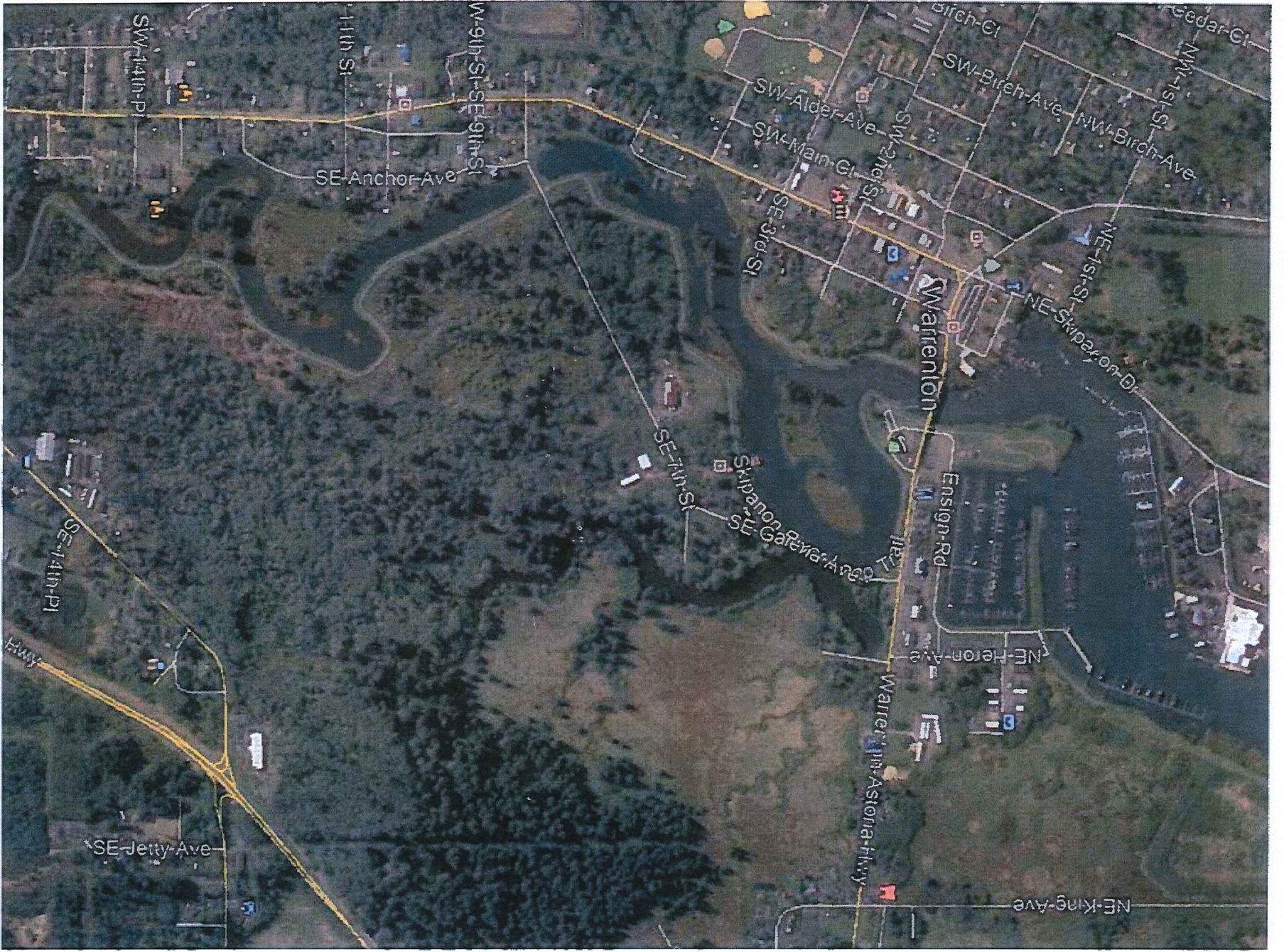
24 PERFORMANCE AND PAYMENT BOND

Successful bidder will be required to furnish a performance bond and a payment bond in approved forms each in the amount of 100% for the full performance and payment of the terms of this contract.

25 CERTIFIED PAYROLL REPORTS

This project is part of a larger project and the contractor is required to pay the most current BOLI prevailing wages rates.. Therefore, the Contractor will need to submit certified payroll reports with the applications for payment.

26 CERTIFICATION OF NON DISCRIMINATION



Contractor will be required to provide a certification of non-discrimination in obtaining required subcontractors in accordance with ORS 279A.110 (4).

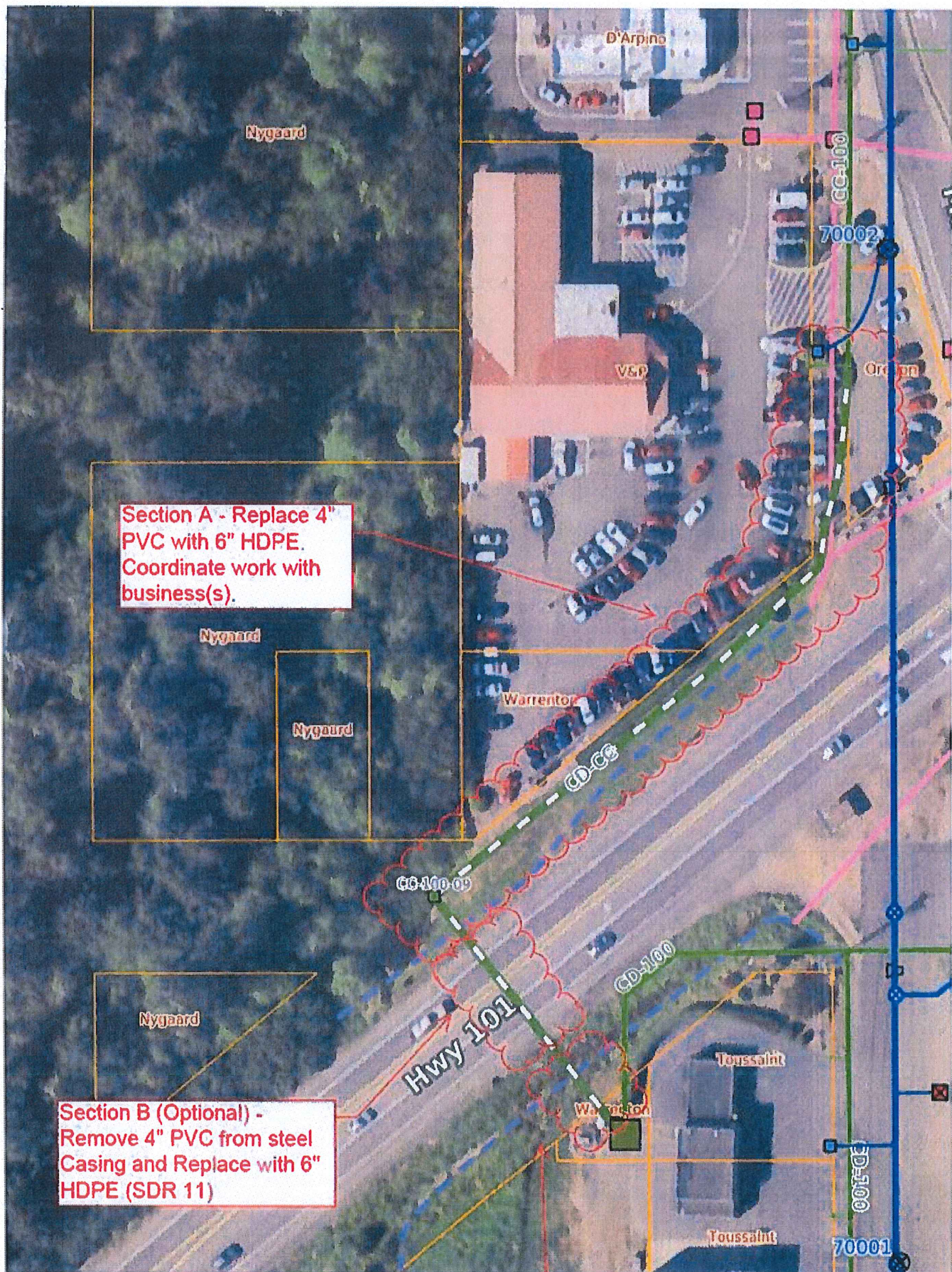
27. PROJECT SPECIFICATIONS

The contractor will be required to follow the 2018 Oregon Standard Specifications for Construction 2018. High Density Polyethylene (HDPE) pipe shall be a minimum SDR 11 HDPE pipe and fittings and shall be made of polyethylene compounds, of virgin resin, which conform to the physical requirements of and consist of material listed by Plastics Pipe Institute as PE 3608, ASTM 3350.

Link to Oregon Standard Specifications for Construction

https://www.oregon.gov/ODOT/Business/Pages/Standard_Specifications.aspx

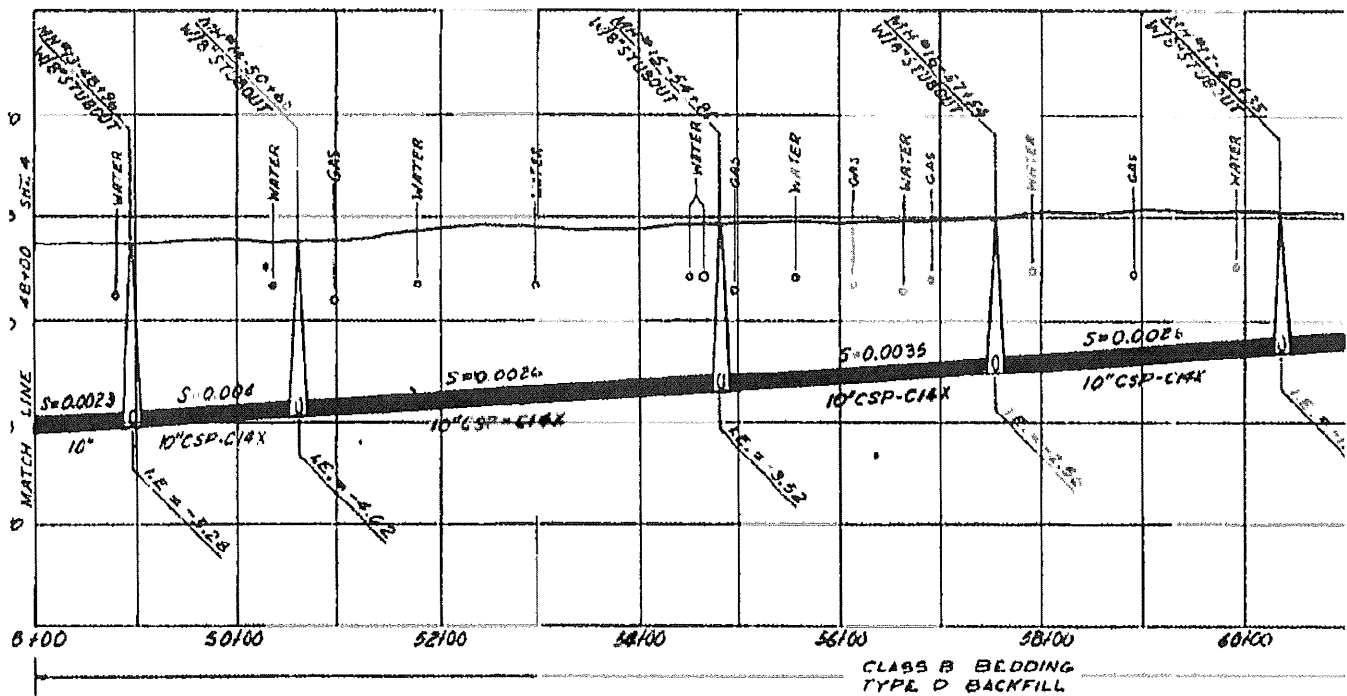
Vicinity Map



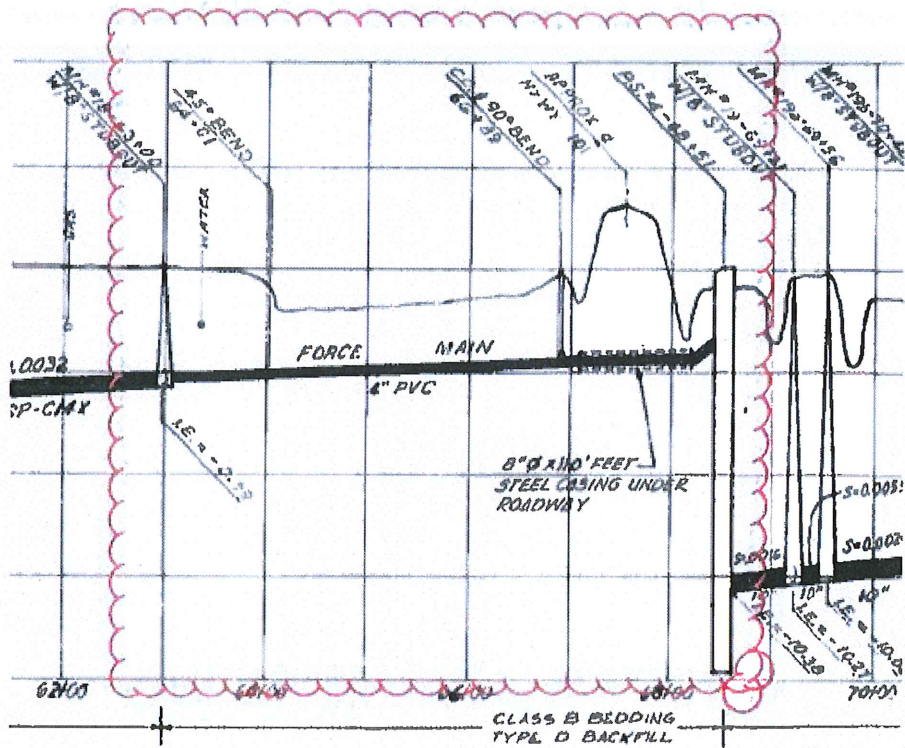
Section A - Replace 4" PVC with 6" HDPE. Coordinate work with business(s).

Section B (Optional) - Remove 4" PVC from steel Casing and Replace with 6" HDPE (SDR 11)

Site Plan



Original Design Plans



PROFILE Δ
 SCALE HORZ 1" = 100'
 VERT 1" = 5'

Collin Stelzig, PE | Public Works Director | City of Warrenton
 45 SW 2nd St. | P.O. Box 250 | Warrenton, OR 97146
 Office: (503) 861-0912 | Fax: (503) 861-9661
rstelzig@ci.warrenton.or.us | www.ci.warrenton.or.us

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CITY OF WARRENTON

SE Marlin & 101 Pump Station Upgrade/Force Main
Replacement
CERTIFICATE OF NON-DISCRIMINATION

RUSH DEVELOPMENT LLC

I certify that ~~dba: NORTH PACIFIC EXCAVATION~~ (name of organization/individual) is in full compliance with ORS 279A.110, designed to prohibit discrimination against a subcontractor in awarding a subcontract because the subcontractor is a minority, women or emerging small business enterprise certified under ORS 200.055 or a business enterprise that is owned or controlled by or that employs a disabled veteran, as defined in ORS 408.225.

Pam Rush PAM RUSH 9.3.20

Signature/Printed Name

Date

BID FORM
CITY OF WARRENTON
SE Marlin & 101 Pump Station Upgrade/Force Main Replacement

BID ITEMS	QUANTITY	UNIT	UNIT PRICE	TOTAL AMOUNT
1. Project Submittals, Mobilization, Traffic Control, and Erosion Control	1	Lump Sum	\$	\$ 8000.00
2. ODOT Permit	1	Lump Sum	\$	\$ 250.00
3. Pothole all utility crossings and connection locations.	1	Lump Sum	\$	\$ 3200.00
4. Bypass Piping and/or Trucking	1	Lump Sum	\$	\$ 8200.00
5. Section A - Replace Existing 4" PVC pipe with 6" HDPE	1	Lump Sum	\$	\$ 42,000.00
6. Investigate steel casing to determine if pipe can be removed and replaced.	1	Lump Sum	\$	\$ 2,400.00
7. Section B (Optional) - Replace Existing 4" PVC pipe with 6" HDPE	1	Lump Sum	\$	\$ 13,300.00
8. Section C - Replace Existing 4" PVC pipe with 6" HDPE	1	Lump Sum	\$	\$ 5400.00
9. Connection into Existing Concrete Manhole	1	Lump Sum	\$	\$ 2400.00
10. Connection to Pump Station - City installed Tee	1	Lump Sum	\$	\$ 600.00

TOTAL \$ 85,750.00

CITY OF WARRENTON

**SE Marlin & 101 Pump Station Upgrade/Force Main
Replacement**

CERTIFICATE OF NON-DISCRIMINATION

I certify that Big River Construction, Inc. (name of organization/individual) is in full compliance with ORS 279A.110, designed to prohibit discrimination against a subcontractor in awarding a subcontract because the subcontractor is a minority, women or emerging small business enterprise certified under ORS 200.055 or a business enterprise that is owned or controlled by or that employs a disabled veteran, as defined in ORS 408.225.



9-3-20

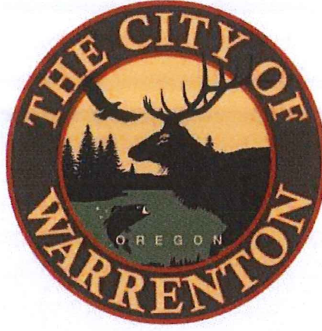
Signature/Printed Name

Date

BID FORM
CITY OF WARRENTON
SE Marlin & 101 Pump Station Upgrade/Force Main Replacement

BID ITEMS	QUANTITY	UNIT	UNIT PRICE	TOTAL AMOUNT
1. Project Submittals, Mobilization, Traffic Control, and Erosion Control	1	Lump Sum	\$ 19,000.00	\$ 19,000.00
2. ODOT Permit	1	Lump Sum	\$ 100.00	\$ 100.00
3. Pothole all utility crossings and connection locations.	1	Lump Sum	\$ 2,800.00	\$ 2,800.00
4. Bypass Piping and/or Trucking	1	Lump Sum	\$ 1,500.00	\$ 1,500.00
5. Section A - Replace Existing 4" PVC pipe with 6" HDPE	1	Lump Sum	\$ 33,000.00	\$ 33,000.00
6. Investigate steel casing to determine if pipe can be removed and replaced.	1	Lump Sum	\$ 1.00	\$ 1.00
7. Section B (Optional) - Replace Existing 4" PVC pipe with 6" HDPE	1	Lump Sum	\$ 20,000.00	\$ 20,000.00
8. Section C - Replace Existing 4" PVC pipe with 6" HDPE	1	Lump Sum	\$ 4,500.00	\$ 4,500.00
9. Connection into Existing Concrete Manhole	1	Lump Sum	\$ 3,800.00	\$ 3,800.00
10. Connection to Pump Station – City installed Tee	1	Lump Sum	\$ 2,000.00	\$ 2,000.00

TOTAL \$ 86,701.00



AGENDA MEMORANDUM

TO: The Warrenton City Commission

FROM: Mathew J. Workman, Chief of Police

DATE: October 13, 2020

SUBJ: Discussion on a Leash Ordinance

SUMMARY

The Warrenton Police Department receives complaints from citizens about people walking their dogs off-leash around the City and in the parks. Most cities have a “leash law” or “leash ordinance” articulating restrictions for dog owners who walk their dogs off of private property. Warrenton does not have a traditional “leash-law” in ordinance or code and a leash is only “required” by code after meeting specific circumstances or after a declaration by the City that an animal is “dangerous.” This discussion will describe our current City Code with regard to “leashes” and present some examples of other Oregon City’s leash ordinances.

RECOMMENDATION/SUGGESTED MOTION

If the Commission would like to amend the current City Code to include a “leash requirement” they can direct staff to bring amendments to the current Code back to the Commission for review.

ALTERNATIVE

Do nothing and leave current Code as is.

FISCAL IMPACT

There would be no fiscal impact with either decision.

ATTACHMENTS:

Summary of the current Warrenton Municipal Code and some examples from other Oregon cities.

Approved by City Manager:

All supporting documentation, i.e., maps, exhibits, etc., must be attached to this memorandum.

The word “Leash” is only mentioned in two sections of the current Municipal Code:

6.04 Dog Control

6.04.020 Definition of Terms

(C) “At large” means off or outside the premises belonging to the owner having the control, custody or possession of the animal while the animal is not under the complete control of such person or within a vehicle of such person.

(D) “Control” means to have power over, or exercising restraining or directing influence over by means of an adequate leash or under voice control. “Voice control” means the complete control by such person in the immediate vicinity of the animal. If the animal creates a nuisance or acts unlawfully as defined in this code, such acts will in and of themselves determine that the animal was not under voice control.

6.08 Dangerous Animals

6.08.040 Regulation of Dangerous Animals

The owner of a dangerous animal shall comply with the following regulations:

(A) If the animal has been classified as a Level 1 dangerous animal, the animal shall be restrained by a physical device or structure that prevents the animal from reaching any public sidewalk or adjoining property whenever that animal is outside the owner’s home and not on a leash.

(B) If the animal has been classified as a Level 2 dangerous animal, the owner shall confine the animal within a secure enclosure whenever the animal is not on a leash or inside the home of the owner.

(C) If the animal has been classified as a Level 3 dangerous animal, the owner shall meet the requirements of subsection B of this section, and shall also post warning signs on the property where the animal is kept.

(D) If the animal has been classified as a Level 4 dangerous animal, the owner shall meet the requirements of subsections B and C of this section and, in addition, shall not permit the animal to be off the owner’s property unless the animal is muzzled and restrained by an adequate leash and under the control of a capable person. (Ord. 869-A § 4, 1990)

In the **Dog Control** Code, it states the following:

6.04.010 Dogs not to be at large

It is unlawful for a dog to run at large or be permitted to run at large. (Ord. 1137-A § 1, 2009)

As you can see by definitions above, a dog is **NOT** considered to be at large if the owner or possessor of the dog has “**complete control**” which is by an “adequate leash” or by “**voice control**” in the immediate vicinity of the dog.

Most cities require a “leash” to be “in control” of a dog. Here are some examples:

Astoria: *At large: Off the premises of the owner while not under the complete control of the owner by an adequate leash not over eight feet in length.*

Baker City: *Dog at large means off of its own private property without being on a leash controlled by an owner.*

Cannon Beach: *Dogs are allowed on the beach, as long as they are on a leash or under voice control of the owner. It is the owner’s responsibility to ensure that the dog is physically controlled.*

City of Portland: *Dogs must be leashed at all times while on public property and in parks (except in designated off-leash areas), and owners must remove their dog's waste.*

Columbia City: *“At Large” shall mean off the premises of the owner or person having control, custody or possession of the animal while the animal is not under the complete control of the owner or such person by adequate leash, except that an animal in obedience or field training exercise under the direct supervision of a handler shall not be considered to be “at large” as herein defined if it is off a leash;*

Forest Grove: *No animals shall be allowed without a leash in any public park unless specifically authorized by the Director of Parks and Recreation.*

Monmouth: *No owner or custodian of a dog shall permit the dog to run loose or be at large. “Loose” or “at large” shall mean off the premises of the owner or the person having the control, custody, or possession of the dog, while the dog is not under complete control of the owner or such person by a leash or chain not exceeding 10 feet in length, but the use of such leash or chain shall not be required when said dog is in a motor vehicle.*

Seaside: *It shall be unlawful for any person to allow a dog to run at large. A dog off or outside the premises of the keeper not restrained by a rope, line, leash, chain, or other similar means, or not under the immediate control, restraint, or command of the keeper thereof. If a dog is not restrained by a tether of some kind, is not at heel or not a working dog in the field that dog shall be deemed “at large.”*

Sherwood: *Any dog or livestock which is off or outside of the premises occupied by the keeper or is not in the company of and under the control of its keeper, unless restrained by a leash, tether or other physical control device.*

Springfield: *A dog or other animal inside the corporate limits of the city, off the premises of the keeper, and not under complete control by adequate leash.*

Tualatin: *All animals must be restrained at all times when off of private property. Leashes are required for all city parks and schools. Animals must be kept on private property and kept from running loose or entering into neighboring yards. This includes dogs, cats, rabbits and other household pets.*